

# FCC Auction Bidding System User Guide

## SIMULTANEOUS MULTIPLE ROUND AUCTION

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## Contents

1.		Intro	oduction1					
	1.1.	Conventions						
	1.2.	Guide Structure						
2.		Syst	stem Requirements and Access3					
	2.1.	Min	mum System Requirements					
	2.2.	Loge	ing In4					
	2.2.2	1.	First Time Login Procedures					
	2.2.2	2.	Standard Login Procedure7					
	2.3.	Add	itional Information					
	2.3.2	1.	RSA SecurID Token Information8					
	2.	3.1.1	. Number of RSA Tokens Issued and Extra RSA Tokens8					
	2.	3.1.2	. Replacing Lost or Damaged RSA Tokens9					
	2.	3.1.3	. Change of User (Authorized Bidder)9					
	2.	3.1.4. Forgotten PIN						
2.3.2.		2. Multiple Users for the Same Bidder						
	2.3.2	2.	Multiple Users for the Same Bidder9					
	2.3.2 2.3.3	2. 3.	Multiple Users for the Same Bidder					
	2.3.2 2.3.3 2.3.4	2. 3. 4.	Multiple Users for the Same Bidder					
	2.3.2 2.3.3 2.3.4 2.3.5	2. 3. 4. 5.	Multiple Users for the Same Bidder					
	2.3.2 2.3.3 2.3.4 2.3.5 2.3.6	2. 3. 4. 5.	Multiple Users for the Same Bidder					
	2.3.2 2.3.3 2.3.4 2.3.5 2.3.6 2.3.6	2. 3. 4. 5. 5. 7.	Multiple Users for the Same Bidder.9Automatic Logout.9Lock Screen and Log Out.10Login Errors10Browser Navigation.10Bidding via the Auction Bidder Line10					
3.	2.3.2 2.3.2 2.3.4 2.3.5 2.3.6 2.3.7	2. 3. 4. 5. 5. 7. <b>Syst</b>	Multiple Users for the Same Bidder.       9         Automatic Logout.       9         Lock Screen and Log Out.       10         Login Errors       10         Browser Navigation.       10         Bidding via the Auction Bidder Line       10         em Navigation and Overview       11					
3.	2.3.2 2.3.2 2.3.4 2.3.5 2.3.6 2.3.7 3.1.	2. 3. 5. 5. 7. <b>Syst</b> Navi	Multiple Users for the Same Bidder.       9         Automatic Logout.       9         Lock Screen and Log Out.       10         Login Errors       10         Browser Navigation.       10         Bidding via the Auction Bidder Line       10         em Navigation and Overview       11         gation Bar and Features Common to All Screens       11					
3.	2.3.2 2.3.2 2.3.4 2.3.5 2.3.6 2.3.7 3.1. 3.2.	2. 3. 4. 5. 5. 7. <b>Syst</b> Navi	Multiple Users for the Same Bidder9Automatic Logout9Lock Screen and Log Out10Login Errors10Browser Navigation10Bidding via the Auction Bidder Line10em Navigation and Overview11gation Bar and Features Common to All Screens12					
3.	2.3.2 2.3.2 2.3.4 2.3.5 2.3.6 2.3.7 3.1. 3.2.	2. 3. 4. 5. 5. 7. <b>Syst</b> Sum <b>Auc</b>	Multiple Users for the Same Bidder9Automatic Logout9Lock Screen and Log Out10Login Errors10Browser Navigation10Bidding via the Auction Bidder Line10em Navigation and Overview11gation Bar and Features Common to All Screens11mary of ABS Screens12tion Info14					
3. 4. 5.	2.3.2 2.3.2 2.3.4 2.3.5 2.3.6 2.3.7 3.1. 3.2.	2. 3. 4. 5. 5. 7. <b>Syst</b> Sum Auct	Multiple Users for the Same Bidder.       9         Automatic Logout.       9         Lock Screen and Log Out.       10         Login Errors       10         Browser Navigation       10         Bidding via the Auction Bidder Line       10         em Navigation and Overview       11         gation Bar and Features Common to All Screens       11         mary of ABS Screens       12         tion Info.       14         tion Schedule       15					
3. 4. 5. 6.	2.3.2 2.3.2 2.3.2 2.3.6 2.3.7 3.1. 3.2.	2. 3. 4. 5. 5. 7. <b>Syst</b> Sum Auct Auct	Multiple Users for the Same Bidder       9         Automatic Logout       9         Lock Screen and Log Out       10         Login Errors       10         Browser Navigation       10         Bidding via the Auction Bidder Line       10         em Navigation and Overview       11         gation Bar and Features Common to All Screens       11         mary of ABS Screens       12         tion Info       14         tion Schedule       15         ouncements and Conversations       16					
3. 4. 5. 6.	2.3.2 2.3.2 2.3.2 2.3.2 2.3.2 3.1. 3.2. 6.1.	2. 3. 4. 5. 5. 7. <b>Syst</b> <b>Sum</b> <b>Auc</b> t <b>Auc</b> t <b>Auc</b> t	Multiple Users for the Same Bidder       9         Automatic Logout       9         Lock Screen and Log Out       10         Login Errors       10         Browser Navigation       10         Bidding via the Auction Bidder Line       10         em Navigation and Overview       11         gation Bar and Features Common to All Screens       11         mary of ABS Screens       12         tion Info       14         tion Schedule       15         ouncements and Conversations       16         ouncements from the FCC       18					
3. 4. 5. 6.	2.3.2 2.3.2 2.3.4 2.3.5 2.3.6 2.3.7 3.1. 3.2. 6.1. 6.2.	2. 3. 4. 5. 5. 7. <b>Syst</b> <b>Sum</b> <b>Auc</b> t <b>Auc</b> t <b>Ann</b> Con	Multiple Users for the Same Bidder					
3. 4. 5. 6.	2.3.2 2.3.2 2.3.4 2.3.5 2.3.6 2.3.7 3.1. 3.2. 6.1. 6.2.	2. 3. 4. 5. 5. 7. <b>Syst</b> <b>Sum</b> <b>Auc</b> t <b>Auc</b> t <b>Auc</b> t <b>Ann</b> Con <sup>o</sup> <b>Bidc</b>	Multiple Users for the Same Bidder.       9         Automatic Logout.       9         Lock Screen and Log Out.       10         Login Errors       10         Browser Navigation.       10         Bidding via the Auction Bidder Line       10         em Navigation and Overview       11         gation Bar and Features Common to All Screens       11         mary of ABS Screens       12         tion Info.       14         tion Schedule       15         ouncements and Conversations       16         puncements from the FCC       18         versations with the FCC.       19         ling Functions       22					

	7.2.	Sele	ecting Bids	26
	7.3.	Sub	mitting Bids	28
	7.4.	Wat	tchlist	30
	7.4	4.1.	Create Watchlist	30
	7.4	4.2.	Add Items to an Existing Watchlist	32
	7.4	4.3.	Create Watchlist by File Upload	32
	-	7.4.3.1	. File Structure – Sample Watchlist Upload File	33
	7.4	1.4.	Viewing and Editing Watchlists	33
	7.4	4.5.	Filtering by Watchlist	34
	7.5.	Uplo	oad Bids	35
	7.5	5.1.	File Structure – Upload File	37
	7.5	5.2.	File Structure — My Bids Template File	38
	7.6.	Rev	ising Bids and Removing Bids	41
	7.7.	Wit	hdraw Bids	41
	7.8.	Wai	vers	42
	7.8	3.1.	Proactive Waiver	44
	7.9.	Red	uce Eligibility	45
8.	•	Acti	vity and Eligibility	48
9.	•	Rou	nd Summary	49
	9.1.	My	Actions	49
	9.2.	Con	firmation	49
1(	0.	Post	ted Results	51
	10.1.	My	Bid Result	51
	10.2.	Last	Posted Round	51
1:	1.	Dov	vnloads	53
	11.1.	My	Bids/Status Tab	54
	11	.1.1.	My Bids	54
	11	.1.2.	My New Bids	57
	11	.1.3.	My Withdrawn Bids	57
	11	.1.4.	My Bid Results	57
	11	.1.5.	My Provisionally Winning Bids	60
	11	.1.6.	My Item Status	60

11.1.7.	My Bidder Status	. 63
11.1.8.	My Actions	. 66
11.2. Cur	rent Prices Tab	. 67
11.2.1.	Current Minimum Acceptable Bids	. 67
11.2.2.	My Bids Upload (with current prices)	. 70
11.3. All I	Posted Bids/Status	. 70
11.3.1.	All New Bids	. 70
11.3.2.	All Withdrawn Bids (if withdrawals are allowed)	. 70
11.3.3.	All Provisionally Winning Bids	. 70
11.3.4.	All Item Status	. 71
11.3.5.	All Bidder Status	. 71
11.4. Oth	ner Tab	. 71
11.4.1.	Auction Summary	. 71
11.4.2.	Round Summary	. 72
11.4.3.	Items Info	. 74
11.4.4.	Pricing Formulas	. 75
11.4.5.	All Bidder Items	. 76
12. Fina	al Results	. 78
12.1. Pos	ted Results	. 78
Appendix 1: S	System Troubleshooting	. 80
Situation 1	: Page cannot be displayed error message on first access	. 80
Situation 2	: Cookies must be enabled error message	. 81
Situation 3	: JavaScript must be enabled error message	. 81
Situation 4	: Page cannot be displayed error message when navigating to new screen	. 82
Situation 5	: Page has expired error message when back button is clicked	. 83
Situation 7	: Computer or web browser crashes	. 83
Situation 8	: Certificate error message	. 83
Appendix 2: [	Data Type Definitions	84
Valid Dat	ta Types used in this Document	. 84
Example	s for valid Data Types	. 86

## 1. Introduction

This guide describes the features of the web-based FCC auction bidding system (ABS) used to bid in FCC spectrum auctions.

The simultaneous multiple-round (SMR) auction is conducted in a series of timed bidding rounds. During a bidding round, users are able to place bids for items. Each bid will specify either the minimum acceptable bid (MAB) or one of eight additional bid amounts. Bids can be submitted on the Place Bids screen or by uploading a bid file.

After a round closes, ABS processes the bids and posts the results. Users will get information about the status of the auction, including the total provisionally winning bid amount for the auction and number of new bids for the round. For each item, bidders can see the provisionally winning bid amount and whether they are the provisionally winning bidder. The MAB amount for each item for the next round is available on the Place Bids screen and in downloads.

#### 1.1. Conventions

In this guide screen names are Capitalized, links in the system are <u>underlined</u>, and the following text represents a Button.

Text boxes are used to indicate points where particular care is needed:

Care needed with this feature!

In this guide, the term "bidder" refers to an applicant that has been identified by the FCC as qualified to bid in the auction based on review of its FCC Form 175 application. The terms "user," "you," and "authorized bidder" refer interchangeably to an individual authorized bidder identified on a qualified bidder's Form 175 as an individual authorized to access ABS and to submit bids on behalf of the qualified bidder.

"Item" is a generic term for what is being auctioned. In Auction 109, the items are permits.

"Null" means a field is blank and has no value.

#### **1.2.** Guide Structure

After this introduction, the guide is set out as follows:

- Section 2, System Requirements and Access, describes the system requirements for accessing the system and how to log in.
- Section 3, System Navigation and Overview, explains how to navigate the system and provides a summary of the screens available to bidders.

- Section 4, Auction Info, describes basic information about the items available during the auction.
- Section 5, Auction Schedule, describes how to view the auction schedule.
- Section 6, Announcements and Conversations, describes how to view the announcements that have been released for this auction and how a bidder can send messages to the FCC.
- Section 7, Bidding Functions, describes how to place and remove bids, create watchlists, upload bids and place a proactive waiver.
- Section 8, Activity and Eligibility, describes how to verify bids submitted, activity, eligibility and commitment in a round.
- Section 9, Round Summary, describes how to access summaries of bidding actions taken during a round.
- Section 10, Posted Results, describes how to access the results at the conclusion of each bidding round.
- Section 11, Downloads, describes the structure and format of the upload and download files.
- Section 12, Final Results, describes how to view auction results after the auction has concluded.

There are two appendices:

- Appendix 1, System Troubleshooting, provides troubleshooting tips for a number of different scenarios.
- Appendix 2, Data Type Definitions, provides an interpretation of data types defined in this document.

## 2. System Requirements and Access

This section explains the system requirements for accessing ABS, how to log in, and other matters related to access.

#### 2.1. Minimum System Requirements

At the minimum, the following is required to access ABS:

• Microsoft<sup>®</sup> Windows<sup>®</sup> 8.1, Windows<sup>®</sup> 10, or Apple<sup>®</sup> Mac<sup>®</sup> OS X<sup>®</sup> 10.14 or higher

A web browser must be used to access the FCC auction bidding system.<sup>1</sup>

- Google Chrome<sup>™</sup> 90 or higher or Mozilla<sup>®</sup> Firefox<sup>®</sup>
- Access to the Internet
- 1366 x 768 screen resolution<sup>2</sup>

Smartphones and tablets are not supported. ABS may run on different computer configurations, including browsers not listed above. It is the responsibility of the user to test any alternative configurations with ABS in advance of the auction—for example during the mock auction—and to ensure that they have access to one of the supported browsers should they experience problems. Any user attempting to access ABS with a computer configuration or device that does not meet the requirements listed above is solely responsible for any resulting failure to access ABS, failure to do so in a timely manner, or failure of the bidding system to process the user's attempted bidding or other actions (even if it appears that such actions were completed properly).

Users are discouraged from using web browsers containing third-party toolbars or other extensions. Third-party browser extensions potentially may cause problems for users of interactive websites, including ABS, and may make users' computers more vulnerable to hacker attacks. If in doubt, you should consult your internal information technology departments in order to disable third-party browser extensions or, if necessary, obtain a clean installation of a supported web browser.

To maximize protection against potential security vulnerabilities, users are advised to ensure the latest service pack (if applicable), security patches for their operating system (including Edge or Internet Explorer), and the most recent version of Google Chrome (where relevant), have been installed.

<sup>&</sup>lt;sup>1</sup> In the past we have recommended using Mozilla<sup>®</sup> Firefox<sup>®</sup> or Google Chrome<sup>®</sup> but also supported Microsoft<sup>®</sup> Internet Explorer 9.0 or higher (with compatibility mode turned off). Please note that after January 31, 2020, Microsoft will no longer support any version of Internet Explorer prior to version 11. Microsoft recommends using the Microsoft Edge browser instead. At this time, the Commission has not fully tested the Auction Application System with Edge and therefore does not yet support it.

<sup>&</sup>lt;sup>2</sup> Other screen sizes will work, but a minimum of 1366x768 is required to fit all of the information on a single screen without horizontal scrolling. If all of the information from ABS cannot fit on your display, you can either zoom out using your Internet browser or scroll right and left using the scroll bars. To adjust the zoom, hold down the control ("ctrl") key and use the "+" and "-" keys to zoom in and out.

#### 2.2. Logging In

Once ABS becomes available you can log in using the URL provided only to qualified bidders in the registration materials. When available, the link to the Login screen, as shown in Figure 1, will be visible. When the Login screen is not available, there will be a message on the screen and the link to access the Login screen will not be visible.

#### Figure 1: ABS homepage



Click on the <u>Click here for the login screen</u> link in order to get to the Login screen shown in Figure 6 on page 8.

This guide provides two sets of login instructions: Section 2.2.1, initial login where an authorized bidder creates a personal identification number ("PIN") and logs in for the first time; and Section 2.2.2, the standard login used after the PIN is created.

Each authorized bidder listed on the qualified bidder's FCC Form 175 will have a unique username (user ID) provided by the Commission, which will be associated with a specific RSA SecurID<sup>®</sup> token (RSA token) assigned to the authorized bidder for the auction. All authorized bidders for a qualified bidder will use a password associated with the FRN (FCC Registration Number) used on the bidder's FCC Form 175. The FRN password may be either directly associated with the FRN in CORES or the password associated with the username that is linked to the FRN in CORES. The RSA tokens are specific to an authorized bidder, and each authorized bidder will need to set a private PIN for his or her token.

The RSA token generates a time-sensitive 6-digit tokencode that changes every 60 seconds. This tokencode is required as part of the login process along with the authorized bidder's username and password. RSA tokens will be sent by overnight delivery to the contact person identified in the FCC Form 175 for each qualified bidder listed on the Qualified Bidder PN, and must be distributed by the contact person to each of the specified authorized bidders.

The username and RSA token should be used only by the authorized bidder to which it was assigned. ABS logs all actions taken by a user based on the username entered when logging in.

