CALEA SSI Policies & Procedures Checklist

Separate pullout sheet includes:

- 20003(b)(4)(i)* Name of senior officer or employee responsible for CALEA.
- 20003(b)(4)(i) Job description of that person.
- 20003(b)(4)(ii) How to reach contact person(s) 24/7.

Other requirements:

- 20003(b)(2) Interprets “appropriate authorization” to include “appropriate legal authorization” and “appropriate carrier authorization.”
- 20003(b)(1) States that carrier personnel must receive appropriate legal authorization and appropriate carrier authorization before enabling law enforcement officials to implement an interception of communications or access to call-identifying information.
- 20003(b)(3) States specific record retention period.

Suggested additional elements:

- 20003(c) Carrier will report security breaches to affected LEAs.
- 20004(a) Record of each interception/access to include:
  - Telephone number or circuit ID
  - Start date and time
  - Name of law enforcement officer presenting the authorization
  - Name of person signing the authorization
  - Type of interception or access (pen register, trap and trace, Title III, FISA, etc.)
  - Name of carrier personnel responsible for oversight
  - Signature of responsible carrier personnel

Other problems noted:

*47 C.F.R. §20003(b)(4)(1)