# **AUCTION 105 BIDDER DATA FILE FORMATS**

# **Table of Contents**

1	Introduction		
2 Bidder Download Files			1
	2.1	My Counties	1
	2.2	Sample Bid Template Files	2
	2.3	My Bidding Errors	5
	2.4	My Bids	7
	2.5	My Bid Results	8
	2.6	My County Status	1
	2.7	My Bidder Status	2
	2.8	My Audit Log1	6
3 Bid Upload Process		oload Process	7
	3.1	File Requirements – Round 1	8
	3.2	File Requirements – Round 2 and Later1	8
App	endix:	Data Type Definitions	9

#### 1 Introduction

This document provides the data file specifications for the FCC Auction Bidding System upload and download files that will be available to bidders during Auction 105. Each file specification includes the format of the file and definitions of the data elements in the files including a name, description, data type, examples and notes. Data type definitions and notation rules are explained in an appendix attached to this document.

In addition to the data file specifications, sample files are available on the Auction 105 website (<a href="www.fcc.gov/auction/105">www.fcc.gov/auction/105</a>) in the Education section. Sample files are provided for Round 1 and Round 2. The files for Round 2 include examples where bids could not be processed in part or in full. We emphasize that the sample data provided is not meant to reflect any predictions or assumptions by the Commission regarding bidding activity, the number of rounds, or the outcome of the Auction 105.

#### 2 Bidder Download Files

This section provides the specifications of the bidding-related download files available to bidders during the auction.

#### 2.1 My Counties

**File name:** my\_counties.csv

The My Counties file provides information about each county available in the auction. It indicates whether a bidder has selected the county on its Form 175 application.

#### **File Structure:**

- CSV file (first row contains header)
- One record for each bidder for each county available in the auction

Field	Description	Data Type	Examples/Notes
auction_id	The FCC auction number	String	105
	for this auction		105-Mock
bidder	Qualified bidder name	String	Alpha Bidding Company
frn	The bidder's FCC Registration Number (FRN) which uniquely identifies the bidder	String [0-9]{10}	0003645843
county	County ID	String ([StateID]-[0- 9]{3}	AL-123
county_name	County name	String	"Tallapoosa, AL"

Field	Description	Data Type	Examples/Notes
census_id	This is the FIPS ID for the county: 2-character state FIPS code followed by 3-character county FIPS code	String	01123
selected_county	Indicates if the company selected to bid for the county in its Form 175	String [Y N]	Y N
bidding_by_cma	Not used in Auction 105 — value is always "N"	String [N]	N
cma	Not used in Auction 105	n/a	Null
cma_name	Not used in Auction 105	n/a	Null

# 2.2 Sample Bid Template Files

File name:	Round 1	Round 2+
(# = round number)	Roulla 1	Roullu 2+
<ul><li>my_bid_template_with_my_markets_round_#.csv</li></ul>	Yes	Yes
<ul><li>my_bid_template_with_submitted_bids_round_#.csv</li></ul>	Yes	Yes
<ul><li>my_bid_template_with_missing_bids_round_#.csv</li></ul>	No	Yes
<ul><li>my_bid_template_with_default_bids_round_#.csv</li></ul>	No	Yes

There are four different bid template files available to bidders. The first two templates, My Markets Template and Submitted Bids Template, exist for all rounds. The last two templates, Default Bids Template and Missing Bids Template, exist for Round 2 and later.

Each template provides a list of markets to bid on. The list of markets, and the default quantity and price will vary, depending on which template is used. The template provides a starting point for the bidder. A bidder can adjust the contents, as appropriate.

# **Definitions of templates:**

Template	File Name	Markets Included	Quantity and Price
My Markets	my_bid_template_with_my_m	All markets the bidder	Null
Template	arkets_round_#.csv	selected in its FCC Form 175	
Submitted	my_bid_template_with_submi	All markets the bidder	The submitted bids
Bids	tted_bids_round_#.csv	selected in its FCC Form 175.	quantities and
Template		If a bidder placed multiple	prices for markets
		bids for a market, then there	that have bids;
		will be multiple bids in this	otherwise, null
		template	
Default Bids	my_bid_template_with_defaul	All markets for which the	Quantity =
Template	t_bids_round_#.csv	bidder has processed demand	processed demand
			Price = clock price

Template	File Name	Markets Included	Quantity and Price
Missing Bids	my_bid_template_with_missin	All markets for which the	Null
Template	g_bids_round_#.csv	bidder has processed demand	
		but has not yet submitted a	
		bid in the round	

# File Structure:

- CSV file (first row contains header)
- The number of rows depends on the template. See the table above.