#### Figure 2: An RSA SecurID<sup>®</sup> token



Please note that each RSA token is tailored to a specific auction. Therefore, RSA tokens issued for other auctions or obtained from a source other than the FCC will not work for this auction.

At the conclusion of the auction the Commission will send each bidder a pre-addressed, stamped envelope to return its RSA tokens.

#### 2.2.1. First Time Login Procedures

The first time you log in to the system each authorized bidder for a particular qualified bidder will create a personal identification number (PIN). After the first-time login procedure has been completed and a PIN created, you will follow the standard login procedures (detailed in section 2.2.2. below).

You will need the following login credentials to access the system for the first time:

- Username (user ID): FCC assigned
- Password: FRN password or associated Username password<sup>3</sup>
- RSA tokencode: a six-digit code generated by the RSA SecurID<sup>®</sup> token

In the ABS login area, type the username, password and current tokencode displayed on your RSA token as shown in Figure 3. Leave the PIN field blank and click Login ».

If you forget your FRN password, you can contact the FCC Support Center at (877) 480-3201 option 1 (Mon-Fri 8:00 a.m. – 6:00 p.m. Eastern Time (ET)).

<sup>&</sup>lt;sup>3</sup> The FRN password may be either the password directly associated with the FRN in CORES or the password associated with the username that is linked to the FRN in CORES.

#### Figure 3: First time login procedure

Please log in.	
Username:	000000000A
Password:	•••••
PIN:	
C 🥌 🔝	456456
TIP: if you have not se PIN field blank.	t an RSA PIN, please leave the

An RSA tokencode will be generated by your RSA SecurID<sup>®</sup> token and will not be the same as the code shown in Figure 3.

This opens a screen to create a PIN as shown in Figure 4.

#### Figure 4: Set PIN screen

You must set a new PIN to continue.							
	Please enter a new PIN for your RSA SecurID® token. This PIN may be from 4 to 8 digits long and must be numeric. PIN:						
	PIN Again:						
	Expires in: 111 s						

Type a 4-8 digit numeric PIN of your choice in the PIN field. Enter it twice as requested to ensure accuracy. Then click Change PIN. Be sure to memorize this PIN. There is a limited amount of time to set a new PIN and confirm your credentials, as shown by the expiration time on the screen.

A message will display in a yellow box above the PIN and tokencode fields to indicate that the new PIN was accepted, as shown in Figure 5.

Type in the PIN you just created and a new tokencode. Make sure that the number on the RSA token's display has changed, type this new number into the tokencode field, and then click Continue.

#### Figure 5: Confirm RSA credentials screen

The new PIN was	accepted. Please finalize the login with new RSA credentials.
Pleas	e wait until the tokencode changes on your token. Then, enter the new tokencode.
PIN:	
0	135 153
	Continue
Expire	es in: 98 s

After completing the set-up procedure, you will be able to access ABS and see the navigation bar on the left-hand side. Thereafter, the standard login procedure applies.

#### 2.2.2. Standard Login Procedure

To log into ABS, you will need the following login credentials:

- Username (user ID): FCC assigned
- Password: FRN password or associated Username password<sup>4</sup>
- PIN: numeric PIN created during the initial login
- RSA tokencode: a six-digit code generated by the RSA SecurID<sup>®</sup> token

Enter the information on the Login screen (see Figure 6) and click Login ».

If you forget your FRN password, you can contact the FCC Support Center at (877) 480-3201 option 1 (Mon-Fri 8:00 a.m. – 6:00 p.m. ET).

<sup>&</sup>lt;sup>4</sup> The FRN password may be either the password directly associated with the FRN in CORES or the password associated with the username that is linked to the FRN in CORES.

#### Figure 6: Login screen

Auction 1 Sample Auction		01:02 PM ET 4/27/2018					
Sample Auction Broadcast Auction							
	WARNING						
	This system may contain Government information, which is restricted to authorized users ONLY. Unauthorized access, use, misuse, or modification of this computer system or of the data contained herein or in transit to/from this system constitutes a violation of Tille 18, United States Code, Section 1030, and may subject the individual to Criminal and Civil penalties pursuant to Title 26, United States Code, Sections 7213, 7213A (the Taxpayer Browsing Protection Act), and 7431. This system is subject to monitoring to ensure proper performance of applicable security features or procedures. Such monitoring may result in the acquisition, recording and analysis of all data being communicated, transmitted, processed or stored in this system by a user. If monitoring reveals possible evidence of criminal activity, such evidence may be provided to Law Enforcement Personnel.						
	You have logged out.	,					
	Please log in.   Username:   Password:   PIN:   O OO TOTSO   TIP: if you have not set an RSA PIN, please leave the PIN field blank.  Login »						
© 2000-2018 by Power Auctions LLC.							

#### 2.3. Additional Information

#### 2.3.1. RSA SecurID Token Information

#### 2.3.1.1. Number of RSA Tokens Issued and Extra RSA Tokens

Each qualified bidder is issued three RSA tokens. A bidder with three authorized bidders is issued three RSA tokens. A bidder with two authorized bidders is issued two RSA tokens for its authorized bidders plus one extra RSA token. A bidder with one authorized bidder is issued one RSA token for its authorized bidder plus two extra RSA tokens. Each bidder is permitted to identify up to three authorized bidders on its FCC Form 175. Therefore, a bidder with fewer than three authorized bidders will have one or two extra tokens.

Bidders cannot use an extra RSA token unless they contact the FCC to activate the extra RSA token for a specific user.

Each RSA token must be associated with a specific authorized bidder. RSA tokens are not interchangeable among authorized bidders. The FCC must reassign the token in the RSA server for the token to become functional.

#### 2.3.1.2. Replacing Lost or Damaged RSA Tokens

In the event that an RSA token is lost or damaged, the person who has been designated as the authorized bidder, contact person, or certifying official listed on the bidder's FCC Form 175, should call the Auction Bidder Line telephone number provided with their registration materials to request activation of a replacement token.

## 2.3.1.3. Change of User (Authorized Bidder)

A qualified bidder may change or replace its authorized bidders. For more information on changing the authorized bidder assigned to an RSA token, the person who has been designated as the contact person or certifying official on the bidder's FCC Form 175 application should call the Auction Bidder Line telephone number provided with the registration materials.

## 2.3.1.4. Forgotten PIN

If an authorized bidder forgets his PIN, he should contact FCC Technical Support hotline ((877) 480-3201, option 9; (202) 414-1250; or (202) 414-1255 (TTY)) to have the PIN reset. Please note, however, that this process might not occur before the next round begins. In this event, a bidder with insufficient activity will either use an activity rule waiver or lose bidding eligibility. The FCC Technical Support Hotline is generally available Monday through Friday from 8:00 a.m. to 6:00 p.m. ET.

#### 2.3.2. Multiple Users for the Same Bidder

It is possible for more than one authorized bidder for the same qualified bidder to log in and access the system at the same time, each using their assigned username and credentials. Any updates made by one such authorized bidder will be displayed on the screen of the other users for the same bidder after the screen is refreshed.

However, **only one such authorized bidder should bid at any given time** to avoid a potential conflict in data that may produce a bid, or set of bids, that was not intended.

To avoid a potential conflict in data that may produce a bid, or set of bids, only one authorized bidder should bid at any given time.

#### 2.3.3. Automatic Logout

A single user cannot be logged into ABS using two computers at the same time.

Trying to use the same username to access ABS from a second computer will automatically log the user out from the first computer and the first computer will display a log out notification. This is a protective feature for users, because it allows a user to log in to ABS using a second computer if the first computer crashes before the user was able to log out of the system.

#### 2.3.4. Lock Screen and Log Out

You may manually lock your screen by clicking on the Lock Screen button, located at the bottom of the navigation bar on every screen as shown in Figure 7 in the next section. If you are inactive for more than 15 minutes, ABS will automatically lock your screen. Unlocking the screen requires the password followed by clicking Unlock.

If you are inactive for more than 30 minutes, ABS will log you out completely. You will need to log in again to access the site. You may manually log out of ABS at any time using the Logout button, located at the bottom of the navigation bar on every screen, as shown in Figure 7.

#### 2.3.5. Login Errors

During an auction, the system keeps track of the success or failure of each login attempt. In case of incorrect entry of data into any of the four required fields during login, you will receive an error message with an error code. For assistance call the Auction Bidder Line at the number provided with your registration materials.

#### 2.3.6. Browser Navigation

Logged-in bidders are advised to use the navigation features of the ABS. Using the browser's forward and back navigation functions may produce unpredictable results.

#### 2.3.7. Bidding via the Auction Bidder Line

The Auction Bidder Line is available to assist bidders in first time login procedures, bidding actions, reviewing round results, announcements and auction related questions. The telephone number for the Auction Bidder Line is provided with your registration materials.

Bidders need to provide the telephonic bid assistant with the appropriate login information to access ABS. Telephonic bid assistants are required to follow a script, and the length of calls to place bids will vary depending upon the complexity and the number of bids. Bidders are therefore reminded to allow sufficient time to bid by placing their calls well in advance of the close of a round.

## 3. System Navigation and Overview

#### 3.1. Navigation Bar and Features Common to All Screens

Once you have logged in successfully, you will see a blue bar at the top of the page and a black navigation bar on the left.

All screens have a black navigation bar with links to the available screens. Beneath the navigation bar is the name of the authorized bidder who has logged in, the Lock Screen button and the Logout button as described in Section 2.3.4.

The blue bar at the top of each screen displays status and timing information that is common to all screens. It shows the name of the qualified bidder, the stage and stage percentage, number of activity rule waivers remaining and withdrawals remaining (not available in all auctions), the current round, a countdown timer showing the time to the beginning or the end of a round, and the current date and time in Eastern Time (ET).

An illustration of the navigation bar and common screen features is shown in Figure 7.



#### Figure 7: General ABS screen layout

#### 3.2. Summary of ABS Screens

The navigation bar gives access to eight screens and the means to do the following:

#### Table 1: Summary of screens reached from the navigation bar

Place Bids	Allows you to submit bids and view bids for the round. After the auction has concluded this screen will show final results.
Create Watchlist	Allows you to create custom watchlists of items.
Upload Bids	Allows you to upload bids by using an upload bids file.
Proactive Waiver	Allows you to place a proactive waiver.
Activity	Allows you to see a summary of commitment, activity and eligibility for submitted bids and, in a separate table, the same information including selected but unsubmitted bids.
Round Summary	Allows you to view a summary of all bids submitted on behalf of the bidder during a particular round.
My Actions	Allows you to view all actions made during the round and provides information on commitment, activity, eligibility and waiver and withdrawal* status.

Confirmation	Allows you to view confirmations of bids placed in the current and past
	rounds.
Posted Results	Allows you to view results of past rounds.
My Bid Result	Allows you to see the results of your bidding, including personal
	statistics, filtered by round and items.
Last Posted Round	Allows you to see the status of the auction for the last posted round,
	including dollar and percentage changes and stage transition
	percentage. It also displays additional status information about the
	bidder's items, including withdrawn Bids (if allowed) and item status.
Messages	Allows you to view announcements and messages from the FCC. You
	may also use this screen to send messages to the FCC.
Auction Schedule	Allows you to view the schedule for upcoming bidding rounds.
Downloads	Allows you to download information, including submitted bids, item and
	bidder statuses, and prices.
Auction Info	Allows you to view information about the available items in the auction.
Auction Info	Allows you to view a list of items in the auction and information about
	each item.

\*if withdrawals are allowed in the auction

Screens may be printed by using the browser print function or by clicking the **Print** button.

## 4. Auction Info

The Auction Info screen displays information about all the items available during the auction. You may access the Auction Info screen once ABS becomes available.

As shown in Figure 8, the Auction Info screen lists all the items in the auction and details about each item. The information displayed in the first several columns is identical for all bidders. The last two columns have specific information about the items selected on your FCC Form 175 and possible bidding credits.

The screen also contains various links to related FCC websites.

FC Auction 1 Sample Auction	i.			Indiv	idual Bidder	1 [	Stage Waiver 80%] 3↓	Round 236	1 begins in: 5 <b>:43:39</b>	12:16 PM ET 3/2/2020
\$ Place Bids	Auction Info									Print
-\/- Activity	Auction Info	Bidder Item Da	ata							
Round Summary										
O Posted Results	This is a default au	ction summary.								
🛡 Messages	Add summary infor	mation here abou	it any aggregate	e reserve prices	or spectrum ca	ps that may	exist in this auctio	n.		
Auction Schedule		FCC		Auction 106			PRS			
🕹 Downloads				Minimum						
🛖 Auction Info	Item	Description	Bidding Units	Opening Bid	Channel	State	City	Service	Selected	My Bidding Credit
	filter	filter	filter	filter	filter	filter	filter	filter	filter	filter
	MM-GEN001	Alabama 1	750	\$750	284	AL	Alabama 1	MM	Y	25%
	MM-GEN002	Alaska 1	1,000	\$1,000	286	AK	Alaska 1	MM	Υ	25%
	MM-GEN003	Arizona 1	1,500	\$1,500	290	AZ	Arizona 1	MM	Ν	
	MM-GEN004	Arkansas 1	750	\$750	289	AR	Arkansas 1	MM	Ν	
	MM-GEN005	California 1	1,500	\$1,500	229	CA	California 1	MM	Ν	
Longed in as:	MM-GEN006	Colorado 1	1,000	\$1,000	229	CO	Colorado 1	MM	Ν	
Shesa Bidder	MM-GEN007	Florida 1	750	\$750	232	FL	Florida 1	MM	Ν	
Lock Screen	MM-GEN008	Georgia 1	1,000	\$1,000	232	GA	Georgia 1	MM	Ν	
Logout	MM-GEN009	Hawaii 1	1,000	\$1,000	261	HI	Hawaii 1	MM	Ν	
© 2000 2020 hu Revers Austiens LLC										

#### **Figure 8: Auction Info**

## 5. Auction Schedule

The Auction Schedule screen shows the current schedule for upcoming rounds in Eastern Time (ET), as illustrated in Figure 9. The schedule includes the date, duration, start time and end time for each round. The time of the next event is displayed in bold red text – in this example, the start of Round 1. The FCC may adjust the number of rounds per day as the auction proceeds. Additional rounds will be displayed as the auction progresses.

The schedule displayed in the example does not indicate the expected number of rounds in the auction. Similarly, the number of rounds displayed during the auction does not imply an expected number of rounds left in the auction; the schedule will show the next several rounds assuming the auction continues for that duration or longer.

#### Figure 9: Auction Schedule screen

Auction 1 Sample Auction		Individual Bidder	Stage 1 [80%]	<sup>Waivers</sup> 3↓	Round 1 begins in: 236:43:39	<b>12:16 PM ET</b> 3/2/2020
\$ Place Bids	Auction Schedule					
-/- Activity	Thursday - Mar 12, 2020	I	Duration		Start	End
Round Summary	Round 1		1 hour		10:00 AM ET	11:00 AM ET
O Posted Results	Round 2		1 hour		12:00 PM ET	1:00 PM ET
Maccagas	Round 3		1 hour		3:00 PM ET	4:00 PM ET
	Round 4		1 hour		5:00 PM ET	6:00 PM ET
Auction Schedule	Note:					
✤ Downloads ♠ Auction Info	<ul> <li>All start and end times are in Eastern Time. Red figure</li> <li>All future rounds are tentative and subject to change.</li> <li>indicates the round is active.</li> <li>indicates a past round.</li> </ul>	es indicate the time of the next e	vent.			
Logged in as: Shesa Bidder Lock Screen Logout						

## 6. Announcements and Conversations

On the Messages screen you can read announcements posted by the FCC, and a bidder can communicate with the FCC by sending messages to and receiving responses from the FCC that are visible only to the bidder. If you have any unread announcements or conversations, the number of unread messages is indicated in parentheses next to the <u>Messages</u> link on the navigation bar or in a pop-up notification in the blue bar as shown in Figure 10.

FC Auction 1 Sample Auction	You have 1 new message.	Individual Bidder	1 [80%] 3↓	Round 1 begins in: 236:43:39	12:16 PM ET 3/2/2020
\$ Place Bids	Auction Schedule				
-A- Activity	Thursday - Mar 12, 2010	D	uration	Start	End
🖾 Round Summary	Round 1		1 hour	10:00 AM ET	11:00 AM ET
Posted Results	Round 2		1 hour	12:00 PM ET	1:00 PM ET
Messages (1)	Round 3		1 hour	3:00 PM ET	4:00 PM ET
10 Austin Cabadula	Click either lin	k to access	1 hour	5:00 PM ET	6:00 PM ET
Auction Schedule	Note: messa	ges			
🐓 Downloads	All start and end times are in Eastern Time. Red figu	ires indicate the time of the next eve	ent.		
Logged in as: Shesa Bidder Lock Screen Logout	indicates ine round is active.				

