



UNITED STATES GOVERNMENT  
FEDERAL COMMUNICATIONS COMMISSION  
OFFICE OF INSPECTOR GENERAL

## MEMORANDUM

**DATE:** January 5, 2024

**TO:** Chairwoman

**FROM:** Acting Inspector General *Sharon R. Diskin*

**SUBJECT:** Public Report on the Federal Communications Commission's Fiscal Year 2023 Federal Information Security Management Act Evaluation (Report No. 23-EVAL-05-01)

In accordance with the Federal Information Security Management Act (FISMA), the Office of Inspector General (OIG) is providing the final report on the Federal Communication Commission (FCC) Fiscal Year 2023 Federal Information Security Management Act Evaluation (Report No. 23-EVAL-05-01). OIG contracted with Kearney and Company, P.C. (Kearney) to evaluate the FCC's progress in complying with the requirements of FISMA. The evaluation also assessed FCC's compliance with Department of Homeland Security (DHS) reporting requirements, and applicable Office of Management and Budget (OMB) and National Institute of Standards and Technology (NIST) guidance for a representative subset of FCC's information systems.

Kearney determined that the Commission's FY 2023 information security program was not in compliance with FISMA legislation, OMB guidance, and applicable NIST special publications. Five of the nine domains Kearney evaluated warrant additional management attention to address identified deficiencies - Risk Management, Supply Chain Risk Management, Configuration Management, Identity and Access Management, and Information Security Continuous Monitoring. Specifically, the FISMA evaluation report includes seven findings and offers 25 recommendations to improve the effectiveness of the FCC's information security program controls. FCC continues to work towards an effective overall maturity level for its information security program.

Kearney is wholly responsible for the attached public FISMA evaluation report and the conclusions expressed therein. The OIG monitored Kearney's performance throughout the audit and reviewed their report and related documentation. Our review disclosed no instances where Kearney did not comply in all material respects with generally accepted government auditing standards.

We appreciate the cooperation and courtesies extended to the evaluation team during the evaluation. If you have any questions, please contact Sophie Jones, Assistant Inspector General for Audit, at (202) 418-1655, or Robyn Williams, Deputy Assistant Inspector General for Audit, at (202) 418-7890.

cc: Managing Director  
Deputy Managing Director  
Chief Information Officer  
Deputy Chief Information Officer  
Chief Information Security Officer  
Chief Financial Officer