Field	Description	Data Type	Examples/Notes
MARKET	County ID	String ([StateID]-[0-9]{3})	AL-123
QUANTITY	Quantity associated with the bid (in blocks)	Integer	Null if no bid has been submitted for this market  Null in the My Markets  Template download
PRICE	Price associated with the bid (per block)	Integer with optional thousands separator  Or "DELETE"	3500000 "35,000,000" DELETE  DELETE indicates all bids for this market should be deleted. This is not case sensitive.  Null if no bid has been submitted for this market  Null in the My Markets Template download
round	Round number	Integer	12
price_point	The price point associated with the bid	Decimal [0-1] {10}	0.7560548272  In Round 1 this value is 1.00000000000 if a bid was submitted for this market.  Null if no bid has been submitted for this market  Null in the My Markets Template download

Field	Description	Data Type	Examples/Notes
missing_bid	A flag indicating a	String	Υ
	market where the	[Y] {1}	
	bidder had processed		Null for a market where the
	demand in the last		bidder did not have
	round but did not have		processed demand last
	any bids in the current		round
	round at the time of the		
	download		Null for a market where the
			bidder had processed
			demand last round and has
			at least one submitted bid
			in this round
			Null in the My Markets
			Template download
			Null in the Default Bids
			Template download
market_name	The county name and	String	"Tallapoosa, AL"
	postal abbreviation for		
	the state		
population	Population of the	Integer	25237061
	market		
bidding_units	Bidding units per block	Integer	2300
	in the market	Lateres	7
supply	The number of generic	Integer	7
	blocks of spectrum		
provious round aggre	available in the market	Intogor	12
previous_round_aggre gate_demand	The aggregate demand from the previous round	Integer	12
gate_demand	for the market		Null for Round 1
previous round proce	The bidder's processed	Integer	4
ssed_demand	demand for the market	Integer	-
33ca_acmana	at the start of the round		Null for Round 1
	at the start of the round		ivan jor nouna 1
			Null if this bidder does not
			have processed demand for
			this market at the start of
			the round
start_of_round_price	Posted price per block	Integer	3000000
	for the market after		
	processing the previous		For Round 1, this is the
	round's bids. This is the		opening price
	minimum bid price in		
	the current round.		

Field	Description	Data Type	Examples/Notes
clock_price	The clock price (highest price) per block of the market in the current round	Integer	For Round 1, this is the opening price

# 2.3 My Bidding Errors

File name: my\_bidding\_errors\_round\_#.csv (# = round number)

This file contains a detailed account of errors from the last time the bidder's upload had errors, or a quick action (deleting all bids or submitting default bids) was not successful. Up to 50 errors will be reported for an unsuccessful upload or quick action.

This file is available if the last upload or quick action was unsuccessful. It is not available if the last action was a successful upload, if the last quick action was successful (deleting all bids or submitting default bids), if the next round's prices have been announced, or if the auction concludes.

#### **File Structure:**

- CSV file (first row contains header)
- The number of rows depends on the number of errors

Field	Description	Data Type	Examples/Notes
auction_id	The FCC auction number	String	105
	for this auction		105-Mock
round	Round number of upload	Integer	11
bidder	Qualified bidder name	String	AT&T
frn	Bidder ID. Uniquely	String [0-9]{10}	"0003645843"
	identifies a bidder and is		
	the qualified bidder's FCC		
	Registration Number.		
line_no	Line number containing	Integer	102
	the error		
			Null if error is not specific
			to a row
error_code	Error code	String	E100
error_description	Error description	String	MARKET is missing or
			invalid
MARKET	The county ID entered	String	AL-123
		([StateID]-[0-	
		9]{3})	Null if error is not specific
			to a row
QUANTITY	Number of blocks	Integer	2
	specified in the bid		
			Null if error is not specific
			to a row