#### Figure 10: Notification of new message

To read an announcement, send a message, or read a response from the FCC, click on the <u>Messages</u> link on the navigation bar. The Messages screen, as shown in Figure 11, will open, displaying all announcements and conversations, abbreviated where necessary, in reverse chronological order.

If any of the announcements or messages shown on the screen are being displayed for the first time, a summary box will display at the top of the screen indicating the number of new announcements or messages.



#### Figure 11: Messages screen

Any unread message is highlighted in green. In order to read a message, you will need to click on the relevant View entire announcement » or View entire conversation / Respond » link.

After an unread announcement or conversation has been opened, the number next to the <u>Messages</u> link will decrease accordingly. The number will not decrease until the <u>View entire announcement »</u> or <u>View</u> <u>entire conversation / Respond »</u> link has been clicked for the unread message. The notifications at the top of the screen will disappear once all messages have been opened.

Whenever you see an indication that a message is unread, go to the Messages screen and click on the relevant <u>View</u> <u>entire announcement »</u> or <u>View entire conversation /</u> <u>Respond »</u> link.

At the top right-hand side of the screen, a filter allows you to find messages containing particular words. You can view all of your messages by clicking on the <u>All Messages</u> link at the top right, above the filter. This page can then be printed using the browser's print function.

#### 6.1. Announcements from the FCC

Announcements contain important bidder information, such as changes in the bidding schedule or the suspension of bidding due to unforeseen circumstances. The Messages screen will only show the top portion of an announcement. To view the entire text of an announcement you must open the announcement by clicking on the <u>View entire announcement »</u> link for the announcement you wish to read, as shown in Figure 12.

#### Figure 12: View entire announcement link

O Posted Results	Announcements	
Messages (1)	To: All Participants From: Abigail Anderson, FCC	Welcome
🕮 Auction Schedule	Sent: November 1 2016, 9:50 AM ET	Welcome to the SMRA auction bidding. Announcements It is imperative for authorized bidders to read
✤ Downloads		View entire announcement a
🏟 Auction Info		
	My Conversations	iew entire announcement »
	You have no conversations at this time.	in Administrator.
	Note:	

Clicking on the <u>View entire announcement »</u> link loads an Announcement screen which displays the full text of the announcement as shown in Figure 13. To close the announcement and return to the Messages screen, click the <u>« Back to Messages</u> link.

#### Figure 13: An open announcement

Auction 1 Sample Auction		Individual Bidder	Stage 1 [80%]	<sup>Waivers</sup> 3↓	Round 1 begins in: 236:43:39	12:16 PM ET 3/2/2020
\$ Place Bids	Announcement					
-∕γ- Activity	To: All Particinants	Welcome			Pr	inter-friendly View
Round Summary	From: Abigail Anderson, Auction Administrator	Welcome				
O Posted Results	Sent: March 02 2020, 12:16:21 PM ET	Welcome to the Sample Au	uction Announce	ments. We	e strongly encourage all bio	Iders to
두 Messages		contain important informa	tion such as act	ivity rule	changes, schedule change	s, etc.
🛗 Auction Schedule		link in the navigation bar o	f the FCC auctio	on bidding	system. To read an annou	ncement in
🕁 Downloads		its entirety, you must click	on the <u>view ent</u>	ire annou	ncement » IInk.	
Auction Info		« Back to Mess	ages			
Logged in as: Shesa Bidder Lock Screen Logout						

#### 6.2. Conversations with the FCC

You can communicate with the FCC through ABS. A message from a user is associated with a bidder's FRN and the authorized bidder who sent the message. Only authorized bidders for a bidder can see such messages.

For time-sensitive issues, users should call the Auction Bidder Line telephone number provided with your registration materials.

To compose a new message to the FCC, click Compose New Message » in the My Conversations section of the Messages screen, as shown in Figure 11 above.

To compose a message, enter the subject and content of the message in the relevant fields as shown in Figure 14 below. When cutting and pasting a message it is necessary to insert a space somewhere on the page before being able to send the message. Click Send to send the message. To cancel an unsent message, click on the <u>« Back to Messages</u> link and return to the Messages screen.

#### Figure 14: Compose a message

Auction 1 Sample Auction		Individual Bidder	Stage 1 [80%]	<sup>Waivers</sup> 3↓	Round 1 begins in: 236:43:39	12:16 PM ET 3/2/2020
\$ Place Bids	Compose New Message					
-∕γ- Activity		If you require a time-sensiti	ive response,			
Round Summary		call the Auction Bidder Line a	1 888-268-8195.			
C Posted Results	To: Auction Administrator					
두 Messages	Subject:					
🛗 Auction Schedule						
🕹 Downloads						
Auction Info	<u>« Back to Messages</u>					Send
Logged in as: Shesa Bidder Lock Screen Logout e 2000-2020 by Power Auctions LLC.						

A sent message will appear as a new conversation in the My Conversations section of the Messages screen. The FCC may reply by sending an electronic message or by calling the contact person on the phone. If there is an electronic reply from the FCC, the reply will be added to that conversation, as demonstrated in Figure 15.

As with announcements, new messages are highlighted in green on the Messages screen until they are read. Only the most recent message of a conversation is shown on the Messages screen, as illustrated in Figure 15 To view the complete message and all messages in a conversation, click on the <u>View entire</u> <u>conversation / Respond »</u> link for that conversation. Click on the <u>« Back to Messages</u> link to return to the messages, or click on the <u>Respond To This Conversation</u> button to add a further message to the same conversation.



#### Figure 15: A response to a conversation initiated by an authorized bidder

View all announcements received and all communications with the auction administrator on a single page by clicking on All Messages (Printer-friendly View). This page can then be printed using the browser's print function.

If you are in the middle of writing a message as the round ends and the screen greys out, click on the Close without refreshing button on the pop-up to finish writing and sending the message without losing it.

## 7. Bidding Functions

#### 7.1. Basic Layout and Functionality of the Place Bids Screen

Users conduct all bidding activities through the Place Bids screen, which is accessed from the navigation bar on the left. The basic layout of the Place Bids screen is broken into four sections (Figure 16).

- Commitment and Activity
- Action Buttons
- Filters
- Items bidder is eligible to bid on

FC Auction 1 Sample Auction			Bidding Com	Pany Round	Stage 1 [80%]	Waivers Round	3 ends in: 9:56	03:00 PM ET 3/12/2020		
Place Bids     My Permits     +Outbid     [1]     +PWB     [1]	Place Bids		Succe	essfully logged in.						_
+FCC Held [0] Create Watchlist Upload Bids Proactive Waiver	Commitment \$830	Activity 750		Require	ment )	Eligibil 1,75	ty Sub 0 E Conf	mit Bids irmation (Print)	Commitmen and Activity Status	t ′
√γ- Activity I Round Summary	Add to watchlist	Remove from watchlist	An automatic waiver	vill be applied. <u>Redu</u> Place mi	nimum bid	Remove bids	Clear unsu	ubmitted bids	Action buttons	
Posted Results	Permit	Description Biddi	ng Units State	Channel	PW Bidder	Place	Bid			
🛡 Messages	filter	filter	filter	filter	filter	All			Filters	
Auction Schedule	MM-GEN001	Alabama 1	750 AL	284	Bidding Compa	ny <u>Stay</u>	\$ <u>830</u>		Items bidde	r
Downloads     Cogged in as:     Hesa Bidder	MM-GEN002	Alaska 1	1,000 AK	286	Bidder Three	<u>No Bi</u>	d		is eligible to bid on	'
Lock Screen										
© 2000-2020 by <u>Pewer Auctions II.C.</u>									ļ	

#### Figure 16: Layout of Place Bids screen

The commitment and activity status bar is located at the top of the Place Bids screen (Figure 17). This status bar provides a summary about your bidding status including:

- Requirement The minimum number of bidding units you must be active on in the round to meet the activity requirement. If you fail to satisfy your required activity, ABS will apply an automatic waiver on your behalf if you have any waivers remaining; otherwise, it will permanently reduce your eligibility, possibly curtailing or eliminating your ability to place additional bids in the auction.
- Activity The total number of bidding units of your active bids (new bids placed in the current round and provisionally winning bids from the previous round).

- Eligibility The maximum number of bidding units on which you are permitted to bid and hold provisionally winning bids on during the round. The initial value of your eligibility is determined by the amount of upfront payment you submitted but will not exceed the sum of bidding units associated with the total number of items selected on your FCC Form 175 application. Note that initial eligibility is calculated differently for qualified bidders that have previously been in default on a Commission license or delinquent on a non-tax debt owed to a Federal agency.
- Commitment/Net Commitment Whenever you successfully submit bids in the system, the system updates your requested commitment and submitted activity numbers on the screen. If a bidder has claimed bidding credit eligibility, the commitment reflects the net commitment (taking bidding credits into account) rather than the gross commitment. The activity bar changes color as bids are placed to provide a visual cue about the status of bids in relation to the activity requirement.



#### Figure 17: Commitment and activity status bar

The actions you can take on the Place Bids screen are highlighted in Figure 18 below.

• Create and manage watchlists

- Upload bids <u>This menu option</u> allows you to upload a bid file rather than entering and submitting bids through the user interface (see Section 7.5).
- Apply a Proactive Waiver
- View waiver status or <u>Reduce eligibility</u>
- Apply bidding actions (Place minimum bid, Remove bids, Clear unsubmitted bids)
- Submit Bids The Submit Bids button allows you to submit the bids you entered through the user interface.

#### **Figure 18: Action buttons**

Auction 1 Sample Auction		Bidding Company	Round Stage Waivers 3 1 [80%] 3 ↓	Round 3 ends in: 03:00 PM ET 3/12/2020
Place Bids     My Permits     •Outbid [1]     •PWB [1]     •FCC Held [0]     Create Watchlist     Upload Bids     Proactive Waiver	Place Bids Create and manage watchlists here	View waiver status here to reduce eligibility (or u	e. Click link use waiver). 1,400	Eligibility 1,750
<ul> <li>Postel Results</li> <li>Click to apply a proactive waiver</li> </ul>	Add to watchiist Remove from watchiist Permit Description Bi Select bids via upload file here Alabama 1	idding Units State filter filter 750 AL	Place minimum bid Channel PW Bidder Gibar Apply bidding action	e bids Clear unsubmitted bids Place Bid All Ons here Stay SOOV
Look Screen	MM-GEN002 Alaska 1	1,000 AK	286 Bidder Three	<u>No Bid</u>

The Place Bids screen displays all items a bidder is qualified to bid on based on the items selected on the bidder's FCC Form 175.



#### Figure 19: Items a bidder is qualified to bid on

To filter the list of items, as shown in Figure 20, use the filter box under the column header to enter filter criteria. Items matching your filter criteria are displayed. To filter the place bids column, click <u>All</u> in the filter row and select an option from the drop-down menu.

#### **Figure 20: Filters**

FC Auction 1 Sample Auction					idding Company	Round 3 1	Stage We [80%] 3	ivers	Round 3 ends in: 59:56	03:00 PM ET 3/12/2020
Place Bids         -           •My Permits         -           •Outbid         [1]           •PWB         [1]           •FCC Held         [0]	Pla	ce Bids commitment \$830	Activity 750	_		Requirem 1,400	ent		Eligibility St 1,750	ibmit Bids nfirmation (Print)
Create Watchiist Upload Bids Proactive Waiver और Activity	Ad	d to watchlist Permit	Remove from wa	Filter Achiest Bidding Units	columns he	re uce Place mit Channel	eligibility nimum bid	Remov	re bids Clear ur Place Bid	nsubmitted bids
<ul> <li>Round Summary</li> <li>Posted Results</li> </ul>		filter	filter	filter	filter	filter	filter			
🛡 Messages	0	MM-GEN001	Alabama 1	750	AL	284	Bidding Compa	any	No bid	
🟥 Auction Schedule 🖕	0	MM-GEN002	Alaska 1	1,000	AK	286	Bidder Three	/	Unsubmitted	
Logged in as: Hesa Bidder Lock Screen						Filter o dropdo	options on own menu	]	Not Closed Sort by MAB As Sort by MAB De	scending escending
e 2008-2020 by Dawne Auctions LLC.										

#### 7.2. Selecting Bids

To place a bid on an item, click <u>Place Bids</u> on the navigation bar.

There are several ways to select bids for submission:

- click on <u>No Bid</u> and select the desired bid amount for an item from the drop-down box shown in Figure 21
- choose the item(s) to place bids on by clicking on the box next to the item, followed by clicking Place Minimum Bid
- use watchlist to filter for items to bid on as discussed in Section 7.4.5.
- use an upload file as explained in Section 7.5.

Before you place a bid for an item, the entry in the Place Bid column is <u>No Bid</u>. To select a bid amount on an individual item, click on <u>No Bid</u> to open the drop-down list and click on one of the bid amounts as shown in Figure 21. Each drop-down list will have all available bid amount options, the first of which is the minimum acceptable bid (MAB). If the bidder claimed eligibility for a bidding credit, in addition to the gross bid amount, the [net bid amount] is displayed. Once you have selected your bid amounts, click Submit Bids.

After round 1, items for which you are the Provisionally Winning (PW) Bidder have the bidder's name in the PW Bidder column and Stay in the Place Bids column, followed by the provisionally winning bid (PWB) amount. Items for which another bidder has the PWB have the name of the bidder in the PW Bidder column and "No Bid" in the Place Bid column. Items without a PWB read "FCC-Held" and "No Bid" in the respective columns.

Auction 1 Sample Auction					Bidder Three	Round 3	Stage 1 [80%]	Waivers 3↓	Round 3 ends in: <b>59:56</b>	03:00 PM ET 3/12/2020
Place Bids     My Permits     Outbid [1]     PWB [1]	Plac	ce Bids	8		Success	fully logged in.				
•FCC Held [0] Create Watchlist Upload Bids Proactive Waiver	0	ommitment \$2,000	Activity 1,000			Requ 1,4	rement DO		Eligibility Subr 1 750 No Bid \$910	nit Bids
Activity	Ado	i to watchlist	Remove from wa	An autor	natic waiver	Select bid	amount	Remo	\$960 \$1,010 \$1.060	_
Round Summary     Posted Results	0	Permit	Description	Bidding Units	State	Chann	el PW Bidder		\$1,110 \$1,160 \$1,210	1
₩ Messages		filter	filter	filter	filter	filter	filter		\$1,260 \$1,310	
Logged in as:		MM-GEN001	Alabama 1	750	AL	28	4 Bidding Cor	npany	No Bid	
Lock Screen	1	MM-GEN002	Alaska 1	1,000	AK	28	6 Bidder Thre	e	<u>Stay 52,000</u>	
Logout										
© 2000 2020 by Power Auctions LLC.										

#### Figure 21: Choosing bid options via drop-down menu

Bidding Units State

Permit

Description

In order to place a minimum bid on a group of items, choose the items by clicking on the box next to the item ID and then click Place Minimum Bid. Click Submit Bids to complete your bid submission as shown in Figure 22.

Place Bid

Channel PW Bidder

Note: if an authorized bidder is in the process of placing bids when the round ends and has not clicked the Submit Bids button, those bids will not be submitted. You must click the Submit Bids button before the round ends for that bid to be submitted. Therefore, it is strongly recommended that bids are submitted well in advance of the ending time of the round.

#### Figure 22: Selecting bids by selection box

FC Auction 1 Sample Auction					Bidder Three	Round	Stage 1 [80%]	Waivers* 3	Round 3 59: Warning: unsu	ends in: 56 bmitted bidst	03:00 PM E 3/12/2020
💲 Place Bids 🍵	Place	Bids							3 Click	"Submit B	ids"
«My Permits     «Outbid [1]     «PWB [1]     «FCC Held [0]				2. Click	Succes "Place mit	sfully logged in. himum bid"	7		5. сиси		
Create Watchlist Upload Bids Proactive Waiver	\$2,9	960*	Activity 1,750*		button	1	it	_	Eligibility 1,750	Submit	ation (Print)
-√~ Activity			Yo	ur activity requiremen	it is met. You	will maintain your e	ligibility in the r	next round.*			
Round Summary	Add to y	watchlist		atchlist		Place m	inimum bid	Remo	ve bids	Clear unsubr	mitted bids
Posted Results	(1) P	ermit	Description	<b>Bidding Units</b>	State	Channe	PW Bidder		Place Bi	1	
🖶 Messages	f	ilter	filter	filter	filter	filter	filter		All		
🗰 Auction Schedule 🖕	⊠ M	M-GEN001	Alabama 1	750	AL	284	Bidding Cor	npany	<u>\$960</u>		*
Logged in as: Ima Bidder	вм	M-GEN002	Alaska 1	1,000	AK	286	Bidder Thre	e	Stay \$2	000	
Lock Screen											
© 2005-2005 by Power Automa LLC	1. Se	elect items	]								

#### 7.3. Submitting Bids

Once bid amounts have been specified, submit them by clicking Submit Bids. Only bids that have been submitted will be processed by the system.

