1.3 Equipment Authorization System(EAS) and Processes Update

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Note: The views expressed in this presentation are those of the author and may not necessarily represent the views of the Federal Communications Commission

April 2023

TCB Workshop

Overview

- Grantee Code Fee Change Update
- Form 731 Applications Submissions Update
- Equipment Authorization Exhibit requirement Changes
  - RF Exposure
  - All applications for covered equipment rules
- How Should a TCB respond to an FCC equipment authorization related information request?

Grantee Code Fees

- FCC is required to review all fees at least every two years and adjust for cost of living
  - Current Fee to obtain a new Grantee Code is $35
  - If the cost-of-living adjustment is less than $10 the fee is not changed
  - The 2022 cost-of-living adjustment for grantee code fees was less then a $10 change and therefore no fee change was implemented
  - The next review will be in about 2 years

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Form 731 Application Submissions

- Form 731 Application Submission Rate:
  - 2020 submission rate ~2332/month
    - About 1% increase from 2019
  - 2021 submission rate ~2686/month
    - About 15% increase from 2020
    - Total applications for year just over 32,000
  - 2022 submission rate ~3309/month
    - About 24% increase from 2021
    - Application submission rate slowed last two months of 2022
  - 2023 submission rate ~2550/month
    - First two months of year typically has lower number of applications but rate increases starting in March and April

EAS Required Exhibits For RF Exposure

● KDB [447498](#) - Mobile and Portable Device RF Exposure

– Q/A answer clarified on 1/17/2023 to add text:

• *…all applications for equipment certification of RF devices shall include at least one exhibit that addresses compliance with the RF exposure KDB guidance. This exhibit must also be included for equipment where the applicant is claiming exemption from RF exposure testing. Contributions from unintentional or incidental radiators are not required to be included in this assessment at this time…*

– The attachment requirement is currently applicable but on about May 3, 2023 the EAS Form 731 attachments upload minimum required exhibits process will be updated to require at least one attachment in RF Exposure Info Exhibit Type folder for all devices except unintentional radiators

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FCC 22-84/Covered Equipment EAS Required Exhibits

- FCC [22-84](#) Protecting Against National Security Threats the Communications Supply Chain…
  - Rules became effective February 6, 2023
  - New EAS requirements:
    - 2.911(d)(5)(i)-Certification/Attestation that equipment is not covered
    - 2.911(d)(5)(ii)- Certification/Attestation that Applicant/Grantee is identified or is not identified on [covered list](#)
    - 2.911(d)(7)- US Agent for service of process
  - EAS Form 731 minimum required exhibits updated on February 6, 2023 to require attachment(s) in Attestation Statements exhibit type folder
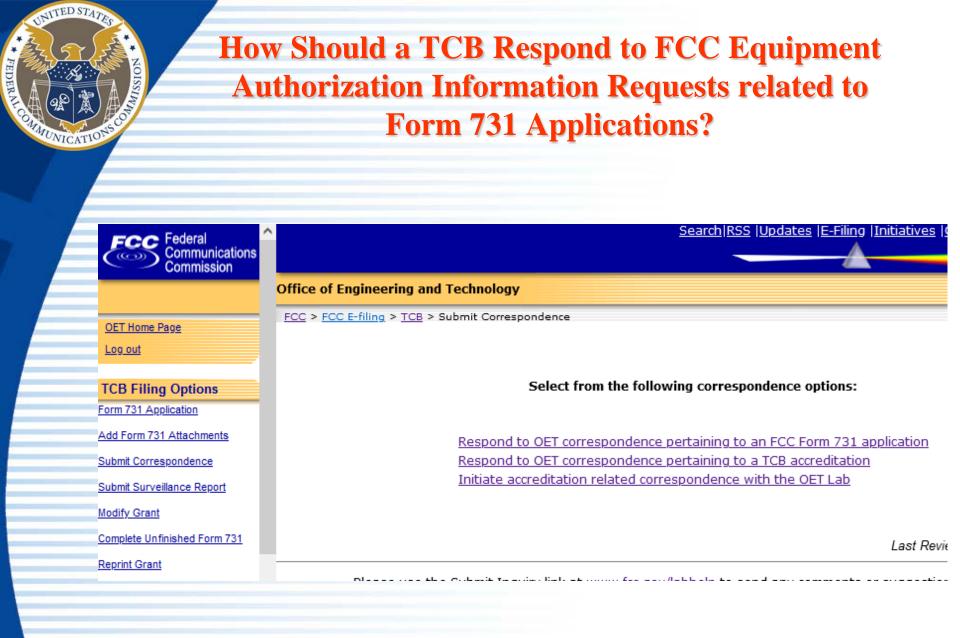   - More information in KDB Publication [986446](#)

How Should a TCB Respond to FCC Equipment Authorization Information Requests?

- What is Source of FCC Inquiry?
  - Form 731 information request
    - Login as TCB and use link for "submit correspondence"
  - Market Surveillance
    - Login as TCB and use link for submit "submit surveillance report"
  - KDB Inquiry
    - Go to www.fcc.gov/kdb and use link for "Reply to an Inquiry Response"

How Should a TCB Respond to FCC Equipment Authorization Information Requests related to Form 731 Applications?