Field	Description	Data Type	Examples/Notes
PRICE	Price per block specified in the bid	Integer	3500000 DELETE
		Or "DELETE"	Null if error is not specific to a row
market_name	The county name and postal abbreviation for the state	String	"Tallapoosa, AL" Null if MARKET is invalid or error is not specific to a row
previous_round_proce ssed_demand	The bidder's processed demand for the market at the start of the round	Integer	Null if this bidder does not have processed demand for this market at the start of the round  Null if MARKET is invalid or error is not specific to a row
start_of_round_price	Posted price per block for the market after processing the previous round's bids. This is the minimum bid price in the current round.	Integer	3000000  For Round 1, this is the opening price.  Null if MARKET is invalid or error is not specific to a row
clock_price	The clock price (highest price) per block of market in the current round.	Integer	For Round 1, this is the opening price  Null if MARKET is invalid or error is not specific to a row.
upload_filename	Name of file that the bidder uploaded	String	Sample_bid1.csv
placed_by	Name of authorized bidder who submitted the uploaded bid	String	Karen Smith
placed_time	The time the uploaded bid was submitted	String  YYYY-MM-DD  HH:MM:SS	2015-12-04 13:21:47  All times are in Eastern Time

#### 2.4 My Bids

File name: my\_bids\_round\_#.csv (# = round number

The My Bids file provides a list of all the bids considered by the bidding system for a bidder in a round, including bids that were submitted so far in an open round. Each bid pertains to a specific market (county) being offered in the clock auction. Note that the file does not contain bids that were submitted and subsequently modified within the same round, rather the file contains the list of bids the bidding system is currently considering or did consider for that bidder in the associated round.

In addition to providing information about the bid and the authorized bidder who submitted the bid, the file provides information about the associated market in that round such as the start of round price, the clock price, the supply, and the bidder's processed demand for that market.

# **File Structure:**

- CSV file (first row contains header)
- One record per round and bid combination
- After the results of a round have been posted, this file may also contain missing bids submitted by the bidding system. A missing bid is a simple bid for a quantity of 0 at the lowest possible price for the market in that round.
- The file contains data for a given round

Field	Description	Data Type	Examples/Notes
auction_id	The FCC auction number	String	105
	for this auction		105-Mock
round	Round number	Integer	12
bidder	Qualified bidder name	String	Alpha Bidding Company
frn	The bidder's FCC Registration Number (FRN) which uniquely identifies the bidder	String [0-9]{10}	0003645843
market	County ID	String ([StateID]-[0-9]{3})	AL-123
market_name	The county name and postal abbreviation for the state	String	"Tallapoosa, AL"
bidding_units	Bidding units per block in the market	Integer	2300
quantity	Quantity associated with the bid	Integer	This value is the requested quantity for the market (not the number of blocks to be reduced)
price	Price per block associated with the bid	Integer	125000

Field	Description	Data Type	Examples/Notes
price_point	The price point associated with the bid	Decimal [0-1] {10}	0.7560548272  In Round 1 this value is 1.0000000000
supply	The number of generic blocks of spectrum available in the market	Integer	7
previous_round_proce ssed_demand	The bidder's processed demand for the market at the start of the round	Integer	4 Null for Round 1
previous_round_aggre gate_demand	The aggregate demand from the previous round for the market	Integer	12 Null for Round 1
start_of_round_price	Posted price per block for the market after processing the previous round's bids. This is the minimum bid price in the current round.	Integer	11500000  For Round 1, this is the opening price
clock_price	The clock price (highest price) per block of the associated market in the round	Integer	12650000
selection_number	The pseudo-random number associated with the bid used for tiebreaking purposes	Integer {1, 15}	123456789012345  This field is populated after the round closes
placed_by	Name of authorized bidder or "System" if the bidding system submitted a missing bid	String	Joe Smith System
placed_time	The time the uploaded bid was submitted	String  YYYY-MM-DD  HH:MM:SS	2015-12-04 13:21:47  All times are in Eastern Time.  The time will be the round close time if placed_by = System

# 2.5 My Bid Results

File name: my\_bid\_results\_round\_#.csv (# = round number)

The My Bid Results file provides a list of the results of bid processing in a given round for all markets in which the bidder either had processed demand from the round before the given round or placed a bid for a new market in the given round. For each market the file gives the processed demand, posted price

and the aggregate demand. Additionally, if a bid was not fully accepted, the file provides an indication of such and details about why one or more bids for the market were not accepted.