While bids are still unsubmitted, there is a warning under the countdown timer: \*Warning: unsubmitted bids! An asterisk "\*" appears to the right of the Place Bid column, and a red asterisk "\*" appears to the right of the Net Commitment and Activity values in the commitment and activity status bar, indicating that all numbers are provisional and subject to the selected bids being submitted. Leaving the Place Bids screen without submitting selected bids will prompt a pop-up warning that bids have not been submitted. If you then choose to leave the screen, any bids that you entered but did not submit will remain as unsubmitted bids and will not be lost. You will still need to submit them if you want them to be processed by the system.





After bids are submitted, the system automatically updates the commitment and activity status bar to reflect the number of bidding units (Activity) and dollar amount (Commitment/Net Commitment) associated with your bids.



A pop-up message confirms bidder actions when the Submit Bids button is clicked as shown in Figure 24.

#### Figure 24: Confirmation pop-up

Auction 1 Sample Auction							Round 3 ends in: <b>59:56</b>	
💲 Place Bids								
•My Permits •Outbid [1] •PWB. [1]								
*FCC Held [0]								
Upload Bids	\$910	750		1,400	)		1,750	
Proactive Waiver	Submit	ted 1 bid						
-∕γ- Activity	Custin							
🖾 Round Summary	Add to w You may co	ntinue to modify bids the Round Summary.	until the round closes. You ma	y view a summar	y of your bidding	actions	ids Clear unsu	
⑦ Posted Results	(1) P€				C	Close	Place Bid	
🖵 Messages	fil						All	
🛱 Auction Schedule 👻								
Logged in as: Hesa Bidder	MM-GEN002	Alaska 1	1,000 AK	286	Bidder Three			
Lock Screen								
Logout								
@ 2000-2020 by Power Auctions LLC.								

#### 7.4. Watchlist

A watchlist is a grouping of items that are available for you to view and bid on, if eligible. Watchlists are useful ways for you, as a bidder, to divide the items into small, easy to manage groups, making it easier to navigate a long list of items. Items can appear in multiple watchlists, allowing you to group them together in ways that best meet your needs.

ABS automatically sets up a default watchlist of all your items, and you can set up additional watchlists of items of your own choosing. The system also provides a number of system-created watchlists after Round 1.

You may create and view custom watchlists via the Place Bids screen as soon as ABS is available. Once you have created a watchlist, you can add items to it, remove items from it or delete the watchlist entirely. While each authorized bidder may create a watchlist, all watchlists are shared amongst the authorized bidders for a bidder. Additionally, there is a limit of 25 custom watchlists that can exist at one time for a bidder.

To use a watchlist for bidding, click on the name of the desired watchlist in the left-hand navigation bar under Place Bids. This will cause the Place Bids screen to display only the items in that watchlist.

#### 7.4.1. Create Watchlist

From the Place Bids screen, you may create a new custom watchlist. Select the checkbox next to the items that you would like to be part of the custom watchlist. Next, click on <u>Create Watchlist</u> as shown in Figure 25.

## Figure 25: Create watchlist

FC Auction 1 Sample Auction					Bidding Company	Round 3	Stage 1 [80%]	Waivers 3	Round 3 ends in 59:56	03:00 PM ET 3/12/2020
\$ Place Bids	Plac	e Bids								
My Permits     Outbid [1]     PWB [1]					Successfully	logged in.				
FCC Held     [0]     Create Watchlist     Upload Bids     Proactive Waiver	-	2. Click t wat	o create tchlist			Requirem	ent		Eligibility S 1,750	ubmit Bids Infirmation (Print)
			Ye	our activity requireme	nt is met. You will r	maintain your eli	gibility in the ne	xt round.		
Round Summary	Add	to watchlist	Remove from w	atchlist		Place min	imum bid	Remov	e bids Clear u	nsubmitted bids
Posted Results	≅ (2)	Permit	Description	<b>Bidding Units</b>	State	Channel	PW Bidder		Place Bid	
🖶 Messages		filter	filter	filter	filter	filter	filter		All	
🛗 Auction Schedule 🖕		MM-GEN001	Alabama 1	750	AL	284	Bidding Comp	any	<u>\$910</u>	
Logged in as: Hesa Bidder	ø	MM-GEN002	Alaska 1	1,000			Bidder Three		<u>\$2.200</u>	
Lock Screen										
Logout		1. S	elect Items							
E 2000 2020 by Power Auctions II.C.	2016			-						

A screen appears that requires you to type a name into the name field and click **Create** as shown in Figure 26. Each custom watchlist must have a unique name. At any point, you may click <u>Cancel</u> to return to the Place Bids screen without creating a new custom watchlist.

#### Figure 26: Name watchlist

FC Auction 1 Sample Auction		Bidding Company	Round 3	Stage 1 [80%]	Waivers 3	Round 3 ends in: <b>59:56</b>	03:00 PM ET 3/12/2020
\$ Place Bids       Place Bids         .My Permits       .Outbid         .Outbid       [1]         .PWB       [1]         .FCC Held       [0]         Create Watchlist       Upload Bids         Proactive Waiver       .         .Activity          © Posted Results          ■ Messages          ■ Auction Schedule	Place Bids - Create Watchlist atchlists provide an easy way to place bids. It allows you to ptionally, you can download a sample file ( <i>My</i> -Sample-Watch ame New Watchlist 1 Tese products will be added to the new MM-GEN001, Alabama 1 MM-GEN002, Alaska 1 Typional: Watchlist Upload File Choose File No file chosen his file will be <i>in addition to</i> the products listed above. ote: Only '.csv' files may be uploaded.	filter items on the Place Bids so fiss) for creating a new watchlis incel w watchlist: re in name	reen. t			,	

spaces.
# 7.4.2. Add Items to an Existing Watchlist

Working with existing watchlists you can easily add items to another watchlist. To do this, select the items to be included in the watchlist by clicking the box next to them and then click Add to watchlist. You must now specify the watchlist using the watchlist pop-up as shown in Figure 27. If the watchlist already exists, select its name from the drop-down. If the watchlist does not yet exist, type in the name in the New Watchlist Name field. Then click Add to watchlist.

### Figure 27: Watchlist pop-up

Which watchlist would you like to put th	e selections in?		
	List 1 • or		
New Watchlist Name	List 1 List 2		
Select Watchlist			
		Add to watchlist	Cancel

# 7.4.3. Create Watchlist by File Upload

Another way to create a custom watchlist is by using the file upload function. This allows you to download a sample watchlist file, modify it to include the items you want in the watchlist, and upload it into the system.

First click <u>Create Watchlist</u> on the navigation bar. You may download a sample .csv file from the screen, modify it to include the desired items, and save it to your computer. Then click on

the Choose File button, select your file, and click Open. After uploading the watchlist, type a name into the name field, and click the Create button.

### Figure 28: Upload watchlist



## 7.4.3.1. File Structure – Sample Watchlist Upload File

The sample watchlist .csv file, which bidders can download and edit to create a watchlist upload file, uses the file format specified below, and it will contain only the items that the bidder is qualified to bid on. Note that the item field is required. The item\_description field and any other fields the bidder adds are optional and will be ignored during the upload process.

Column (no column	Description	DataType	Examples/Notes
heading)			
item	Unique ID for the item	String {120}	MM-FM598A
item_description	Description of the item	String {150}	Freer, TX
			Optional field, ignored during
			upload

# 7.4.4. Viewing and Editing Watchlists

When you return to the Place Bids page, your watchlist will be listed on the navigation bar on the left side of the page. To view a watchlist, click on the watchlist name under Place Bids on the navigation bar (see Figure 29). This filters for items in that watchlist to display on the Place Bids screen and makes it more convenient for placing bids on a subset of items.

Items may be added to a watchlist by following the procedure outlined above in Section 7.4.2. Remove items from a watchlist by selecting them and clicking Remove from watchlist. A pop-up confirms the removal.

To delete a watchlist entirely, click the [x] next to the name of the watchlist you wish to delete. A popup message appears to confirm that you wish to delete the watchlist. Click Remove watchlist to confirm.

A bidder can edit or delete only the watchlists it created. Bidders cannot edit or delete system-defined watchlists, which are described below in Table 2.



Auction 1 Sample Auction			Bidding Company	Round 3	Stage 1 [80%]	Waivers 3	Round 3 ends in 59:56	03:00 PM ET 3/12/2020
Place Bids     My Permits	Click on nar	me to access watchlist	]	Bequir	ement		Flinibility	5. 4 9 D/4-
•Outbid [1]     •PW8 [1]     •ECC Held [0]	\$3,110	1,750		1,40	0		1,750	confirmation (Print)
+List 1 X	Click on [>	] to delete watchlist	rement is met. You will main	tain your	eligibility in the r	next round.		
Upload Bids Proactive Waiver	Add to watchlist	Remove from watchlist		Place	minimum bid	Rem	ove bids Clear	unsubmitted bids

# 7.4.5. Filtering by Watchlist

Starting with Round 2, the system creates dynamic watchlists that are helpful tools for selecting the items you want to bid on in later rounds. Similar to the custom watchlists, the dynamic watchlists group items and can be used to filter for that group. Numbers in parentheses on the navigation bar show how many items are in each dynamic watchlist.

## Table 2: System-defined watchlists

Watchlists	Descriptions
My Permits	All items the bidder is eligible to bid on based on the items it selected on its FCC Form 175
Outbid	Items for which the bidder submitted a bid in the previous round, but for which another bidder holds the PWB
PWB	Items for which the bidder holds the PWB
FCC Held	Items for which there is no PWB

By default, the system displays the system-defined "My Permits" watchlist on the Place Bids screen. If you want to select a different watchlist, click on the desired watchlist on the navigation bar.

### Figure 30: Place Bids – filtering by watchlist

FC Auction 1 Sample Auction			Bidding Company	Round	Stage 1 [80%]	Waivers 3	Round 3 e 59:5	nds in: 56	03:00 PM ET 3/12/2020
Place Bids     My Permits     Outbid     PWB     I	Place Bids	Dynamic watchlists	Successfully logg	ed in.					
FCC Held [0     List 1 X     Create Watchlist     Upload Bids     Proactive Waiver	Commitment \$3,110	Custom watchlists		Require	ment O		Eligibility 1,750	Subn	iit Bids mation (Print)
Ar Activity	Add to watchlist	Remove from watchlist	urement is met. You will maint	Place m	inimum bid	Remov	ve bids	Clear unsu	bmitted bids

# 7.5. Upload Bids

The Upload Bids function provides an alternative to selecting items and bid amounts manually through the user interface. This allows you to download a sample file, modify it to reflect your desired bids, and upload it into the system.

To access the Upload Bids page, select Upload Bids on the navigation bar.

FC Auction 1 Sample Auction		Bidding Company	Round 3	Stage 1 [80%]	Waivers 3	Round 3 ends in: 59:56	03:00 PM ET 3/12/2020
Place Bids     My Permits     -outbid     [1]     -PWB     [1]     +FCC Held     [0]     -List 1 X     Create Watchlist	Place Bids - Upload Bids You may upload bids using a file. All uploaded bids will be adder Once you upload your bids, you will be able to review your bids to Choose File big file chosen Note: Only '.csv' files may be uploaded.	d to existing bids. before clicking 'Submit'.	n aliak (I)	Usland"	1		
Upload Bids Proactive Waiver -/\- Activity	Bid Template Files Filter By Watchlist	to upload to th	e system	n			
Round Summary Posted Results	My Permits • Download My-Bids-Template.csv	This is help u	a sampl with cre pload fil	e file to eating les			
₩ Messages							
Logged in as: Hesa Bidder							
Lock Screen							
E 2006 2000 by Power Autions ILC.							

## Figure 31: Upload bids

Download a sample .csv file (see Section 7.5.1.), modify it to include your bid selections, and save the file. Then click Choose File, select your upload file, and click Upload. After the file has been uploaded, you must return to the Place Bids screen to review and submit your bids.

If an authorized bidder is in the process of uploading bids when the round ends and has not clicked the Submit Bids button, those bids will not be submitted. You must click the Submit Bids button before the round ends for the bids to be submitted.

If the system has found no errors in the upload file, it will show an Upload Bids Summary screen, as shown in Figure 32. This page displays a summary of the type of bids that were uploaded.

FC Auction 1 Sample Auction		Bidding Company	Round 3	<sup>Stage</sup> 1 [80%]	<sup>Waivers</sup> 3↓	Round 3 ends in: 59:56 *Warning: unsubmitted bids!	03:00 PM ET 3/12/2020
S Place Bids	Place Bids - Upload Bids Summary						
•My Permits     •Outbid [1]     •PWB [1]     •ECC Held [0]	Yo	Your file has been u ou must return to the Place Bids so	iploaded. reen to sul	bmit these bids.			
+List 1 X	Breakdown of New Bids:						
Create Watchlist	Minimum Acceptable Bids:	2					
Upload Bids	Bids above the Minimum Acceptable Amount:	0					
Proactive Waiver	Stay Bids:	0	<u></u> Г	<b>F</b> . II			
A- Activity	No Bids:	0		Follow 1	ne link	or click "Return to	
	Withdrawn Bids:	0		Place Bids	screen	to submit your bid	ls"
Round Summary	Commitment	62.1108		to get bac	k to the	e Place Bids screen	to
0	Commitment Net Commitment	\$3,110*			submi	it your bid	
Posted Results	Net Communent	\$5,110					
🛡 Messages	Return to Place Bids screen to submit your bids						
Logged in as:							
Hesa Bidder							
Lock Screen							
Logout							
a 1995 1995 hu Beuer Austines II P							

## Figure 32: Upload Bids Summary

If a file contains errors, the system shows an error message listing the lines that contained errors. The upload file will need to be corrected and uploaded again.

### Figure 33: Error messages for invalid upload

Place Bids - Upload Bids				
Your bid was not valid.				
Line 2: MM-GEN001,""				
Invalid action: [] is not a valid bid type. Options for bid type are bid, no_bid, stay.				
Line 3: MM-GEN002,""				
Invalid action: [] is not a valid bid type. Options for bid type are bid, no_bid, stay.				
You may upload bids using a file. All uploaded bids will be added to existing bids. Once you upload your bids, you will be able to review your bids before clicking 'Submit'.				
Choose File No file chosen Upload or Cancel				

A bidder may upload and submit bids using multiple files during a round. For example, a bidder may wish to upload separate files for different watchlists. If a bidder uploads and submits multiple files, they are additive; the bids from a subsequent file do not replace all of the bids from a previous file (unless all of the bids are for the same items).

## 7.5.1. File Structure – Upload File

An upload file must be a comma-separated-values (.csv) file. The file requires three fields, and each row contains a bid for an item. Bidders may create their own files or download and edit bid template files from the bidding system. Note that this section details the required fields, which must be the first three fields in the file. Any additional fields are ignored when the file is uploaded. The bid template files available in the bidding system which are described in the following section, provide additional columns of data for informational purposes only.

