FORM 2100, SCHEDULE 315 - APPLICATION FOR CONSENT TO TRANSFER CONTROL OF ENTITY HOLDING BROADCAST STATION CONSTRUCTION PERMIT OR LICENSE

This set of screenshots tracks the Non-Pro Forma Transfer of Control of Construction Permit or License Application flow in LMS.
FORM 2100, SCHEDULE 315 - APPLICATION FOR CONSENT TO TRANSFER CONTROL OF ENTITY HOLDING BROADCAST STATION CONSTRUCTION PERMIT OR LICENSE

Fees, Waivers, and Exemptions

* Indicates required field

Fees

* Is the applicant exempt from FCC application Fees?
  ○ Yes  ○ No  « Clear

Waivers

* Does this filing request a waiver of the Commission's rule(s)?
  ○ Yes  ○ No  « Clear

< Back  Save & Continue >
FORM 2100, SCHEDULE 315 - APPLICATION FOR CONSENT TO TRANSFER CONTROL OF ENTITY HOLDING BROADCAST STATION CONSTRUCTION PERMIT OR LICENSE

* Indicates required field

Transfer Type

Is this application a pro forma Transfer of Control? No

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Licensee/Permittee Contact Representatives

Contact Type
* Please select the contact type
  ○ Legal Representative
  ○ Technical Representative
  ○ Other

Contact Name
Pre-fill from Licensee/Permittee Details

* First Name:
* Middle Name:
* Last Name:
Suffix:
Title:
* Company Name:

Contact Information
Attention To
* Country: United States
PO Box: Either PO Box Address Line 1 is required
Address Line 1:
Address Line 2:
City:
* State: Select...
* Zip Code:
* Phone:
* Email:

All previous editions obsolete.

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Agreements for Transfer Control of Station

* Licensee/Permittee certifies:
  [ ] it has placed in the public inspection file(s) and submitted to the commission an exhibit to this application copies of all agreements for the transfer of the station(s);
  [ ] these documents embody the complete and final understanding between Transferor and Transferee, and
  [ ] these agreements comply fully with the commission’s rules and policies.
  ○ Yes  ○ No  ○ NA  = Clear

Character Issues

* Licensee/Permittee certifies that neither Licensee/Permittee nor any party to the application has or has had any interest in, or connection with:
  [a] any broadcast application in any proceeding where character issues were left unresolved or were resolved adversely against the applicant or any party to the application;
  [b] any pending broadcast application in which character issues have been raised.
  ○ Yes  ○ No  = Clear

Adverse Findings

* Licensee/Permittee certifies that, with respect to the Licensee/Permittee and each party to the application, no adverse finding has been made, nor has an adverse final action been taken by any court or administrative body in a civil or criminal proceeding brought under the provision of any law, related to any of the following: any felony; mass media-related antitrust or unfair competition fraud; or to transfer or assign a governmental unit or discrimination.
  ○ Yes  ○ No  = Clear

Local Public Notice

* Licensee/Permittee certifies that it has or will comply with the public notice requirements of 47 C.F.R. Section 73.3580.
  ○ Yes  ○ No  ○ NA  = Clear

Auction Authorization

Licensee/Permittee certifies that more than five years have passed since the issuance of the construction permit for the station being assigned.
  ○ Yes  ○ No  ○ NA  = Clear

Anti-Discrimination Certification

* Licensee/Permittee certifies that neither Licensee/Permittee nor any party to the application has violated the Commission’s prohibition against discrimination on the basis of race, color, religion, national origin or sex in the use of commercially operated AM, FM, TV, Class A, TV or international broadcast stations.
  ○ Yes  ○ No  ○ NA  = Clear

All previous editions obsolete.
FORM 2100, SCHEDULE 315 - APPLICATION FOR CONSENT TO TRANSFER CONTROL OF ENTITY HOLDING BROADCAST STATION CONSTRUCTION PERMIT OR LICENSE

Transferor Information

* FRN:  
* Applicant Type: Select...  
* Company Name:  
* Doing Business As:  

Attention To:  
* Country: United States  
* PO Box: Enter PO Box or Address Line 1 if required.  
* Address Line 1:  
* Address Line 2:  
* City:  
* State: Select...  
* Zip Code:  

* Phone:  
* Email:  