The data pertaining to bidding results for a given round is available once the round results for that round have been posted.

# **File Structure:**

- CSV file (first row contains header)
- One record for each round and market combination where the bidder had processed demand for the market from the round before the given round or placed a bid for a new market in the given round.
- The file contains data for a given round which has been posted.

Field	Description	Data Type	Examples/Notes
auction_id	The FCC auction number	String	105
	for this auction		105-Mock
round	Round number	Integer	12
bidder	Qualified bidder name	String	Alpha Bidding Company
frn	The bidder's FCC Registration Number (FRN) which uniquely identifies the bidder	String [0-9]{10}	0003645843
market	County ID	String ([StateID]-[0- 9]{3})	AL-123
market_name	The county name and postal abbreviation for the state	String	"Tallapoosa, AL"
processed_demand	The bidder's demand for the market after processing	Integer	2
fully_processed_flag	Indication if all bids for the market were fully processed	String [Y N] {1}	Y N

Field	Description	Data Type	Examples/Notes
processed_demand_det ail	Details about why one or more bids for the market were not accepted or not fully accepted during bid processing	String {500}	"Bid to increase demand to 4 @ \$147,000,555: 2 blocks were not applied due to insufficient eligibility."  "Bid to reduce demand to 0
	, and the second		@ \$36,600,222: 3 blocks were not applied due to insufficient aggregate demand."
			"Bid to reduce demand to 0 @147,000,555: 3 blocks were not applied for county due to (1) insufficient aggregate demand, and (2) one block reduction already being applied."
			If more than one detail message is applicable (e.g., intra-round bids), then the messages are separated with semi-colons
			Null if all bid(s) for the market were fully accepted
bidding_units	Bidding units per block in the county	Integer	2300
supply	The number of generic blocks of spectrum available in the market	Integer	7
aggregate_demand	The aggregate demand for the market after processing	Integer	15
posted_price	Posted price per block for the market after processing the bids from this round. This will be the minimum bid price in the next round.	Integer	3000000

#### 2.6 My County Status

File name: my\_county\_status\_round\_#.csv (# = round number)

The My County Status file provides the status of each county after bid processing in a round. For each county that the bidder selected in Form 175, the file gives the posted price, aggregate demand and the clock price in the next round. Additionally, the file provides supporting information about each county the supply, bidding units, and population. The public County Status file that will be available in the Public Reporting System at <a href="https://auctiondata.fcc.gov">https://auctiondata.fcc.gov</a> will include all counties in the auction.

The data pertaining to aggregate demand and posted price for a given round is available once the round results for that round have been posted. Information pertaining to next round clock prices is available in the file once the next round has been announced.

#### **File Structure:**

- CSV file (first row contains header)
- One record for each round and county combination, where the counties are those that the bidder selected in Form 175
- The file contains data for a given round that has been posted. It is updated when prices are announced.

Field	Description	Data Type	Examples/Notes
auction_id	The FCC auction number for this auction	String	105
round	Round number	Integer	12
county	County ID	String ([StateID]-[0- 9]{3}	AL-123
county_name	County Name	String	"Tallapoosa, AL"
cma	Not used for Auction 105	n/a	Null
cma_name	Not used for Auction 105	n/a	Null
census_id	This is the FIPS ID for the county: 2-character state FIPS code followed by 3-character county FIPS code	String	01123
population	Population of the county	Integer	25237061
bidding_units	Bidding units per block in the county	Integer	2300

11

July 8, 2020

Field	Description	Data Type	Examples/Notes
supply	The number of generic blocks of spectrum	Integer	7
	available in the county		
start_of_round_price	Posted price per block for	Integer	2000000
	the market after processing		
	the previous round's bids.		For Round 1, this is the
	This is the minimum bid		opening price
	price in the current round.		
clock_price	The clock price (highest	Integer	3000000
	price) per block of the associated market in the		The clock price can be
	round.		The clock_price can be higher than the posted
	Tourid.		price for the round
aggregate_demand	The aggregate demand for	Integer	15
00 0 _	the county after processing	o o	
	, , ,		Null prior to posting results
			for Round 1
posted_price	Posted price per block for	Integer	3000000
	the county after processing		
	the bids from this round.		Null prior to posting results
	This will be the minimum		for Round 1
	bid price for the county in the next round.		
next_round_clock_pric	The clock price per block	Integer	5000000
e	(highest price) of county in	integer	3000000
	the next round		Null if next round has not
			yet been announced
			Null if quetion has
			Null if auction has concluded
			CONCIUCEU

# 2.7 My Bidder Status

File name: my\_bidder\_status\_round\_#.csv (# = round number)

The My Bidder Status file provides information related to a bidder for a round. This includes information pertaining to eligibility, activity, and financial totals for requested commitments and processed commitments.