Field	Description	DataType	Examples/Notes
item	Unique ID for the item	String {120}	Permit: MM-FM598A

Field	Description	DataType	Examples/Notes
bid_type	Type of bid	String [bid no_bid stay withdraw]	<pre>bid = a bid as defined by the bid_index no_bid = change a bid to no bid (does not apply to a PWB). stay = no new bid on your PWB (<i>i.e., not raising your own bid</i>) withdraw = withdraw a PWB (only if allowed)*</pre>
bid_index	Bid_option_index	Integer [0, 1-9]	<ul> <li>0 - Revert back to what the status was at the start of the round.</li> <li>1 - Minimum acceptable bid</li> <li>2 - Second allowable price</li> <li>9 - Ninth allowable price</li> <li>Null when bid_type = no_bid, stay, or withdraw*.</li> </ul>

\*if withdrawals are allowed in the auction

# 7.5.2. File Structure — My Bids Template File

File name: My-Bids-Template.csv

Field	Description	DataType	Examples/Notes
item	Unique ID for the item	String {9}	MM-FM598A

Field	Description	DataType	Examples/Notes
bid_type	Type of bid	String	bid = a bid as defined by the bid_index
		[bid no_bid stay  withdraw]	no_bid = no bid for the item, or remove a bid that you already placed in the round
			stay = no new bid on your PWB ( <i>i.e.,</i> not raising your own bid)
			withdraw = withdraw a PWB (only if allowed)*
			You may include in a bid upload file all items for which you are qualified to bid, but you only need to include those for which you are specifying a change
			Omitting from the file an itemfor which you have the provisionally winning bid is effectively a stay bid
			Omitting from the file an item for which you already placed a bid in the round does not remove that bid
bid_index	Bid option index	Integer	0 – Revert back to what the status was at the start of the round.
			2 – Second allowable price
			 9 – Ninth allowable price
			Null when bid_type = no_bid, stay, or withdraw*

The following fields are not required for a bid upload file, but they are included in the template for the convenience of bidders.

item_description	Description of the	String {150}	
round	Round that prices pertain to	Integer	13
mab	MAB price	Dollar	Minimum acceptable bid amount

Field	Description	DataType	Examples/Notes
price2	2 <sup>nd</sup> bid amount price	Dollar	First additional bid amount above the MAB
price3	3 <sup>rd</sup> bid amount price	Dollar	Next additional bid amount
price4	4 <sup>th</sup> bid amount price	Dollar	Next additional bid amount
price5	5 <sup>th</sup> bid amount price	Dollar	Next additional bid amount
price6	6 <sup>th</sup> bid amount price	Dollar	Next additional bid amount
price7	7 <sup>th</sup> bid amount price	Dollar	Next additional bid amount
price8	8 <sup>th</sup> bid amount price	Dollar	Next additional bid amount
price9	9 <sup>th</sup> bid amount price	Dollar	Next additional bid amount
pwb_stay_price	Indicates the price for bid_type = stay	Dollar	PWB price for bid_type = stay; null if not PWB
withdraw_option	Indicates whether bid_type = withdraw is valid	String	option Contains "option" if the bidder has a PWB for the item that it may
			withdraw* Null if the bidder does not have the PWB for the item or does not have any withdrawal rounds left

\*if withdrawals are allowed in the auction

Note that Microsoft Excel may replace large numbers with an abbreviated form (such as '1.55E+6'). You may wish to convert these back to "normal" notation (by selecting a number format of 0 decimal places) before saving the file to upload.

## 7.6. Revising Bids and Removing Bids

As long as the round is open, a bidder may revise or remove its bid selections placed in that round.

To revise a bid, click on the bid amount and change the selection to No Bid or a different bid amount and then click Submit bids. To remove submitted bids, click the box next to the item, followed by Remove bids and then Submit bids. Alternatively, use the filter function of the Place Bids column to access submitted bids, followed by clicking Remove bids and then Submit bids.



### Figure 34: Filter Place Bid column

To delete unsubmitted bids, use the filter in the Place Bids column drop-down to select Unsubmitted to view only your unsubmitted bids. Then click the checkbox next to the item, and then click Clear unsubmitted bids to remove the bids.

## 7.7. Withdraw Bids

Note this feature is not available in all auctions.

Each bidder is allowed a specified number of rounds in which it may withdraw any or all of its current provisionally winning bids. The rounds in which a bidder makes withdrawals are at the bidder's discretion, and a bidder may withdraw as many bids as it wishes during the round.

Items for which you are the provisionally winning bidder will have Withdraw as one of the bidding options in the drop-down menu of the Place Bids column. To withdraw a one or more bids, choose this option and click Submit Bids.

	Figure 35:	Withdraw	option in	drop-down	menu
--	------------	----------	-----------	-----------	------

<u>Sta</u>	<u>y \$7,500</u>		
Sta	y \$7,500		 1
\$8,3	300		
\$8,	700		
\$9,	100		
\$9,	500		
\$9,9	900		
\$10	,300		
\$10	),700		
\$11	,100		
\$11	,500		
Wit	hdraw		ł
No	Bid		1

After submission, withdrawals will be indicated on the blue bar at the top of the screen as pending with a downward arrow next to the number of withdrawal rounds remaining.

Withdrawals may be revised or removed during the round in which the withdrawal was placed. As soon as the round has ended, withdrawals are permanent. Withdrawals may be subject to a bid withdrawal payment, as detailed in the public notice announcing the procedures for the auction.

#### Figure 36: Withdrawal count on blue bar



If a provisionally winning bid is withdrawn, then the minimum acceptable bid in the next round will be the second highest bid submitted for that item. That amount may be less than or, in the case of tie bids, equal to the amount of the withdrawn bid. The FCC will serve as a placeholder provisionally winning bidder on the item until a new bid is submitted for that item.

### 7.8. Waivers

Each bidder is required to be active on a specific percentage of its current eligibility in each round of the auction. This percentage may change during the auction. If the new bids from the current round plus your provisionally winning bids satisfy the activity requirement, the activity bar goes beyond the Requirement tick mark. The note under the commitment and activity bar confirms your activity requirement has been met as shown in Figure 37.

### Figure 37: Activity Requirement is met

FC Auction 1 Sample Auction			Individual Bidder	Round	Stage 1 [80%]	Waivers 3	Withdrawals 1	Round 1 e	nds in: 53	10:00 AM ET 3/28/2020
S Place Bids My Permits Create Watchlist Upload Bids Proactive Waiver	Place Bids	Activity	Si	Successfully logged in. Requirement						iit Bids
小 Activity ☐ Round Summary ④ Posted Results	\$1,313 Add to watchlist	1,750 Ye	ur activity requirement is met	. You will	1,400 maintain your elig Place min	gibility in t imum bid	he next round.	1,750 e bids	Confir	mation (Print) bmitted bids

If you are below the required activity for the round, then the options are to place new bids, reduce eligibility, or use an activity rule waiver, if any are remaining. All decisions are reversible during the round but become permanent once the round has ended.

If a bidder fails to meet its activity requirement, then ABS places a waiver automatically, if any are remaining for the bidder. In this case, the bidder's eligibility will stay the same in the following round. The note below the activity bar reads: "An automatic waiver will be applied."

### Figure 38: Automatic waiver



If the bidder is using a waiver in the current round, then the waiver count in the upper blue bar shows a downward arrow to indicate that the count will be reduced by one in the next round.

### Figure 39: Waiver count change for next round shown on blue bar



If no waivers remain and the activity requirement is not satisfied, then ABS will permanently reduce the bidder's eligibility based on the current activity at the close of the round.

Automatic waivers do not keep the auction open in the absence of bids, withdrawals (if allowed), or proactive waivers.

## 7.8.1. Proactive Waiver

A bidder can submit a waiver proactively in order to avoid having to meet the activity requirement in a given round. A proactive waiver will keep the auction open when no new bids or withdrawals (if allowed) are submitted in a round.

As mentioned above, each bidder is limited in the total number of waivers (proactive and automatic) it may use during the auction. Be advised that once you submit a proactive waiver, you will not be able to place bids, withdraw provisionally winning bids (if allowed), or reduce your eligibility for the rest of the round unless you remove the proactive waiver and then place new bids, withdrawals (if allowed) or reduce your eligibility.

To submit a proactive waiver, click <u>Proactive Waiver</u> on the navigation bar. On the pop-up screen click <u>Apply Proactive Waiver</u> to confirm the decision or click <u>Cancel</u> to return to the place bids page. If a bidder is unable to apply a proactive waiver, a pop-up message will provide the reasons why a proactive waiver may not be applied.

### Figure 40: Apply proactive waiver

FC Auction 1 Sample Auction									Round 3 er	ida in: 6	
S Place Bids All All All All All All All All All Al	1. C p	lick here to apply roactive waiver	1			Require 1,40	ment O		Eligibility 1,750		mi Gits Simation (Print)
Create Watchlist Uplowd Bids Proactive Walver	Add to v	Applying a proactive are placed (if applic	e waiver will keep the able) in a round. A	e waiver.	hen no new bi nables you to	ds are su maintain	bmitted or with	ndrawals	teta.		
2. Click here to c or cancel deci	onfirm ision	in the next round. If you use a proactiv your eligibility this n	re waiver, you will n ound. You may rem	not be able to place nove a proactive w	e bids, withdra alve, at any tir	w bids (il	applicable), or	reduce round.	Place Bid		
Messages     Messages     Logged in as:	17 MA		Apply Pro	active Waiver	Remove P	roactive \	Naiver	Cancel	Stay \$2.		
Ima Bidder											
A 1995 STUDIE Married Automatica											

Once a proactive waiver has been applied, the note under the activity bar changes to read, "You are using a proactive waiver."

### Figure 41: Activity bar – proactive waiver

Activity <b>55,000</b>	Requirement <b>1,016,000</b>	Eligibility 1,270,000
	You are using a proactive waiver. <u>Remove proactive waiver</u>	

To remove the proactive waiver during the current round, click on <u>Remove Proactive Waiver</u> under the activity bar or click <u>Proactive Waiver</u> on the navigation bar and click <u>Remove Proactive Waiver</u> on the pop-up screen.

### Figure 42: Remove proactive waiver



# 7.9. Reduce Eligibility

If your activity is below your required activity for the round, you may continue to place new bids, reduce your current eligibility, or use a waiver, if any are remaining. If you choose to reduce your eligibility, be advised that this will be a permanent reduction once the round ends.

If you reduce your eligibility, ABS will reduce your eligibility to the amount at which your required activity equals your current activity. (These fields are shown as Activity, Requirement, and Eligibility on the commitment and activity status bar.) In other words, it makes what is required of you equal to what you are currently active on. If you plan to place bids and reduce your eligibility in the same round, be sure to place your bids before reducing your eligibility.

To reduce eligibility, click <u>Reduce Eligibility</u> under the activity bar on the Place Bids screen.

### Figure 43: Reduce eligibility

FC Auction 1 Sample Auction			Bidder Three	Round 3	Stage 1 [80%]	<sup>Waivers</sup> 3↓	Round 3 ends in: 59:56	03:00 PM ET 3/12/2020
S     Place Bids       •My Permits       •Outbid       •PWB       •PWB       •FCC Held       [0]       Create Watchlist       Upload Bids       Proactive Waiver       小       Activity	Commitment \$2,000	Activity Activity 1,000	oid is below equirement n automatic waiver will be appli	d in. Require 1,401	ment 0		Flicibility System Click here reduce eligibility	e Bide to Print)
Round Summary	Add to watchlist	Remove from watchlist		Place m	inimum bid	Remov	e bids Clear unsul	bmitted bids

ABS will display the reduction requested pop-up with a summary of the new activity and eligibility for submitted bids as shown in Figure 44. Check this summary and then either implement the eligibility reduction by clicking **Reduce Eligibility** or cancel it by clicking **Cancel**. Either action directs you back to the Place Bids screen.

## Figure 44: Reduction Requested summary pop-up

Auction 1 Sample Auction			Bidder Three	Round 3	5 Stage <b>1 [80%]</b>	Waivers 3↓	Round 3 ends in: <b>59:56</b>	
Place Bids           .My Permits           .Outbid         [1]           .PWB         [1]           .FCC Held         [0]           Create Watchlist	Place E <sup>Commi</sup> \$2.0	Reduction Request Press "Reduce Eligibility" to reduce your elig Activity and Eligibility Sum	ed ibility, or press "Cancel" to r mary for submitt	return t ed b	o the bidding scr ids:	een.	Eligibility Su 1.750	
Upload Bids Proactive Waiver	<i>\_</i> , <i>\</i>	Commitment:	\$	\$2,000			📇 Cor	
-\/- Activity		Net Commitment:	\$	\$2,000				
Round Summary	Add to w	Minimum Required Activity:		1,400	bidding units		ids Clear un	
Posted Results	Per	Activity:		1,000	bidding units		Place Bid	
Messages	fil	Below Minimum Required Activity By:		400	bidding units		ΔII	
an incoduges		Current Eligibility:		1,750	bidding units		<u>,</u>	
🖽 Auction Schedule 🚽	□ MN	Eligibility reduction:		500	bidding units		<u>No Bid</u>	
Logged in as: Ima Bidder		Eligibility Next Round (after reduction):		1,250	bidding units		Stay \$2,000	
Lock Screen			R	Reduce	Eligibility	Cancel		
Logout								
© 2000-2020 by Power Auctions LLC.								

Once the eligibility reduction has taken place, the note under the activity bar shows the status of the eligibility decision as shown in Figure 45.

Figure 45: Eligib	ility Reduction				
Commitment	Activity	Requirement	Eligibility		
\$2,000	1,000	1,400	1,750		
	Your eligibility in the next round	will drop to 1,250 bidding units. Use waiver / Maintain	n eligibility		

You may undo your eligibility reduction while the round is active by clicking the <u>Use waiver/Maintain</u> <u>eligibility</u> link under the activity bar and then clicking Use Waiver in the pop-up screen.



## Figure 46: Using waiver summary pop-up

# 8. Activity and Eligibility

The Activity tab may be accessed at any time during the auction to check your activity and eligibility as shown in Figure 47. The table can have two parts: one for submitted bids and another for submitted plus pending bids.

Figure 47: Activity and Eligibility Summary

Auction 1 Sample Auction		Bidder Three	Round	<sub>Stage</sub> 1 [80%]	Waivers <b>3</b> ↓	Round 3 ends in: <b>59:56</b>	03:00 PM ET 3/12/2020
\$ Place Bids	Activity and Eligibility Summary						
- Activity	For submitted bids		For submit	ted bids plus pe	nding* bid	S	
Round Summary	Commitment Net Commitment	\$50,000 \$32,500	Commitment Net Commitme	nt			\$100,000 \$65,000
Posted Results	Minimum Required Activity Activity Below Minimum Required Activity By	222,800 Bidding Units 50,000 Bidding Units 172,800 Bidding Units	Minimum Requi Activity Below Minimum	ired Activity n Required Activity By			222,800 Bidding Units 100,000 Bidding Units 122,800 Bidding Units
Messages Hessages	Current Eligibility Eligibility Reduction Eligibility Next Round	278,500 Bidding Units N/A 278,500 Bidding Units	Current Eligibilit Eligibility Reduc Eligibility Next F	ty :tion Round			278,500 Bidding Units N/A 278,500 Bidding Units
🕁 Downloads							
🖨 Auction Info							
Logged in as: Ima Bidder							
Lock Screen							
Logout © 2000-2020 by Power Auctions LLC.							

# 9. Round Summary

The Round Summary contains two tabs: My Actions and Confirmation. Access this screen by clicking the Round Summary tab on the navigation bar or the <u>Confirmation (Print)</u> link below the <u>Submit Bids</u> button.

A table available on both tabs displays Commitment, Net Commitment, Waivers, Withdrawal Rounds (if allowed), and Activity and Eligibility information, all of which are based on the current activity this round.

## 9.1. My Actions

The My Actions screen summarizes all the bidding actions taken by the bidder during the round. Each action is time stamped, and a column denotes which authorized bidder took the action. It is strongly recommended that this screen be saved or printed before the round ends for a record of your bidding actions. In addition to the current round, round summaries for past rounds can be accessed by clicking on the "Filter" drop-down box containing round numbers.

Auction 1 Sample Auction						Bidder Three	Round	Stage 1 [80%]	Waivers <b>3</b>	Round 3 ends in: <b>59:56</b>	03:00 PM ET 3/12/2020
\$ Place Bids	Round Summ	nary - My A	Actions								Print
-∕γ- Activity	My Actions	Confirmation									
Round Summary					(Generate	d: Wednesday March 1	8 2020 3.14	-13 PM FT)			
O Posted Results	Filter. Round 3 -				Coencian	a. Heanesday, march is	0 2020, 0.14	.iormici)			
Messages											
🛗 Auction Schedule	Commitment					Minimum Required Activity \$2,910 Below Minimum Required Activity By				1,400 Bio 1,750 Bio	lding Units Iding Units N/A
🕁 Downloads	Net Commitme	ent				\$2,910	Current Fli	nibility	Activity by	1 750 Bir	Iding Units
🖨 Auction Info	Waivers					3 E	3 Eligibility Reduction			1,700 Bit	N/A
						E	ligibility N	lext Round		1,750 Bio	lding Units
Logged in as:	Permit	Description	Bidding Units	Bid Amount	Net Bid Amount	Action			Placed By	Timestamp	
Lock Screen	filter	filter	filter	filter	filter	filter			filter	filter	
Logout	MM-GEN001	Alabama 1	750	\$910	\$910	placed a new bid	for \$910		Ima Bidde	r March 12 2020, 3:00	:04 PM ET
						canceled request	to reduce	eligibility	Ima Bidde	r March 12 2020, 3:00	:04 PM ET
© 2000-2020 by Power Auctions LLC.						reduced eligibility	, next rour	nd will be: 1,250	Ima Bidde	er March 12 2020, 3:00	:04 PM ET

## Figure 48: Round Summary screen – My Actions

# 9.2. Confirmation

The Confirmation screen displays the actions that will be processed by the bidding system at the close of the round. Unlike the My Actions screen, the Confirmation screen does not include any actions that were undone, such as removing a bid. This screen may also be accessed by clicking the <u>Confirmation</u>

(Print) link in the Place Bids screen. In addition to the current round, confirmations for past rounds can be accessed by clicking on the "Filter" drop-down box containing round numbers.