Save & Continue →
FORM 2100, SCHEDULE 315 - APPLICATION FOR CONSENT TO TRANSFER CONTROL OF ENTITY HOLDING BROADCAST STATION CONSTRUCTION PERMIT OR LICENSE

Add Transferor Contact Representative

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>* Address required</td>
<td></td>
</tr>
<tr>
<td>Contact Type</td>
<td></td>
</tr>
<tr>
<td>* Please select the contact type:</td>
<td></td>
</tr>
<tr>
<td>Legal Representative</td>
<td></td>
</tr>
<tr>
<td>Technical Representative</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>* First Name</td>
<td></td>
</tr>
<tr>
<td>Middle Name</td>
<td></td>
</tr>
<tr>
<td>* Last Name</td>
<td></td>
</tr>
<tr>
<td>Suffix</td>
<td></td>
</tr>
<tr>
<td>Title</td>
<td></td>
</tr>
<tr>
<td>* Company Name</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Attention to</td>
<td></td>
</tr>
<tr>
<td>* Country:</td>
<td>United States</td>
</tr>
<tr>
<td>PO Box:</td>
<td></td>
</tr>
<tr>
<td>* Address Line 1:</td>
<td></td>
</tr>
<tr>
<td>Address Line 2:</td>
<td></td>
</tr>
<tr>
<td>* City:</td>
<td></td>
</tr>
<tr>
<td>* State:</td>
<td>Select...</td>
</tr>
<tr>
<td>* Zip Code:</td>
<td></td>
</tr>
<tr>
<td>* Phone:</td>
<td></td>
</tr>
<tr>
<td>* Email:</td>
<td></td>
</tr>
</tbody>
</table>

All previous editions obsolete.
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Transfers
Transferee Information

* Indicators required field

Transferee Name and Type

* FRN: 

* Applicant Type: Select...

* Company Name: 

Doing Business As: 

Transferee Information

Attention To: 

* Country: United States

P.O. Box: Either P.O.Box or Address Line 1 is required.

* Address Line 1: 

* Address Line 2: 

* City: 

* State: Select...

* Zip Code: 

* Phone: 

* Email: 

< Back  Save & Continue >
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### Add Transferee Contact Representative

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contact Name</td>
<td></td>
</tr>
<tr>
<td>First Name</td>
<td></td>
</tr>
<tr>
<td>Middle Name</td>
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</tr>
<tr>
<td>Last Name</td>
<td></td>
</tr>
<tr>
<td>Suffix</td>
<td></td>
</tr>
<tr>
<td>Title</td>
<td></td>
</tr>
<tr>
<td>Company Name</td>
<td></td>
</tr>
</tbody>
</table>

### Contact Information

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Attention To</td>
<td></td>
</tr>
<tr>
<td>* Country</td>
<td>United States</td>
</tr>
<tr>
<td>PO Box</td>
<td></td>
</tr>
<tr>
<td>* Address Line 1</td>
<td>Enter PO Box or Address Line 1 is required</td>
</tr>
<tr>
<td>Address Line 2</td>
<td></td>
</tr>
<tr>
<td>* City</td>
<td></td>
</tr>
<tr>
<td>State</td>
<td>Select:</td>
</tr>
<tr>
<td>* Zip Code</td>
<td></td>
</tr>
<tr>
<td>* Phone</td>
<td></td>
</tr>
<tr>
<td>* Email</td>
<td></td>
</tr>
</tbody>
</table>

* Indicate required field

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<table>
<thead>
<tr>
<th>Transfers</th>
<th>Parties to the Application Certification</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>Indicates required field</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Applicant certifies that equity and financial interests not set forth by the transfer are nonattributable.</strong></td>
</tr>
<tr>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>N/A</td>
<td></td>
</tr>
</tbody>
</table>

**All previous editions obsolete.**

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<table>
<thead>
<tr>
<th>Transferee Legal Certifications</th>
</tr>
</thead>
<tbody>
<tr>
<td>* Indicates required field</td>
</tr>
</tbody>
</table>

**Agreements for Sale/Transfer of Station**
- Transferee certifies that:
  1. the written agreements in the Transferee’s public inspection file and submitted to the Commission embody the complete and final agreement for the sale or transfer of the station(s); and
  2. these agreements comply fully with the Commission’s rules and policies.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>Clear</th>
</tr>
</thead>
</table>

**Other Authorizations**
- Please upload an attachment detailing the call signs, locations, and facility identifiers of all other broadcast stations in which transfer or any party to the application has an attributable interest.