The data pertaining to the bidder's eligibility is available in the file once the round has been announced. The data pertaining to a bidder's bids is available in the file once the round is open for bidding. The data pertaining to the bidder's results for a round is available in the file once the round results for that round have been posted. Information pertaining to next round's eligibility, activity upper limit and required activity is available in the file once the information for the next round has been announced.

#### **File Structure:**

- CSV file (first row contains header)
- One record for each round and bidder combination
- The round-specific csv contains one record for the bidder for the round. This record is updated when bids are entered, when the round is posted, and when the next round is announced.

Field	Description	Data Type	Examples/Notes
auction_id	The FCC auction number	String	105
	for this auction		105-Mock
round	Round number	Integer	12
bidder	Qualified bidder name	String	Alpha Bidding Company
frn	The bidder's FCC	String [0-9]{10}	0003645843
	Registration Number		
	(FRN) which uniquely		
	identifies the bidder		
bidding_credit	The bidding credit	Integer	0
	percentage associated	[0 15 25]	15
	with the bidder		25
	0 = no bidding credit		
	15 = 15% bidding credit		
bidding_credit_type	Indicates the type of	String	Small Business
	bidding credit that the	[Rural   Small	
	bidder is eligible to	Business]	Rural
	receive		
			Null if the bidder is not
	Rural = bidder is eligible		eligible for a bidding credit
	for the rural service		
	provider bidding credit		
	Small Business = bidder is		
	eligible for the small		
	business bidding credit		
eligibility	The bidder's eligibility in	Integer	800000
	bidding units at the start		
	of round		
activity_upper_limit	The bidder's maximum	Integer	10000000
	activity that it can submit		
	for the round		For Round 1,
			activity_upper_limit =
			eligibility
required_activity	The bidder's required	Integer	5000000
	activity in bidding units for		
	the round		

Field	Description	Data Type	Examples/Notes
activity	The bidder's bidding	Integer	4000000
	activity in bidding units for		
	the round		Updated during round
requested_commitme	The bidder's requested	Integer	346000000
nt	commitment in dollars for		
	the round		Updated during round
requested_commitme	The bidder's requested	Integer	10000000
nt_discount	discount in dollars for the		
	round based on any		Updated during round
	bidding credits and		
	applying any applicable		Null if the bidder is not
	bidding credit caps		eligible for a bidding credit
requested_net_comm	The bidder's requested	Integer	336000000
itment	net commitment in dollars		
	for the round		Updated during round
			Null if the bidder is not
			eligible for a bidding credit
requested_commitme	The bidder's requested	Integer	16000000
nt_discount_uncappe	discount in dollars for the		
d	round based on any		Updated during round
	bidding credits without		
	applying any applicable		Null if the bidder is not
	bidding credit caps		eligible for a bidding credit
requested_commitme	The bidder's requested	Integer	11000000
nt_discount_uncappe	discount in dollars for the		
d_small	round in the small		Updated during round
	markets based on any		
	bidding credits without		Contains a value if the
	applying any applicable		bidder is eligible for the
	bidding credit caps		small business bidding
			credit; otherwise, null
processed_activity	The bidder's bidding	Integer	4100000
	activity in bidding units		
	after processing		Null if the round results have
			not yet been posted
commitment	The bidder's commitment	Integer	348500000
	in dollars for the round		
			Null if the round results have
			not yet been posted