Auction 1 Sample Auction	i -		Bidder Three	Round	Stage 1 [80%]	Waivers 3	Round 59	3 ends in: <b>):56</b>	03:00 PM ET 3/12/2020
\$ Place Bids	Round Summary - 0	Confirmation							Print
-∕γ- Activity	My Actions Confirma	ion							
Round Summary			(Concreted: Wednesday Ma	rob 19 2020 - 2:1	6-24 DM ET)				
O Posted Results	(Generated: Wednesday, March 18 2020, 3:16:24 PM E1)								
🛡 Messages									
🛗 Auction Schedule				Minimum Activity	Required Activ	vity		1,400 1,750	Bidding Units Bidding Units
🕁 Downloads	Commitment Net Commitment	\$2,910 \$2,910	Below Minimum Required Activity By				N/A		
🖨 Auction Info	Waivers		3	Current Eli Eligibility F Eligibility f	igibility Reduction Next Round			1,750 1,750	Bidding Units N/A Bidding Units
Logged in as:	Permit	Description	Bidding Units	В	id Amount	Net Bid	l Amount	Bid Type	
Ima Bidder	filter	filter	filter	filter		filter		filter	
Lock Screen	MM-GEN001	Alabama 1	750		\$910		\$910	bid	
Logout	MM-GEN002	Alaska 1	1,000		\$2,000		\$2,000	stay	
© 2000-2020 by Power Auctions LLC,									

## Figure 49: Round Summary screen – Confirmation

# **10. Posted Results**

When a round ends ABS calculates the results of bidding in the round. To view round results, click on the <u>Posted Results</u> link on the navigation bar. The Posted Results screen has two tabs: My Bid Result and Last Posted Round shown in Figure 50.

## 10.1. My Bid Result

My Bid Result displays your results from any completed round (Figure 50). The upper portion of the screen shows your status (commitment, waiver, withdrawal (if allowed), activity and eligibility). The lower table shows the status of the items on which you are qualified to bid. Filter options can narrow the data in various ways to show customized information. Filtering by round and watchlist can be done using the "Filters" drop-down boxes. Additional filtering can be done using the filter boxes under the column headers.

PWB amounts for all items with a PWB are shown as well as the Net PWB Amounts for the items for which the bidder is the PW Bidder.

FC Auction 1 Sample Auction				Bidder Three	Round 3	Stage 1 [80%]	Waivers 3	Round 3 ends in <b>59:56</b>	03:00 PM ET 3/12/2020
\$ Place Bids	Posted Results	- My Bid Res	ult						Print
Ar Activity	My Bid Result	Last Posted Round							
Round Summary			_			_			
() Posted Results	Filters: Round 2 -	My Permits •		Filter by rou	nd and/or	,			
🛡 Messages				water	mst				
🗰 Auction Schedule					Minimum R Activity	equired Activit	ty	1,400	) Bidding Units
🕹 Downloads	Total PWB Amou Total Net PWB A	nt mount		\$2,000 \$2,000	Below Minir	mum Required	Activity By	/	N/A
Auction Info	Waivers Remaini	ng		3	Current Elig Eligibility Re	ibility eduction		1,75	0 Bidding Units N/A
					Eligibility N	ext Round		1,75	) Bidding Units
	Dormito		1	Filter columns	here				
	Permits								
Logged in as: Ima Bidder	Permit	Description	Bidding Units	My Bid Result	PW Bidder	PW	/B Amount	Net PWB Amount	MAB (R3)
Lock Screen	filter	filter	filter	filter	filter	filter		filter	filter
Logout	MM-GEN001	Alabama 1	750	outbid	Bidding Com	npany	\$830	\$830	\$910
	MM-GEN002	Alaska 1	1,000	PWB	Bidder Three	,	\$2,000	\$2,000	\$2,200

## Figure 50: My Bid Result tab

# 10.2. Last Posted Round

Last Posted Round gives the status of the auction after the last posted round as shown in Figure 51. The top portion of the screen shows the total of all PWB amounts for the round, the dollar and percentage

changes, the stage transition percentage, the number of new bids, withdrawals (if allowed), proactive waivers, and the number of items with PWBs, and the number of FCC-held items.

The lower portion of the screen breaks the information down according to items. This screen also shows whether any PWBs were withdrawn (if allowed) for a given item.



Auction 1 Sample Auctior	ı		Bidde	r Three	Round	Stage 1 [80%]	Waivers <b>3</b>	Round 3 end 59:56	ds in: 03:00 PM E 3/12/2020
\$ Place Bids	Posted Resul	ts - Last Posted Rou	nd						Print
-∕γ- Activity	My Bid Result	Last Posted Round							
Round Summary			(Generated: W	ednesday, March 1	8 2020, 3:2	28:31 PM ET)			
🕑 Posted Results	Auction Total F	PWB Amount		\$2.830					
🛡 Messages	Dollar chang	e		\$380 15.51%	New Bids Proactive	Waivers			4 Bids 0 Waivers
🛗 Auction Schedule	Auction Total I	let PWB Amount		\$2,830 I	Permits v	vith PWBs			2
🕹 Downloads	Stage Transitio	on Percentage		18.92%	FCC-held	Permits			7
🚔 Auction Info	Licenses								
	Permit	Description	<b>Bidding Units</b>	PW Bidder		PWB Am	ount N	let PWB Amount	MAB (R3)
Logged in as:	filter	filter	filter	filter		filter	fil	ter	filter
Ima Bidder	MM-GEN001	Alabama 1	750	Bidding Com	npany	ş	\$830	\$830	\$910
Lock Screen	MM-GEN002	Alaska 1	1,000	Bidder Three	e	\$2	,000	\$2,000	\$2,200
Logout	MM-GEN003	Arizona 1	1,500	FCC			-		\$1,500
	MM-GEN004	Arkansas 1	750	FCC			-	-	\$750
© 2000-2020 by Power Auctions LLC.	MM-GEN005	California 1	1 500	FOO			-	-	\$1.500

# 11. Downloads

The Downloads screen has four tabs, as illustrated in Figure 52.

### Figure 52: Download tabs

Auction 1 Sample Auction			Bidder Three	Round	Stage 1 [80%]	Waivers <b>3</b>	Round 3 ends in: <b>59:56</b>	03:00 PM ET 3/12/2020
\$ Place Bids	Downloads - My	/ Bids/Status						
-\- Activity	My Bids/Status	Current Prices All Posted	Bids/Status Other					
Round Summary								
Posted Results	Filters: Round 2 •							
Messages								
🛗 Auction Schedule	· •	My Bids						
🜵 Downloads		My New Bids						
Auction Info		My Bid Results						
	» 💼 💼	My Provisionally Winning Bids						
	· •	My Item Status						
Logged in as:	i i i i i i i i i i i i i i i i i i i	My Bidder Status						
Ima Bidder	· •	My Actions (Audit Log)						
Lock Screen	Note:							
Logout	• To download a file,	click on one of the icons above. If	you have Microsoft Excel or othe	r compati	ble spreadsheet s	software, cli	ck on the XLS icon <u></u> . Othe	rwise, click on
© 2000-2020 by Power Auctions LLC.	the CSV icon <u></u> to de	ownload a comma-separated value	es text file. See the User Guide fo	r more inf	ormation.			

The My Bids/Status and All Posted Bids/Status tab files can be filtered by round.

The table below shows the files that are available on each tab during the auction.

## Downloads screen tabs and files

My Bids/Status	Current Prices	All Posted Bids/Status	Other
My Bids	Current Minimum Acceptable Bids	All New Bids	Auction Summary
My New Bids	My Bids Upload (with current prices)	All Withdrawn Bids*	Round Summary

My Bids/Status	Current Prices	All Posted Bids/Status	Other
My Withdrawn Bids*		All Provisionally Winning Bids	Items Info
My Bid Results		All Item Status	Pricing Formulas
My Provisionally Winning Bids		All Bidder Status	All Bidder Items
My Item Status			
My Bidder Status			
My Actions (Audit Log)			

\*if withdrawals are allowed in the auction

Each report is available in comma-separated-values (.csv) format and in Excel (.xls) format. The first row of each file is a header that contains the field names. To download a particular file, click on the corresponding icon and follow the instructions in your browser.

The download files contain information that is consistent with the information policy of the auction. For example, you will be able to see a list of all bids placed in a round by downloading the All New Bids file after that round has been posted. However, you will not be able to see the identity of other bidders who placed bids. Bids pertaining to other bidders will be denoted by an asterisk (\*) for fields that are strings and null for fields that are numeric (e.g., integer or dollar).<sup>5</sup>

## 11.1. My Bids/Status Tab

## 11.1.1. My Bids

The My Bids report lists all the bids you submitted for the selected round. Note that not all examples in the specifications below are relevant for the My Bids file, as the specifications also apply to other files (My New Bids, My Withdrawn Bids, All New Bids\*, All Withdrawn Bids\*). In the following table and in the tables for other downloads, multiple examples are provided for some fields (e.g., the bidder field in all files, and the new\_bids and tied\_bids fields in the My Item Status file). Notes about a field are italicized.

<sup>&</sup>lt;sup>5</sup> For auctions conducted under limited information disclosure procedures, the files will contain the identity of other bidders once the FCC publishes the results of the auction.

File name: My-Bids-r###.csv

(### = round number – e.g., My-Bids-r003.csv)

Field	Description	Data Type	Examples/Notes
auction_id	FCC identifier for this auction	String	109
		{120}	
round	Round number	Integer	11
bidder	Name of qualified bidder	String	Company XYZ
		{150}	
			"Bidding Company, Inc."
frn	FCC Registration Number (FRN) of	String [09]	"0123456789"
	this bidder	{10}	
item	Unique ID for the item	String {9}	MM-FM598A
item_description	Description of the item	String	"Freer, TX"
		{150}	
bidding_units	Unit of measure associated with the	Integer	10000
	item being auctioned, related to		
	activity and eligibility		
bid_type	Type of bid	String	bid = a new bid
		[bid stay wi	stay = no new bid on your
		thdraw]	PWB (i.e., not raising your
			own bid)
			withdraw = withdrawal a
			PWB (only if permitted in
			the auction)*
bid_amount	The dollar amount entered for the	Dollar	55000
	item		lf withdrawal, gross
			amount of withdrawn bid*

Field	Description	Data Type	Examples/Notes
bidding_credit	Bidding credit percentage	Integer	0 (no credit), 15 (15%), 25 (25%), 35 (35%)
			Not all of these example
			in all auctions
net_bid_amount	Net Price of Bid (applying bidding credit)	Dollar	800000 If withdrawal, net price of withdrawn bid*
increments_of_bid	Option number for the bid	Integer	1 = Minimum Acceptable Bid for a round 2-9 = Additional bid amounts (higher than Minimum Acceptable Bid) Null for bid_type = stay or
			withdraw*
bid_round	Round number that the bid was entered	Integer	12 Will be the original round that the bid was entered for bid_type = stay or withdraw*
selection_number	Random number for tie-breaking purposes. A number between 0 and 1 with 15 digits accuracy, represented as a string in the downloads to presenve trailing 0s	String {17}	0.123456789012345 Null for bid_type = stay or withdraw*
	downloads to preserve training US		Selection number is not available for bids pertaining to an active round
The rest of the fields	vary by auction.		
channel	Identifies the channel of the item	String {12}	284

Field	Description	Data Type	Examples/Notes
city	Identifies the city of the item.	String	Freer
		{120}	
state	Identifies the state	String	ТХ
		{120}	
service	Identifies the service code.	String	MM

\*if withdrawals are allowed in the auction

### 11.1.2. My New Bids

This is a subset of the My Bids file displaying only the new bids placed by the bidder each round. The fields are the same as for the My Bids file.

File name: My-New-Bids-r###.csv
(### = round number - e.g., My-New-Bids-r003.csv)

### 11.1.3. My Withdrawn Bids

This is a subset of the My Bids file displaying only the withdrawn bids placed by the bidder during the round. The fields are the same as for the My Bids file. Not available in all auctions.

File name: My-Withdrawn-Bids-r###.csv
(### = round number - e.g., My-Withdrawn-Bids-r003.csv)

## 11.1.4. My Bid Results

This download lists the results of all your bids from a given round (including stay bids and withdrawals (if allowed)). This report is available after round results have been processed and posted. Note that not all examples in the specifications below are relevant for the My Bid Results file, as the specifications also apply to other files (My Provisionally Winning Bid, All Provisionally Winning Bids).

File name: My-Bid-Results-r###.csv
(### = round number - e.g., My-Bid-Results-r003.csv)

Field	Description	Data Type	Examples/Notes
auction_id	FCC identifier for this auction	String {120}	109
round	Round number for which this file was generated	Integer	11
item	Unique ID for the item	String {120}	MM-FM598A
item_description	Description of the item	String {150}	"Freer, TX"
bidding_units	Unit of measure associated with the item being auctioned, related to activity and eligibility	Integer	10000
bidder	Name of qualified bidder	String {150}	Company XYZ "Bidding Company, Inc."
frn	FCC Registration Number (FRN) of this bidder	String [0,9] {10}	"0123456789"
bid_round	Round number that the bid was entered	Integer	12 The original round that the bid was entered for bid_type = stay or withdraw*

Field	Description	Data Type	Examples/Notes
bid_result	Result of the bid	String [PWB outbid  withdrawn]	PWB = new or stay bid was the provisionally winning bid outbid = for a new or stay bid, another bidder became the PW bidder withdrawn = the PWB was withdrawn *
bid_amount	The dollar amount entered for the item	Dollar	55000 If withdrawal, gross amount of withdrawn bid*
bidding_credit	Bidding credit percentage	Integer	0 (no credit), 15 (15%), 25 (25%), 35 (35%) Not all of these example percentages are available in all auctions
net_bid_amount	Net price of bid (applying bidding credit)	Dollar	800000 0 if FCC Held If withdrawal, net price of withdrawn bid*
The rest of the field	s vary by auction. There will be at least 4 fiel	ds.	
channel	Identifies the channel of the item	String {12}	284
city	Identifies the city of the item.	String {120}	Freer

Field	Description	Data Type	Examples/Notes
state	Identifies the state	String {120}	ТХ
service	Identifies the service code.	String	MM

\*if withdrawals are allowed in the auction

### 11.1.5. My Provisionally Winning Bids

This is a subset of the My Bid Results file, showing only your provisionally winning bids. The fields are the same as for the My Bid Results file.

File name: My-Provisionally-Winning-Bids-r###.csv
(### = round number - e.g., My-Provisionally\_Winning-Bids-r003.csv)

## 11.1.6. My Item Status

The My Item Status download provides details about item status, including minimum acceptable bid, provisionally winning bid, provisionally winning bidder, and number of bids. Note that not all examples in the specifications below are relevant for the My Item Status file, as the specifications also apply to another file (All Item Status).