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How should a TCB Respond to EAS Form 731 Application Information Requests?
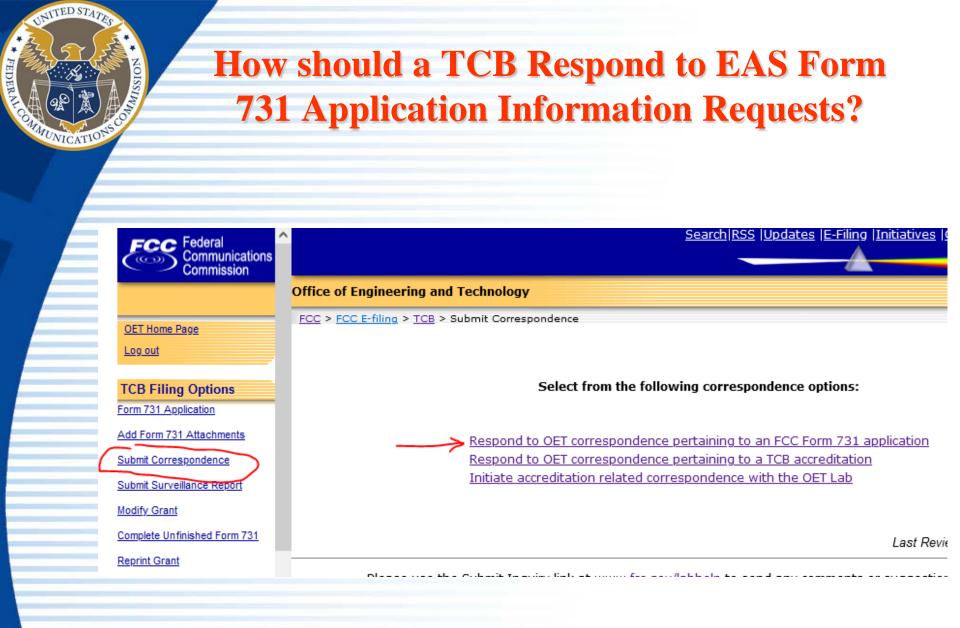
- When the source of question is Form 731 information request:
  - Login as TCB and select "submit correspondence" link
  - Select link for "respond to OET correspondence pertaining to an FCC Form 731 Application"
  - Enter the Correspondence Reference Number (CRN) that was on the FCC information request and the Form 731 TC confirmation number and click proceed
  - This will allow a text or attachment(s) response to be submitted
  - It will also change the internal application status to let the FCC reviewer know that a response has been submitted
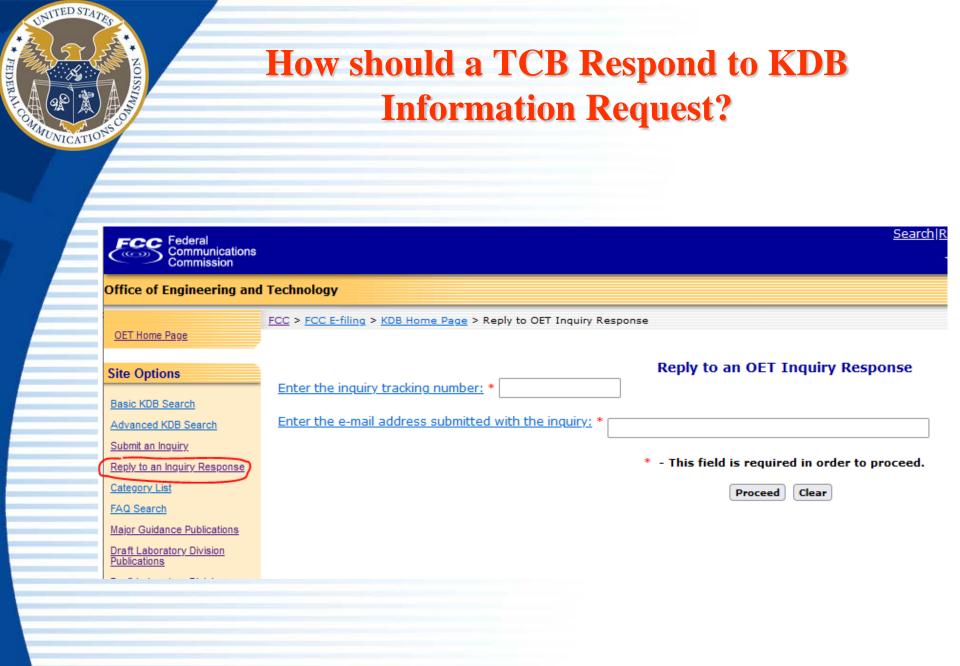   - Using the "add form 731 attachment" link doesn't change status

How should a TCB Respond to EAS Form 731 Application Information Requests?

How should a TCB Respond to EAS Market Surveillance Information Request?

How should a TCB Respond to KDB Information Request?

Questions and Answers

Thanks