Field	Description	Data Type	Examples/Notes
commitment_discoun	The bidder's discount in	Integer	10000000
t	dollars for the round		Null if the hidder is not
	based on any bidding credits and applying any		Null if the bidder is not eligible for a bidding credit
	applicable bidding credit		engible for a blading create
	caps		Null if the round results have
	Caps		not yet been posted
net_commitment	The bidder's net	Integer	338500000
_	commitment in dollars for		
	the round		Null if the bidder is not
			eligible for a bidding credit
			Null if the round results have
			not yet been posted
commitment_discoun	The bidder's discount in	Integer	15900000
t_uncapped	dollars for the round		
	based on any bidding		Null if the bidder is not
	credits without applying		eligible for a bidding credit
	any applicable bidding		
	credit caps		Null if the round results have
			not yet been posted
commitment_discoun	The bidder's discount in	Integer	11900000
t_uncapped_small	dollars for the round in the small markets based		Null if the bidder is not
	on any bidding credits		eligible for a small business
	without applying any		bidding credit
	applicable bidding credit		staating ereate
	caps		Null if the round results have
	·		not yet been posted
next_round_eligibility	The bidder's eligibility in	Integer	5125000
	bidding units at the start		
	of the next round		Null if the next round has
			not been announced
			Null in final round
next_round_activity_u	The bidder's maximum	Integer	5300000
pper_limit	activity that it can submit		
	for the next round		Null if the next round has
			not been announced
			Null in final round

Field	Description	Data Type	Examples/Notes
next_round_required _activity	The bidder's required activity in bidding units for the next round	Integer	A100000  Null if the next round has not been announced  Null in final round

# 2.8 My Audit Log

File name: my\_audit\_log\_round\_#.csv (# = round number)

The My Audit Log file is available on the Downloads screen. It is also available from the Place Bids screen after a bid upload or quick action submission.

# **File Structure:**

- CSV file (first row contains header)
- One record for each audit log entry that corresponds to bidding

Field	Description	Data Type	Examples/Notes
log_entry_time	Time of event	Date/Time	2015-12-04 13:21:47
			All times are in Eastern Time
round	Round number	Integer	12
round_state	Round state	String	Open
			Closed
			Calculated
category	category/ activity	String	Bid
			Bid.Batch

Field	Description	Data Type	Examples/Notes
subcategory	Activity for an upload /quick	String	Examples when category = Bid
	action or the upload audit		Bid.Add
	log ("Bid.Batch"); or with a		Bid.Modify.Add
	bid ("Bid")		Bid.Modify.Delete
			Bid.Delete
			Bid.Missing.Add (missing bid)
			Examples when category =
			Bid.Batch
			Default.Submit
			Default.Fail
			Default.Success
			Delete.Submit
			Delete.Fail
			Delete.Success
			Upload.Submit
			Upload.Fail
			Upload.Success
			Clear.Error
			Clear.Success
user	User performing action	String	Joe Smith
			System
bidder	Bidder name (if applicable)	String	1234567890 (Alpha Bidding
			Company)
			FRN and (full name)
market	Identifies the market	String	AL-123
			Can be null
details	Descriptive text	String	Price: \$13,000 (6.16666667%);
			Quantity 3.

# 3 Bid Upload Process

In Round 1, a bidder can place bids by doing the following: download a sample template file such as My Markets Template, enter the quantities requested for each market, and upload the file to the bidding system. Alternatively, a bidder can produce a properly formatted upload file using an external system, and upload that file to the auction software.

Starting with Round 2, a bidder may simply upload its Default Bids Template for a round if it wishes to submit the default bids for each of its markets. The default bid for a market for a given round is a simple bid for a quantity equal to the bidder's processed demand from the previous round at a price equal to the clock price for that market in the given round. Default bids can also be submitted using a Submit Default Bids "Quick Actions" button.

If a bidder does not want to submit default bids, it may download one of the other bid template files, modify it, and upload it to the bidding system. Or, it may upload a file produced by an external system, similar to Round 1.

Bid upload files will not be partially accepted. That is, if there are any errors in the bid upload file, the file will be rejected in its entirety. If the bid upload file contains one or more errors and is therefore rejected, the bidding system will display the errors on the bidding screen and the bidder may then edit the file and upload it again. Any bids that were successfully uploaded before the bad upload still exist.

All bid uploads are cumulative. If a bidder submits a bid for counties 1, 2, 3 and subsequently submits a bid for 3, 4, and 5, the end result is a bid for 1, 2, 3, 4, and 5.

If a bidder has more than one bid for a county for that round (Round 2 or later), a subsequent upload for that county will replace all bids for the county for the round.