File name: My-Item-Status-r###.csv

(### = round number – e.g., My-Item-Status-r003.csv)

Field	Description	Data Type	Examples/Notes
auction_id	FCC identifier for this auction	String {120}	109
round	Auction round number	Integer	33
item	Unique ID for the item	String {9}	MM-FM598A
item_description	Description of the item	String {150}	"Freer, TX"
bidding_units	Unit of measure associated with the item	Integer	10000
	being auctioned, related to activity and		
	eligibility		
new_bids	Number of new bids submitted by the bidder	Integer	1
	in the current round		

Field	Description	Data Type	Examples/Notes
tied_bids	Number of tied bids at the highest price	Integer	2,
			0 if only one bid at the highest price. Null if
			no bids or FCC Held
pw_bidder	Provisional Winning Bidder Name	String {150}	"Bidding Company, Inc."
			bidder name or FCC if there is no PW Bidder
pw_bidder_frn	FCC Registration Number (FRN) of the	String [0,9] {10}	0123456789
	provisionally winning bidder		bidder FRN or 9999999999 for FCC
pwb_amount	Amount of the PWB before any bidding credit	Dollar	878000
	is applied		0 if FCC Held
bidding_credit	Bidding credit percentage	Integer	0 (no credit), 15 (15%), 25 (25%), 35 (35%)
			Not all of these example percentages are
not nuch amount	Amount of the DW/P after applying the hidding	Dollar	
	and the form	Donai	0 if ECC Hold
			Null when bid result is outbid,
			withdrawn*, tied-not PWB
round_of_pwb	Round in which the PWB was placed	Integer	2 (this includes rounds in which item was
			FCC Held)
			Displays 0 when item has never received a
			bid
			Displays the round of the withdrawal,
			while item was FCC Held because of the
			withdrawal*

Field	Description	Data Type	Examples/Notes
increments_of_pwb	Number of bid increments selected by the bidder	Integer	<ul> <li>1 = Minimum Acceptable Bid for a round</li> <li>2-9 = Additional bid amount (higher than Minimum Acceptable Bid)</li> </ul>
date_of_pwb	Date the PWB was placed	Date	yyyy-mm-dd
previous_pw_bidder	Bidder name of previous provisional winning bidder	String {150}	Bidding Company "Bidder name" or "FCC"
previous_pw_bidder_frn	FRN of previous provisionally winning bidder	String [0.9] {10}	0123456789 bidder FRN or 9999999999 for FCC
previous_pwb_amount	Amount of the previous PWB before any credit is applied	Dollar	878000 0 if it was FCC Held (not due to withdrawal) Null until another bid is placed to supersede the first PWB or the initial PWB is withdrawn*
previous_pwb_bidding_cr	Previous provisionally winning bidder's	Decimal	0 (no credit), 15 (15%), 25 (25%), 30 (30%)
edit	bidding credit percentage for this item	[0 - 0.99]	if you were the PW bidder and you increased your bid and are still the PW bidder Null =FCC
previous_net_pwb_amou	Amount of previous PWB after applying the bidding credit, if any	Dollar	800000
iii.	bidding credit, if any		

Field	Description	Data Type	Examples/Notes
round_of _previous_pwb	Round number that the PWB was placed as a	Integer	2
	new bid (does not include stay bids)		Null until another bid is placed to
			supersede the first PWB, or the initial PWB
			is withdrawn.*
item_closed	Indicates whether bidding has closed for the	String {Y N}	Y = item has closed
	item		Items can close by group in some auctions
			At end of auction all items will be "Y" even
			if FCC held.
The rest of the fields vary b	y auction. There will be at least 4 fields		
channel	Identifies the channel of the item	String {12}	284
city	Identifies the city of the item.	String {120}	Freer
state	Identifies the state	String {120}	ТХ
service	Identifies the service code.	String	ММ

\*if withdrawals are allowed in the auction

## 11.1.7. My Bidder Status

This download provides details about your current status, including activity, waiver and withdrawal counts (if allowed), and total bid amounts.

### **File name**: My-Bidder-Status-r###.csv

(### = round number – e.g., My-Bidder-Status-r003.csv)

Field	Description	Data Type	Examples/Notes
auction_id	FCC identifier for this auction	String {120}	109
round	Auction round number	Integer	11
bidder	Name of qualified bidder	String {120}	Company XYZ
			"Didding Component Ing"
			Bidding Company, Inc."

Field	Description	Data Type	Examples/Notes
frn	FCC Registration Number (FRN) of the bidder	String [0,9] {10}	0123456789
bidding_credit_type	Indicates the type of bidding credit the bidder claimed eligibility for, if any.	String [Rural SmallBusine ss New Entrant] {0,14}	Null if bidder has no bidding credit
eligibility	The bidder's eligibility in bidding units, which is the maximum number of bidding units on which a bidder may submit bids.	Integer	800000
required_activity	Minimum number of bidding units the bidder must be active on in the current round to meet its activity requirement	Integer	95000
activity	The sum of the bidding units associated with items covered by the bidder's new bids in the round plus its provisionally winning bids from the previous round.	Integer	3434000
below_required_activity	If activity is < required_activity, then below_required_activity = required_activity minus activity; otherwise zero.	Integer	1566000
new_bids	Number of new bids submitted by the bidder in the round	Integer	1
stay_bids	Number of stay bids submitted by bidder in the current round	Integer	7
withdrawn_bids*	Number of PWBs withdrawn by the bidder in the round	Integer	0 Null if withdrawals are not allowed in the auction

Field	Description	Data Type	Examples/Notes
commitment	Total dollar amount of new bids and PWBs, less any PWBs that are withdrawn* in the round	Dollar	40983539
net_commitment	Net dollar amount of new bids that are not withdrawals and stay bids, regardless of whether bids are PWBs after the round is posted	Dollar	40983539
eligibility_result	Indicates whether the bidder met the activity requirement or what type of waiver or eligibility reduction was used	String [auto waiver proactive waiver requireme nt met reduce eligibility auto reduce]	auto waiver proactive waiver requirement met reduce eligibility auto reduce
eligibility_reduction	Calculated as eligibility_next_round - eligibility	Integer	0
eligibility_next_round	Eligibility next round	Integer	8000000
number_pwbs	Number of items for which bidder has PWBs	Integer	23 Null during round; updated after posting
total_pwb_amount	Total dollar amount of the bidder's PWBs, not taking into account any bidding credit		23456000 Null during round; updated after posting
total_net_pwb_amount	Total net dollar amount of the bidder's PWBs taking into account any bidding credit.	Dollar	21567800 Null during round; updated after posting

Field	Description	Data Type	Examples/Notes
effective_pwb_bidding_cr	The actual bidding credit discount percentage	Decimal	21.05
edit			
	Calculated as (1 - (total_net_pwb_amount /		0 if bidder has no bidding credit
	total_pwb_amount)) * 100		
			Calculated to 2 decimal places
pwb_bidding_units	Total number of bidding units associated with	Integer	13400
	the bidder's PWBs		Null during round; updated after posting
waivers_remaining	Number of waivers remaining for the bidder	Integer	3
withdrawals_remaining*	Number of withdrawal rounds remaining for	Integer	1
	the bidder		Null if withdrawals are not permitted in
			the auction

\*if withdrawals are allowed in the auction

# 11.1.8. My Actions

This is a detailed account of the bidder's auction activity.

File name: My-Actions-r###.csv

(### = round number – e.g., My-Actions-r003.csv)

Field	Description	Data Type	Examples/Notes
auction_id	FCC identifier for this auction	String	109
round	Round number	Integer	11
bidder	Name of qualified bidder	String	Company XYZ
			"Bidding Company, Inc."
frn	FCC Registration Number (FRN) of the	String [0,9]	"0123456789"
	bidder	{10}	

Field	Description	Data Type	Examples/Notes
item	Unique ID for the item	String {120}	MM-FM598A
item_description	Description of item	String {150}	"Freer, TX"
bidding_units	Unit of measure associated with the item	Integer	10000
	being auctioned, related to activity and		
	eligibility		
bid_amount	The dollar amount entered for the item	Dollar	55000
			If withdrawal, gross amount of withdrawn
			bid*
net_bid_amount	Amount of bid after applying the bidding	Dollar	800000
	credit, if any		
action	Descriptive text of bidding-related action	String	"Place a new bid for \$5,000"
			Changed waiver count to 3 from 2
placed_by	Name of the user performing the action	String	Joe Smith
timestamp	Date and time the action was taken	Date/Time	2014-10-27 2:16:53 PM

\*if withdrawals are allowed in the auction

## 11.2. Current Prices Tab

## 11.2.1. Current Minimum Acceptable Bids

This file shows the minimum acceptable bid amounts for all items for a round. It is available from when round is announced until the round is posted.

### File name: Current-Minimum Acceptable Bids.csv

Field	Description	Data Type	Example/notes
auction_id	FCC identifier for this auction	String {120}	109
round	Auction round number	Integer	33
item	Unique ID for the item	String {120}	MM-FM598A
item_description	Description of item	String {150}	"Freer, TX"
Field	Description	Data Type	Example/notes
-------------------------------	--	-----------------	--
bidding_units	Unit of measure associated with the item being auctioned, related to activity and eligibility	Integer	10000
previous_round_pwb_am ount	Previous round's PWB amount	Dollar	12000 For Round 1, this is null, as there was no previous PWB. If no bids have been entered for the item to-date, this null.
previous_round_new_bid s	Number of new bids for the item from previous round	Integer	2 Does not include stays. For Round 1, this is null, as there was no previous round
mab	Minimum acceptable bid for this round	Dollar	50000 For Round 1 this is the minimum opening bid (MOB).
mab_increment	Dollar increase over last round's PWB Calculated as <i>MAB</i> (this round) – PWB (last round)	Dollar	1500 MAB (this round) – PWB (last round) 0 if there is no previous PWB.
mab_increment_percenta ge	Percentage increase over last round's PWB Calculated as MAB (this round) – PWB (last round)/PWB (last round)	Decimal	12.34
mab_source	Source of MAB	String [F O C]	<ul> <li>F = Calculated using formula</li> <li>O = Auction-Administrator override for</li> <li>MAB (overrides trump capped)</li> <li>C = capped</li> </ul>
mab_rule	Type of price formula	String [S P]	S= Smoothing formula P = Constant percentage increase

Field	Description	Data Type	Example/notes
mab_floor	Minimum percentage increment when	Decimal	15, 15.25
	computing MAB (Floor)		Used with Smoothing price formulas;
			Null when using constant price formula
mab_ceiling	Maximum percentage increment when	Decimal	35, 35.25
	computing MAB (Ceiling)		Used with Smoothing price formulas;
			Null when using constant price formula
activity_weight	Weighting factor for activity used when	Decimal	0.55
	computing MAB	[01]	Used with Smoothing price formulas;
			Null when using constant
mab_percentage_without	Uncapped percentage that was used during	Decimal	12.34
_ceiling	calculation of this round's MAB using the		
	following portion of the smoothing price		Null when using constant
	formula:		
			Does not include FCC rounding
	(1 + Ai) * N		
mab_formula_constant_p	Percentage used with constant percentage	Decimal	10.56
ercentage	МАВ		
			Used with Constant percentage increase
			Null when using smoothing
mab_cap	A dollar cap on the amount by which the MAB	Dollar	500000
	may increase over PWB amount		Null if not used
additional_increment_am	Additional increment amount used to	Dollar	5000
ount	determine the additional bid amounts.	-	
additional_increment_for	Percentage adopted by the FCC for calculating	Decimal	5, 5.53
mula_percentage	additional increments		
additional_increment_sou	Source of the additional bid increment	String [F C}	F = Calculated using formula
rce	amount		C = capped
additional_increment_cap	A dollar cap on the result of the formula for	Dollar	500000
	calculating the additional increment amount		Null if not used

Field	Description	Data Type	Example/notes
number_additional_incre	Number of additional bid amounts	Integer	8
ments			does not include the MAB

## **11.2.2.** My Bids Upload (with current prices)

This file has the same format as the My Bids Template file (Section 7.5.2).

**File name**: My-Bids-Upload.csv

#### **11.3.** All Posted Bids/Status

#### 11.3.1. All New Bids

The file structure is the same as for the New Bids file described in Section 11.1.2. The file contains all new bids placed during the round for all bidders in the auction, subject to the information disclosure policy in place for the auction.

File name: All-New-Bids-r###.csv
(### = round number - e.g., All-New-Bids-r003.csv)

#### 11.3.2. All Withdrawn Bids (if withdrawals are allowed)

The file structure is the same as for the Withdrawn Bids file described in Section 11.1.3. The file contains all withdrawn bids placed during the round for all bidders in the auction, subject to the information disclosure policy in place for the auction.

File name: All-Withdrawn-Bids-r###.csv
(### = round number - e.g., All-Withdrawn-Bids-r003.csv)

## 11.3.3. All Provisionally Winning Bids

The file structure is the same as for the Provisionally Winning Bids file described in Section 11.1.5. The file contains all provisionally winning bids placed during the round for all bidders in the auction, subject to the information disclosure policy in place for the auction.

**File name**: All-Provisionally-Winning-Bids-r###.csv (### = round number – e.g., All-Provisionally-Winning-Bids-r003.csv)

#### 11.3.4. All Item Status

The file structure is the same as for the Item Status file described in Section 11.1.6.

File name: All-Item-Status-r###.csv
(### = round number - e.g., All-Item-Status-r003.csv)

#### 11.3.5. All Bidder Status

The file structure is the same as for My Bidder Status in Section 11.1.7.

File name: All-Bidder-Status-r###.csv
(### = round number - e.g., All-Bidder-Status-r003.csv)

11.4. Other Tab

#### 11.4.1. Auction Summary

This report offers high-level summary of the auction

File name: Auction-Summary.csv

Field	Description	Data Type	Examples/Notes
auction_id	FCC identifier for this auction	String {120}	109
auction_description	Name of the auction	String {130}	FM broadcast
rounds	Number of rounds completed	Integer	363
date_opened	Date the auction commenced	Date	yyyy-mm-dd
date_closed	Date the auction concluded	Date	yyyy-mm-dd; if the auction is closed Null if the auction is open
bidding_days	Number of days with bidding rounds to date	Integer	63 days

Field	Description	Data Type	Examples/Notes
total_pwb_amount	Total dollar amount of PWBs, not taking into	Dollar	2063000000
	account any bidding credits		
total_net_pwb_amount	Total of net provisionally winning bid	Dollar	1998000000
	amounts, taking into account bidding credits		
pw_bidders	Total of winning bidders	Integer	45; If the auction is closed
qualified_bidders	Number of bidders that qualified to	Integer	100
	participate in the auction		
items_with_pwbs	Number of items with provisionally winning	Integer	405
	bids		
fcc_held_items	Number of items without provisionally	Integer	3
	winning bids		
total_items	Number of items in the auction	Integer	16
item_type	Type of items offered for bid during the	String {130}	Permit
	auction		

## **11.4.2.** Round Summary

The Round Summary file offers a high-level summary of each round, and it is only available after the round has been posted.

## File name: Round-Summary.csv

Field	Description	Data Type	Examples/Notes
auction_id	FCC identifier for this auction	String {120}	109
round	Auction round number	Integer	11
round_start_time	Date and time the round started	Date/Time	2014-10-27 14:16:53
			yyyy-mm-dd hh:mm:ss
round_stop_time	Date and time the round ended	Date/Time	2014-10-27 14:16:53
			yyyy-mm-dd hh:mm:ss
total_pwb_amount	Total dollar amount of PWBs, not taking into	Dollar	2063000000
	account any bidding credits		

Field	Description	Data Type	Examples/Notes
dollar_change_in_total_pw	total_pwb_amount minus	Dollar	2063000
b_amount	total_pwb_amount of previous round		
percent_change_in_total_p	dollar_change_in_total_pwb_amount	Decimal	12.34 (12.34%);
wb_amount	divided by total_pwb_amount of previous		-12.34 (-12.34%)
	round		
			Null for Round 1
total_net_pwb_amount	Total of net provisionally winning bid	Dollar	1998000000
	amounts taking into account bidding credits		
dollar_change_in_total_net	total_net_pwb_amount minus	Dollar	1953000
_pwb_amount	<pre>total_net_pwb_amount of previous round</pre>		
percent_change_in_total_n	dollar_change_in_total_net_pwb_amount	Decimal	12.34
et_pwb_amount	divided by total_net_pwb_amount of		-12.4
	previous round		0 if there is no previous PWB
pw_bidders	Number of provisionally winning bidders	Integer	45
eligible_bidders	Number of bidders with eligibility > 0 at the	Integer	3
	start of the round		
eligibility_ratio	(sum of current eligibility of all bidders) /	Decimal	2.12
	(sum of all the bidding units of the items)		
eligibility_ratio_less_fcc_he	(sum of current eligibility of all bidders) /	Decimal	3.12
ld	(sum of all the bidding units of items with		
	PWBs)		
proactive_waiver_flag	Displays "Y" if at least one bidder submitted	String [Y N]	Y
	a proactive waiver.		N
proactive_waiver_bidders	Count of bidders where eligibility_result =	Integer	1
	proactive waiver		
auto_waiver_bidders	Count of bidders where eligibility_result =	Integer	3
	auto waiver		

Field	Description	Data Type	Examples/Notes
requirement_met_bidders	Count of bidders where eligibility_result = requirement met	Integer	20
reduced_eligibility_bidders	Count of bidders where eligibility_result = reduce eligibility	Integer	1
auto_reduced_bidders	Count of bidders where eligibility_result = auto reduce	Integer	0
withdraw_bidders	The number of bidders that withdrew PWBs in a round; count of bidders where withdrawn_bids > 0	Integer	1*
withdraw_status	Indicates if any withdrawals took place during a round.	String [Y N]	Y = yes N = no*
withdrawn_bids	The number of bids withdrawn in a round	Integer	2*
items_with_pwbs	Number of items with provisionally winning bids	Integer	405
fcc_held_items	Number of items held by the FCC after the round is posted.	Integer	3
stage	Identifies the stage of the auction	String {120}	1, 2, 3
stage_transition_percentag e	<ul><li>(sum of bidding units of items with new bids)</li><li>/ (sum of bidding units of all items)</li></ul>	Decimal	12.34
stage_transition_percentag e_less_fcc_held	<ul><li>(sum of bidding units of items with new bids)</li><li>/ (sum of bidding units of all items with PWBs)</li></ul>	Decimal	15.99

\*if withdrawals are allowed in the auction

## 11.4.3. Items Info

The contents of the file reflect the way the auction was configured

File name: Items-Info.csv

Field	Description	Data Type	Examples/Notes
auction_id	FCC identifier for this auction	String {120}	109
item	Unique ID for the item	String {120}	MM-FM598A
item_description	Description of item	String {150}	"Freer, TX"
bidding_units	Unit of measure associated with the item	Integer	10000
	being auctioned, related to activity and		
	eligibility		
mob	Minimum amount required to place the	Dollar	567000
	first bid on the item		
channel	Identifies the channel of the item	String {12}	284
city	Identifies the city of the item.	String {120}	Freer
state	Identifies the state	String {120}	ТХ
service	Identifies the service code.	String	MM

## 11.4.4. Pricing Formulas

This file defines the pricing formulas for the minimum acceptable bid (MAB) amounts and the increment for the additional bid amounts.

