

# COMPUTER MATCHING AGREEMENT

BETWEEN

UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AND

UNIVERSAL SERVICE ADMINISTRATIVE COMPANY AND THE FEDERAL  
COMMUNICATIONS COMMISSION

## I. INTRODUCTION

This document constitutes an agreement between the Universal Service Administrative Company (USAC), the Federal Communications Commission (FCC), and the U.S. Department of Housing and Urban Development (HUD) (collectively, Parties). The purpose of this Agreement is to comply with the Computer Matching and Privacy Protection Act of 1988 (CMPPA), Public Law 100-503, 102 Stat. 2507 (1988), which was enacted as an amendment to the Privacy Act of 1974 (Privacy Act), 5 U.S.C. § 552a; the Federal Information Security Modernization Act of 2014 (FISMA), 44 U.S.C. § 3551, *et seq.*, as amended; related National Institute of Standards and Technology (NIST) guidelines, which provide the requirements that the federal Government must follow regarding use, treatment, and safeguarding of data; and Office of Management and Budget (OMB) Guidelines pertaining to privacy, information security, and computer matching.

As discussed in section II.B. below, USAC has been designated by the FCC as the permanent federal Administrator of the Universal Service Fund programs, including the Lifeline program (Lifeline) that is covered by this Agreement. USAC also has been designated by the FCC as the administrator of the federal Affordable Connectivity Program (ACP), which uses qualification for Lifeline as an eligibility criterion. The Parties acknowledge that the FCC may designate USAC as the administrator of other Federal programs in the future that also use qualification for Lifeline as an eligibility criterion.

### A. Title of Matching Program

The title of this matching program as it will be reported by the FCC and the OMB is as follows: “Lifeline and Other Federal Connectivity Benefit Programs Computer Matching Agreement with the U.S. Department of Housing and Urban Development.”

### B. Matching Agencies

1. Source Agency: HUD
2. Recipient Agencies: FCC and USAC

## **II. PURPOSE AND LEGAL AUTHORITIES**

### **A. Purpose**

The results generated by this Computer Matching Agreement (CMA or Agreement) will serve as one of several data points to verify eligibility of applicants to and subscribers of the federal Universal Service Fund (USF or Fund) Lifeline program using the National Lifeline Eligibility Verifier (National Verifier) and to further the integrity of the Lifeline program. This CMA seeks to ensure that Lifeline program applicants and subscribers are eligible to receive the Lifeline benefit in 47 C.F.R. § 54.409. The federal Lifeline regulations under 47 C.F.R. §§ 54.400-54.423. provide eligibility requirements, including enrollment in Federal Public Housing Assistance.

The results generated by this Agreement will also serve as one of several data points to verify eligibility of applicants to and subscribers of the ACP or other Federal programs that use qualification for Lifeline as an eligibility criterion (collectively, along with the Lifeline program, referenced herein as the Federal Connectivity Benefit (FCB) programs) using the National Verifier and to further the integrity of the FCB programs.

This CMA seeks to use HUD data for USAC eligibility purposes to ensure that applicants to and subscribers in FCB programs are eligible to receive the FCB benefits provided for by statute. This will be accomplished by matching the FCB program applicant's personally identifiable information (USAC Data) with the tenant data in HUD's Tenant Rental Assistance Certification System (TRACS) and Inventory Management System/PIH Information Center (IMS/PIC) databases, which HUD may collect for its rental housing assistance programs. In doing so, and consistent with the terms of this Agreement, USAC shall transmit the USAC Data to HUD, and HUD shall confirm with an affirmative or negative confirmation as to whether the FCB program applicant is currently enrolled in Federal Public Housing Assistance as reflected in TRACS and IMS/PIC. USAC and HUD will exchange data using a secured file delivery system and all HUD data matching will be conducted internally. This process is explained in further detail in section IV.B. of this Agreement.