The file specifications and criteria for rejection of a bid upload file are given below.

# 3.1 File Requirements – Round 1

- The upload file must be in CSV format. A particular file name is not required.
- The following fields are required, all others are ignored:
  - Market (column header "MARKET")
  - Quantity (column header "QUANTITY")
  - Price (column header "PRICE")
- A bid upload will be rejected in its entirety if any of the following occur:
  - o Column headers for the required fields are missing or invalid
  - Column headers for the required fields must be in the order as "MARKET,QUANTITY,PRICE"
  - The file contains blank lines between markets
  - o A bid was submitted for an invalid county ID
  - o A bid was submitted for a county that that bidder did not select on its Form 175
  - A bid was submitted for a market with a quantity that is not a whole number greater than 0 and less than or equal to the supply of the market
  - A bid was submitted for a market with a price not equal to the Round 1 price; or in the case of a delete of a bid for a particular county, not equal to "delete" or "DELETE"
  - A bid was submitted with a price equal to "delete" or "DELETE" but no prior bid exists to delete
  - The sum of the bidding units for the quantities bid exceeds the bidder's initial eligibility

# 3.2 File Requirements – Round 2 and Later

- The upload file must be in CSV format. A particular file name is not required.
- The following fields are required, all others are ignored:
  - Market (column header "MARKET")
  - Quantity (column header "QUANTITY")
  - Price (column header "PRICE")

- A bid upload will be rejected in its entirety if any of the following occur:
  - o Column headers for the required fields are missing or invalid
  - Column headers for the required fields must be in the order as "MARKET,QUANTITY,PRICE"
  - The file contains blank lines between markets
  - A bid was submitted for an invalid county ID
  - o A bid was submitted for a county that that bidder did not select on its Form 175
  - A bid was submitted for a market with a quantity that is not a whole number greater than or equal to 0 and less than or equal to the supply of the market
  - A bid was submitted for a market with a bid amount that was not between the previous round's posted price and the current clock price; or in the case of a delete of a bid for a particular county, not equal to "delete" or "DELETE"
  - A bid was submitted with a price equal to "delete" or "DELETE" but no prior bid exists to delete
  - A bid was submitted for a market with a quantity equal to the market's processed demand from the previous round but for a bid amount other than the clock price for the market in the current round
  - A bid was submitted for a market with the same quantity as was specified at a different price for that market
  - Multiple bids were submitted for a market such that when the bids were sorted in ascending order by bid amount, the requested quantities were not all increasing or all decreasing
  - The sum of the bidding units for the quantities bid exceeds the bidder's round eligibility
  - The bidding activity associated with the set of bids in the bid upload file exceeds the bidder's upper limit for the round

#### Appendix: Data Type Definitions

The following is a guide to interpreting data types defined in this document. This guide is based on regular expressions used in XML standards.

# Valid Data Types used in this Document

**Character:** A character is a single standard ASCII character. The following list has examples of valid ASCII characters:

- a
- D
- 3
- %

**String:** A string contains one or more characters and can contain spaces. The following list has examples of valid strings:

- AL-123
- 005

"Tallapoosa, AL"

Note that strings containing a comma that are included in a CSV formatted file need to include quotation marks around them. In the above example, "Tallapoosa, AL" would be the correct format for the string in a CSV file.

**Decimal:** The Decimal data type is used to specify a number that may optionally contain a fractional portion.

The following list has examples of valid Decimals:

- 123.45
- -0.15
- .67
- 0.30

The following list has examples of invalid Decimals:

- 123.4.5
- 5+6
- 1.4545E6
- 5,121.00

**Numeric:** Numeric is a generic data type that covers a number of different underlying data types. As a result, anything defined as numeric could be any of the following:

- Decimal
- Integer
- Long

Integer: The integer data type is used to specify a numeric value without a fractional component.