<table>
<thead>
<tr>
<th>N/A</th>
<th>Clear</th>
</tr>
</thead>
</table>

**Multiple Ownership**
- Is the transfer or any party to the application the holder of an attributable radio or television station sales agreement or an attributable radio or television time brokerage agreement with the station subject to this application or with any other station in the same market as the station(s) subject to this application?

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Clear</th>
</tr>
</thead>
</table>

**Transferee certifies that the proposed transfer complies with the Commission’s multiple ownership rules and cross-ownership rules.**

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Clear</th>
</tr>
</thead>
</table>

**Transferee certifies that the proposed transfer:***
- (1) does not present an issue under the Commission’s policies relating to media interests of immediate family members; and
- (2) complies with the Commission’s policies relating to future ownership interests; and
- (3) complies with the Commission’s restrictions relating to the inclusion and non-participation of non-party investors and creditors.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Clear</th>
</tr>
</thead>
</table>

**The Transferee is a small business.**
- (1) 10 percent or more of the stock or partnership interests and more than 50 percent of the voting power of the corporation or partnership that will own the media outlet.
- (2) 15 percent or more of the stock or partnership interests and more than 50 percent of the voting power of the corporation or partnership that will own the media outlet.
- (3) More than 50 percent of the voting power of the corporation that will own the media outlet.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Clear</th>
</tr>
</thead>
</table>

**Does this transfer include a grandfathered cluster of stations?**

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Clear</th>
</tr>
</thead>
</table>

**Does the transfer or any party to the application have an attributable interest in an NCEFM or NCETV station received through the award of “diversity of ownership” points in the point system analysis?**

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>N/A</th>
<th>Clear</th>
</tr>
</thead>
</table>

---

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Character Issues
- Transferees or transferees that neither transfer nor any party to the application has or has had any interest in, or connection with:
  - any broadcast application in any proceeding where character issues were left unresolved or were resolved adversely against the applicant or any party to the application;
  - any pending broadcast application in which character issues have been raised.
  ○ Yes  ○ No  = Clear

Adverse Findings
- Transferees or transferees that, with respect to the transfer or transfer of any party to the application, no adverse finding has been made, nor has an adverse final action been taken by any court or administrative body in a civil or criminal proceeding brought under the provisions of any law related to any of the following: any felony, mass media-related trust or unfair competition, fraudulent statements to another governmental unit or discrimination.
  ○ Yes  ○ No  = Clear

Financial Qualifications
- Transferees or transferees that sufficient net liquid assets are on hand or are available from committed sources to consummate the transaction and operate the station for three months.
  ○ Yes  ○ No  = Clear

Program Service Certification
- Transferees or transferees that it is cognizant of and will comply with its obligations as a Commission licensee to present a program service responsive to the issues of public concern facing the station's community of license and service area.
  ○ Yes  ○ No  ○ N/A  = Clear

Auction Authorization
- Transferees or transferees that at least 180 days have passed since the issuance of the construction permit and the permit has been issued in an auction through the use of a bidding process or other means.
  ○ Yes  ○ No  ○ N/A  = Clear

Equal Employment Opportunity (EEO)
- If the applicant proposes to employ five or more full-time employees, applicant certifies that it is filing simultaneously with this application a Model EEO Program Report on FCC Form 398-A.
  ○ Yes  ○ No  ○ N/A  = Clear

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<table>
<thead>
<tr>
<th>Transfers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transferee Alien Ownership</td>
</tr>
</tbody>
</table>

- Indicate required field

- 1) Is the applicant a foreign government or the representative of any foreign government as specified in Section 300(a) of the Communications Act?
  - Yes ☐ No ☐ = Clear

- 2) Is the applicant an alien or the representative of an alien? (Section 300(a)(3))
  - Yes ☐ No ☐ = Clear

- 3) Is the applicant a corporation, or non-corporate entity, that is organized under the laws of any foreign government? (Section 300(a)(2))
  - Yes ☐ No ☐ = Clear