File name: Pricing-Formulas.csv

Field	Description	DataType	Examples/Notes
auction_id	FCC identifier for this auction	String {120}	109
effective_round	The round where the pricing formula will	Integer	1
	take effect.		
mab_rule	Type of formula	String [S P]	S= Smoothing formula
			P= Constant percentage increase

Field	Description	DataType	Examples/Notes
mab_floor	Minimum percentage increment when	Decimal	15
	computing MAB (Floor)		15.35
			Used with Smoothing price formulas;
			Null when using constant
mab_ceiling	Maximum percentage increment when	Decimal	35
	computing MAB (Ceiling)		35.35
			Used with Smoothing price formulas;
			Null when using constant
activity_weight	Weighting factor for activity used when	Decimal	0.55
	computing MAB	[0,1]	Used with Smoothing price formulas;
			Null when using constant
mab_formula_constant_pe	Percentage used with constant percentage	Decimal	10
rcentage	МАВ		10.35
			Used with Constant percentage increase
			Null for Smoothing
mab_cap	A dollar cap on the amount by which the	Dollar	500000
	MAB may increase over PWB amount		Null if not used
additional_increment_form	Percentage for calculating additional	Decimal	5
ula_percentage	increments		5.35
additional_increment_cap	A dollar cap on the result of the formula for	Dollar	500000
	calculating the additional increment amount		Null if not used
number_additional_increm	Number of additional Bid amounts	Integer	8 (does not include the MAB)
ents		[0,9]	

## 11.4.5. All Bidder Items

This file lists the items the bidder selected on its FCC Form 175 and the bidding credit for which the bidder claimed eligibility, if any.

File name: All-Bidder-Items.csv

Field	Description	Data Type	Examples/Notes
auction_id	FCC identifier for this auction	String {120}	109
bidder	Name of qualified bidder	String {150}	Company XYZ
			"Bidding Company, Inc."
frn	FCC Registration Number (FRN) of this bidder	String [0,9]	"0123456789"
		{10}	
item	Unique ID for the item	String {9}	MM-FM598A
bidding_credit	Bidding credit percentage	Integer	0 (no credit), 15 (15%), 25 (25%), 35 (35%)
			Not all of these example percentages are
			available in all auctions

# 12. Final Results

After the final round of the auction has been posted, the timer on all screens will be replaced by Auction Concluded as shown in Figure 53.

The final auction results are available on the Final Results screen (Figure 53). The Final Results screen replaces the Place Bids screen, and the screen title <u>Final Results</u> is located where <u>Place Bids</u> was while the auction was active.

### Figure 53: Final Results screen

Auction 1 Sample Auction					Bidder Three	Stage 1 [80%]	Auction Concluded	06:00 PM ET 3/12/2020
💲 Final Results	Final Result	S						Print
-\- Activity			You are the provisionally winnin	ng bidder of	1 permit, subject to off	icial notification		
E Round Summary			of duch	onresults b	y public notice.			
Posted Results	Total PWB Amount \$910	Total Net PWB Ar \$910	nount					
Messages	Permit	Description	Biddi	ng Units		PWB Amoun	t	Net PWB Amount
🛗 Auction Schedule	filter	filter	filter		filter		filter	
🔶 Downloads	MM-GEN001	Alabama 1		750		\$91	1	\$910
Auction Info				100		¢51	<b>,</b>	Ç510
Logged in as: Ima Bidder								
Lock Screen								
© 2000-2020 by Power Auctions LLC.								

This screen shows all items for which the bidder is the PW Bidder. All results are subject to verification by the Commission.

## 12.1. Posted Results

A more comprehensive summary of the bidder's results and general auction results is shown on the Posted Results Screen (Figure 54). Information about the final auction status can be obtained on the public sites.

The top part of this screen displays discount information for bidders with bidding credits.

# Figure 54: Final Results shown on Posted Results screen

FC Auction 1 Sample Auction					Bidder Three	Stage <b>1 [80</b> ]	Auctic <sup>%]</sup> Conclue	on 06:03 PM E 3/12/2020 ded	
\$ Final Results	Posted Results	- My Bid Result						Print	
-\- Activity	My Bid Result	Last Posted Round							
Round Summary			<i>(</i> <b>2</b>						
Posted Results	Filters: Round 4 -	My Permits -	(Generated: We	ednesday, Mar	rch 18 2020, 3:41:3	8 PM E1)			
🛡 Messages									
🛗 Auction Schedule	Total PWB Amount Total Net PWB Amount				Minimum Required Activity			1,400 Bidding Units	
🜵 Downloads				\$910 \$910	Below Minim	num Required Acti	vity By	650 Bidding Units	
Auction Info	Waivers Remaining			1	Current Eligil Eligibility Re Eligibility Ne	bility duction xt Round		1,750 Bidding Units N/A 1,750 Bidding Units	
Logged in as:	Permits								
Ima Bidder	Permit	Description	<b>Bidding Units</b>	My Bid R	esult PV	V Bidder	PWB Amount	Net PWB Amount	
	filter	filter	filter	filter	fi	lter	filter	filter	
	MM-GEN001	Alabama 1	750	PWB	Bi	dder Three	\$910	\$910	
© 2000-2020 by Power Auctions LLC.	MM-GEN002	Alaska 1	1,000	no bid	Bi	dding Company	\$2,200	\$2,200	

# **Appendix 1: System Troubleshooting**

If you continue to experience problems after following the troubleshooting instructions, please call Technical Support at (877) 480-3201, option 9; (202) 414-1250; or (202) 414-1255 (TTY) (8:00 a.m. – 6:00 p.m. ET on business days).

#### Situation 1: Page cannot be displayed error message on first access

#### Figure 55: Google Chrome connection error message



If any of the screens shown above appear, complete the following steps:

- Confirm that the computer has external internet access. Try to access a common site on the internet such as <u>www.google.com</u>. If this is unsuccessful, the computer does not have external internet access.
- Confirm that the URL for ABS is correct.
- Confirm that your organization has not blocked access to the site. Your organization may only allow you to access certain external web sites. Check with your IT department.
- You may not have selected the "OK" button when the message appeared stating that you are about to enter a secure site. Re-start the browser and try again ensuring you click on the "OK" button.

Confirm that you are using the correct browser version (see system requirements in Section 2.1) by performing the following steps:

- Chrome: First, click on the i icon (which can be found in the top right-hand corner of your browser). Then click "Help" followed by "About Google Chrome."
- Confirm that TLS has been enabled on your browser.
  - Chrome support TLS 1.2 by default. No changes are required.
- Confirm that your corporate firewall accepts TLS.

### Situation 2: Cookies must be enabled error message

ABS requires that cookies are enabled on your browser. If cookies are disabled, you will see a message stating that cookies should be enabled.

To enable cookies in Chrome, complete the following steps:

- Click on the tools icon in the top right-hand corner of your browser
  - Click on "Settings". At the bottom of the page, click on "Advanced".
  - In the "Privacy and security" section, click on the "Content Settings" area, and then click on the "Cookies" area to display a screen similar to that shown in Figure 59.
  - Slide the circle to the right next to the words "Allow sites to save and read cookie data (recommended)".
  - Then close the "Settings" tab.

#### Figure 56: Enabling cookies and JavaScript in Chrome

← Cookies	
Allow sites to save and read cookie data (recommended)	
Keep local data only until you quit your browser	
Block third-party cookies Prevent third-party websites from saving and reading cookie data	
See all cookies and site data	•
Block	Add
No sites added	
Clear on exit	Add
No sites added	
Allow	Add
No sites added	

## Situation 3: JavaScript must be enabled error message

The auction bidding system requires that JavaScript is enabled on the browser used. If JavaScript is disabled, there will be a message stating that "JavaScript in this browser is not enabled or is not working."

Troubleshooting instructions are given below only for Chrome because JavaScript is enabled by default in Edge and cannot be changed.

To enable JavaScript in Chrome, carry out the following steps:

- Click on the tools icon in the top right-hand corner of your browser, then "Settings," then at the bottom of the page, click on "Advanced".
- In the "Privacy and security" section, click on the "Content settings" area, and then click on the "JavaScript" area to display a screen similar to that shown in Figure 57.
- Slide the circle to the right next to the words "Allowed (recommended)".
- Then close the "Settings" tab.

## Figure 57: Enabling JavaScript in Chrome

← JavaScript	
Allowed (recommended)	-
Block	Add
No sites added	
Allow	Add
No sites added	

## Situation 4: Page cannot be displayed error message when navigating to new screen

If you have been using ABS successfully and "page cannot be displayed" appears when you click on a link or a button, then complete the following steps:

- Click on the Refresh/Reload button to reload the page.
  - If that is successful, please verify any actions that you were taking when the message was displayed. If you were in the middle of placing a bid, those changes will have been lost, and you will need to select and resubmit that bid. All submitted bids that had the green check mark will remain unaffected.
- Re-navigate to the ABS URL and, if prompted, log in again.
- If that is successful, please verify any actions that you were taking, as discussed above.
- Your internet connection may have gone down. Confirm that your computer has external Internet access. Try to access a common site on the internet such as <u>www.google.com</u>. If this is unsuccessful, the computer does not have external internet access.
- ABS may be down. To confirm whether ABS is down, first, confirm that you have internet access and then attempt to access the home page (see Section 2.2). If you are unable to view this page, or the page states that ABS is unavailable, then ABS may be down. Check to see whether you have received an email from the FCC indicating that the system is unavailable. The FCC will inform you when ABS is expected to be available again.

### Situation 5: Page has expired error message when back button is clicked

Do not use the browser's back button to access a previous screen. To move between screens, use the links and buttons in ABS.

### Situation 7: Computer or web browser crashes

If the browser crashes or the computer freezes, it is recommended that you reboot the machine (or use a different machine) to access ABS.

<u>Please review any actions that you were taking when the computer crashed.</u> If you were in the middle of submitting a bid, those changes will have been lost, and you will need to select and resubmit that bid. All submitted bids that had the green check mark will remain unaffected.

If the computer crashes while you are using ABS, you may access ABS using a different computer. When a user logs in to a second computer, whether the user has logged out of the first computer or not, the second login will automatically cause the user to be logged out of the first computer.

### Situation 8: Certificate error message

If you access ABS and see a security message similar to that shown in Figure 58 (for Google Chrome), you should not proceed. Please call Technical Support.



#### Figure 58: Certificate security error message in Google Chrome

# **Appendix 2: Data Type Definitions**

The following is a guide to interpreting data types defined in this document. This guide is based on regular expressions used in XML standards.

## Valid Data Types used in this Document

**Character:** A character is a single standard ASCII character. The following list has examples of valid ASCII characters:

- a
- D
- 3
- %

**String:** A string contains one or more characters and can contain whitespace. The following list has examples of valid strings:

- BEA001
- 005
- 588.3-593.3 MHz + 628.3-633.3 MHz
- Huntsville-Decatur-Florence, AL

Quotation marks are required for strings containing a comma. In the above example, "Huntsville-Decatur-Florence, AL" would be the correct format for the string in a CSV file; Huntsville-Decatur-Florence, AL without the quotation marks would be incorrect. Filed produced by the bidding system will include quotation marks around string data elements where necessary. Additionally, FRN and selection number values will always have quotation marks around them.

**Numeric:** Numeric is a generic data type that covers a number of different underlying data types. As a result, anything defined as numeric could be any of the following:

- Decimal
- Integer
- Long

**Integer:** The integer data type is used to specify a numeric value without a fractional component.

- It's assumed that any Integers defined in this document are unsigned and never include a (+) plus or (-) minus sign. Any signed Integers containing a + or are considered invalid.
- If the Integer is of defined length, then curly brackets should be used. E.g., {3} indicates the integer should be exactly 3 numbers long.

The following list has examples of valid Integers:

- 009
- 9
- 2147483647

The following list has examples of *invalid* Integers:

- -009
- +009

**Dollar (\$):** Dollar values are integers that optionally contain a leading dollar sign (\$) and comma formatting separators.

The following list has example of valid dollar amounts:

- 0
- \$1
- \$5,432
- 56565656
- 88,212

The following list has examples of invalid dollar amounts:

- -33,123
- \$44.00
- \$55,66,77
- 5675675.

Date/Time: Used for timestamps.

This data type is typically used in reports and the format can include one of the following:

yyyy-mm-dd: 2014-10-12
 yyyy-mm-dd hh:mm:ss (24-hour clock): 2014-10-11 04:49:13

#### Restricting values for a data type

Restrictions are used to define acceptable values for any given data type. The following lexicon is used when defining data types:

- Square brackets define the *pattern*.
  - e.g., [A-L] means only the uppercase letters A through L are allowed.
  - e.g., [U|D] means only the uppercase letters U or D are allowed.
  - e.g., [0-9] means only the numbers 0 through 9 are allowed
- Curly brackets define the *length* including whitespace.
  - e.g., {3} means the value has to be exactly 3 characters long.

- e.g., {1,3} or {1..3} means the value has to be a minimum of 1 character and a maximum of 3 characters.
- e.g., {0,50} or {0..50} means the value has to be a minimum of 0 characters and a maximum of 50 characters.

## **Examples for valid Data Types**

### Example 1:

The Data Type is defined as follows:

Integer {3}

The curly brackets mean only a 3-digit integer is allowed.

Valid Values for example 1:

- 009
- 056
- 102

Invalid Values for example 1:

- 09
- 3502
- 1
- +12
- -35

#### Example 2:

The Data Type is defined as follows:

String [A-L]{1}

The square brackets mean only the uppercase letters A through L are allowed and the curly brackets mean it must be exactly 1 character long.

Valid Values for example 2:

- B
- L

Invalid Values for example 2:

- a
- M
- 6

### Example 3:

The Data Type is defined as follows:

String [0-9]{3}

The square brackets mean only the numbers 0 through 9 are allowed and the curly brackets mean it must be 3 characters long.

Valid Values for example 3:

- 001
- 023
- 358

Invalid Values for example 3:

- 2
- 01
- 2026

#### Example 4:

The Data Type is defined as follows:

String [0-9]{1,2}

The square brackets mean only the numbers 0 through 9 are allowed and the curly brackets mean it must be a minimum of 1 character long and a maximum of 2 characters long.

Valid Values for example 4:

- 4
- 04
- 41

Invalid Values for example 4:

- 123
- Blank or null value

#### Example 5:

The Data Type is defined as follows:

## String [US|CA|MX]{2}

The square brackets mean the pattern must be either US, CA or MX. The curly brackets mean it must be exactly 2 characters long.

Valid Values for example 5:

- US
- CA

Invalid Values for example 5:

- C
- USA

## Example 6:

The Data Type is defined as follows:

## String (["BEA"][0-9] [0-9] [0-9]){6}

The square brackets inside the round brackets mean the pattern must be a concatenation of the text "BEA" followed by three single numbers, with each number ranging from 0 through 9. The curly brackets mean it must be exactly 6 characters long.

Valid Values for example 6:

- BEA002
- BEA356

Invalid Values for example 6:

- BEA0001
- BEA-005
- BEA-05

• BEA-0512

• BEA-2

### Example 7:

The Data Type is defined as follows:

String {0,50}

The absence of square brackets means there are no restrictions to the characters in this string. The curly brackets mean it must be a minimum of 0 characters long (i.e., can be blank/null) and a maximum of 50 characters long.

Valid Values for example 7:

- 588.3-593.3 MHz + 628.3-633.3 MHz
- Albuquerque-Santa Fe, NM

Invalid Values for example 7:

• Greenville-Spartanburg, SC-Asheville, NC-Anderson, SC

This is an invalid string which is longer than 50 characters including spaces.