- It's assumed that any Integers defined in this document are unsigned and never include a (+) plus or (-) minus sign. Any signed Integers containing a + or are considered invalid.
- If the Integer is of defined length then curly brackets should be used. For example, {3} indicates the integer should be exactly 3 digits long.
- The maximum value of an unsigned Integer is 2<sup>64</sup>-1 which is 18446744073709551615

The following list has examples of valid Integers:

- 009
- 9
- 2147483647

The following list has examples of invalid Integers:

- -009
- +009
- 18446744073709551616 (i.e., too large)

Integer with optional thousands separator: The "integer with optional thousands separator" data type is used to specify a numeric value without a fractional component that can optionally have a comma to separate thousands. If commas are used as a thousands separator, the number must follow normal convention for specifying thousand separators, be surrounded by double quotes standard to csv files, and not include any additional characters (including spaces) between the comma that precedes the quoted number, or any additional characters in between the quoted number and the comma following the number, if any.

The following list has examples of valid Integers with optional thousands separator:

- 12001002
- "12,001,002"

The following list has examples of *invalid* Integers with optional thousands separator:

- -12001002
- +12001002
- 120,001,002
- "12,001,,002"
- "12001,002"
- A"12,001,002"
- "12,001,002"B

#### Null

Regardless of the data type, under certain conditions a field may be *null*, which means there is no data for that field (i.e., the field is blank).

#### Restricting values for a data type

Restrictions are used to define acceptable values for any given data type. The following lexicon is used when defining data types:

- Square brackets define the pattern.
  - o e.g., [A-L] means only the uppercase letters A through L are allowed.
  - o e.g., [U|D] means only the uppercase letters U or D are allowed.
  - o e.g., [0-9] means only the numbers 0 through 9 are allowed
- Curly brackets define the *length* including spaces.
  - o e.g., {3} means the value has to be exactly 3 characters long.
  - o e.g., {1,3} means the value has to be a minimum of 1 character and a maximum of 3 characters.
  - e.g., {0,50} means the value has to be a minimum of 0 characters and a maximum of 50 characters.

#### Example 1:

The Data Type is defined as follows:

Integer

{3}

The curly brackets mean only a 3 digit integer is allowed.

Valid Values for example 1:

- 009
- 056
- 102

Invalid Values for example 1:

- 09
- 3502
- 1
- +12
- -35

# Example 2:

The Data Type is defined as follows:

# String [A-L]{1}

The square brackets mean only the uppercase letters A through L are allowed and the curly brackets mean it must be exactly 1 character long.

Valid Values for example 2:

- B
- I

Invalid Values for example 2:

- 2
- M
- 6

#### Example 3:

The Data Type is defined as follows:

```
String [0-9]{3}
```

The square brackets mean only the numbers 0 through 9 are allowed and the curly brackets mean it must be 3 characters long.

Valid Values for example 3:

- 001
- 023
- 358

Invalid Values for example 3:

- 2
- 01
- 2026

### Example 4:

The Data Type is defined as follows:

```
String [0-9]{1,2}
```

The square brackets mean only the numbers 0 through 9 are allowed and the curly brackets mean it must be a minimum of 1 character long and a maximum of 2 characters long. Valid Values for example 4:

- 4
- 04
- 41

Invalid Values for example 4:

- 123
- Blank or null value

#### Example 5:

The Data Type is defined as follows:

```
String [US|CA|MX]{2}
```

The square brackets mean the pattern must be either US, CA or MX. The curly brackets mean it must be exactly 2 characters long.

Valid Values for example 5:

- US
- CA

Invalid Values for example 5:

- C
- USA

#### Example 6:

The Data Type is defined as follows:

```
String ([FIPS]-[0-9] [0-9] [0-9] [0-9] [0-9] [11]
```

The square brackets inside the round brackets mean the pattern must be a concatenation of the text "FIPS" followed by six single numbers, with each number ranging from 0 through 9. The curly brackets mean it must be exactly 11 characters long.

Valid Values for example 6:

- FIPS-123456
- FIPS-234567

Invalid Values for example 6:

• FIPS-123

- FIPS123456
- FIPS123
- FIPS0512
- FIPS2

## Example 7:

The Data Type is defined as follows:

String

{0,50}

The absence of square brackets mean there are no restrictions to the characters in this string. The curly brackets mean it must be a minimum of 0 characters long (i.e., can be blank/null) and a maximum of 50 characters long.

Valid Values for example 7:

"Albuquerque-Santa Fe, NM"

Invalid Values for example 7:

- Greenville-Spartanburg, SC-Asheville, NC-Anderson, SC
- This is an invalid string which is longer than 50 characters including spaces.