- 4) Is the applicant an entity of which more than one-fifth of the capital stock, or other equity or voting interest, is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any entity organized under the laws of a foreign country? (Section 300(b)(3))
  - Yes ☐ No ☐ = Clear

- 5) Is the applicant directly or indirectly controlled by any other entity of which more than one-fourth of the capital stock, or other equity or voting interest, is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any entity organized under the laws of a foreign country? (Section 300(b)(4))
  - Yes ☐ No ☐ = Clear

- 6) Has the applicant received a declaratory ruling(s) under Section 310(b)(6) of the Communications Act?
  - Yes ☐ No ☐ = Clear

- 7) In connection with this application, is the applicant filing a foreign ownership Petition for Declaratory Ruling pursuant to Section 300(b)(6) of the Communications Act?
  - Yes ☐ No ☐ = Clear

*All previous editions obsolete.*

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Please review your application before submitting.
You have provided information in all the categories listed under the Application Sections. Use the links under the Application Sections to go back and re-check your application. Make any corrections as necessary. Once you are confident that the application is ready for certification and submission, click on the "Construct to Certify" button below.
FORM 2100, SCHEDULE 315 - APPLICATION FOR CONSENT TO TRANSFER CONTROL OF ENTITY HOLDING BROADCAST STATION CONSTRUCTION PERMIT OR LICENSE

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Transferee Certify and Signature

* indicates required field

General Certification Statements

Transferee certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Transferee further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinent standards and criteria set forth in the application instructions and worksheets.

The Transferee certifies that neither the Transferee nor any other party to the application is subject to a denial of Federal benefits pursuant to 5 U.S.C. § 903, because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in services exempted under § 1.2000(16) of the rules, 47 C.F.R. (see § 1.2000(16) of the rules, 47 C.F.R. § 1.2000(16)), for the definition of "party to the application" as used in this certification (§ 1.2000(16)).

The Transferee certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, correct, and made in good faith.

Authorized Party to Sign

FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID.

Upon grant of this application, the Authorization Holder may be subject to certain construction or coverage requirements. Failure to meet the construction or coverage requirements will result in automatic cancellation of the Authorization. Consult appropriate FCC regulations to determine the construction or coverage requirements that apply to the type of Authorization requested in this application.

WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, §1001) AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, § 301d) AND/OR FORFEITURE (U.S. Code, Title 18, § 1001).

I declare, under penalty of perjury, that I am an authorized representative of the above-mentioned applicant for the Authorization(s) specified above.

All previous editions obsolete.

Date: 10/08/2020

* First Name: 

Middle Name: 

* Last Name: 

Suffix: 

* Title: 

* Attachments: [ ] I certify that this application includes all required and relevant attachments.
FORM 2100, SCHEDULE 315 - APPLICATION FOR CONSENT TO TRANSFER CONTROL OF ENTITY HOLDING BROADCAST STATION CONSTRUCTION PERMIT OR LICENSE

Transferor Certify and Signature

* Indicates required field

General Certification Statements

Transferor certifies that it has answered each question in this application based on its review of the application instructions and worksheets. Transferor further certifies that where it has made an affirmative certification below, this certification constitutes its representation that the application satisfies each of the pertinent standards and contains all facts in the application instructions and worksheets.

The Transferor certifies that neither the Transferor nor any other party to the application is subject to a denial of Federal benefits pursuant to §3001 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 880; because of a conviction for possession or distribution of a controlled substance. This certification does not apply to applications filed in an ex parte manner under §1.2002(e) of the rules, 47 CFR. See §1.2002(d) of the rules, 47 CFR §1.2002(d), for the definition of “party to the application” as used in this certification §1.2002(e).

The Transferor certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Authorized Party to Sign

FAILURE TO SIGN THIS APPLICATION MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID

Upon grant of this application, the Authorization Holder may be subject to certain construction or coverage requirements. Failure to meet the construction or coverage requirements will result in automatic cancellation of the Authorization. Consult appropriate FCC regulations to determine the construction or coverage requirements that apply to the type of Authorization requested in this application.

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I declare, under penalty of perjury, that I am an authorized representative of the above-named applicant for the Authorization(s) specified above.

* Indicates required field

Date: 10/08/2020

First Name:

Middle Name:

Last Name:

Suffix:

Title:

Attachments: I certify that this application includes all required and relevant attachments.

Submit Application