HUD and USAC agree that the information exchange shall be utilized for the purpose of verifying a qualified assistance recipient as being eligible for the FCB programs, and any auditing and/or data analysis requirements under the Privacy Act or other legal authority.

### **B. Legal Authorities**

The CMPPA establishes procedural requirements for agencies to follow when engaging in computer-matching activities.

#### **1. FCC and USAC**

The FCC has designated USAC as the Administrator of federal support mechanisms, 47 C.F.R. §§ 54.701-717. Under the FCC’s rules and the Memorandum of Understanding between the FCC and USAC, USAC administers each of the USF programs consistent with Section 254 of the Communications Act of 1934, as amended, 47 U.S.C. § 254, Part 54 of the Code of Federal Regulations, orders, written directives, and other instructions promulgated by the FCC or its bureaus and offices and other laws as applicable. Under the Consolidated Appropriations Act, 2021, Pub. L. No. 116-260, 134 Stat. 1182, as amended by the Infrastructure Investment and Jobs Act, Pub. L. No. 117-58, 135 Stat. 429, and pursuant to the Memorandum of Understanding between the FCC and USAC, USAC administers the ACP consistent with the FCC’s rules contained in Part 54 of the Code of Federal Regulations, orders, written directives, and other instructions promulgated by the FCC or its bureaus and offices and other laws as applicable.

Any records USAC creates pursuant to this authority are FCC records. Because the FCC is an agency under the Privacy Act, 5 U.S.C. § 552a(a)(1), records that are part of a “system of records,” as that term is defined at 5 U.S.C § 552a(a)(5), are subject to the Privacy Act.

USAC may collect and verify eligibility of FCB program subscriber data under the statutory authorities cited above and applicable FCC orders, rules and regulations, including *Lifeline and Link Up Reform and Modernization et al.*, Third Report and Order, Further Report and Order, and Order on Reconsideration, 31 FCC Rcd 3962 (2016) (the Lifeline Reform Order). The Lifeline Reform Order requires USAC to create and operate the National Verifier, with the oversight and supervision of the FCC’s Wireline Competition Bureau and the Office of the Managing Director. *Id.* at 4006, para. 126. As part of this effort, the Lifeline Reform Order directs USAC to work with both federal and state agencies to establish an efficient electronic subscriber certification process, including communications with other databases. *Id.* at 4011-12, paras. 135-37.

## **2. HUD**

HUD may collect the utilized data in its TRACS and IMS/PIC systems under: Section (d) of the Housing and Urban Development Act of 1965, 42 U.S.C. § 3535(d); the U.S. Housing Act of 1937, as amended, 42 U.S.C. 1437; The Fair Housing Act (42 U.S.C. 3601–3619); The Housing Community Development Act of 1981 (Pub. L. 97–35, 85 stat., 348,408); Section 904 of the Stewart B. McKinney Act of 1988, as amended by Section 239 of the Department of Housing and Urban Development Appropriations Act, 2009 (Pub. L. 111-8, approved March 11, 2009) and Section 3003 Omnibus Budget Reconciliation Act of 1993 (Pub. L. 103-66, approved Aug. 10, 1993); Sections 165 and 166 of the Housing and Community Development Act of 1987 (Pub. L. 100-242, 42 U.S.C. §§ 3543 and 3536 note); and Section 303(i) of the Social Security Act (42 USC § 503), as amended by Section 542(a)(1) of Departments of Veteran Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1998 (Pub. L. 105-65, approved Oct. 27, 1997). HUD maintains the case records and confidential personally identifiable

information (PII) of individual applicants and recipients for TRACS and IMS/PIC and will not release any information from a recipient's case record beyond the binary yes/no response described in section IV.B. of this Agreement.

### **III. JUSTIFICATION AND EXPECTED RESULTS - COST BENEFIT ANALYSIS**

#### **A. Justification**

The FCC has directed USAC to develop and implement the National Verifier. The National Verifier determines eligibility for the Lifeline program and periodically recertifies the eligibility of such individuals. The National Verifier also will be used to determine subscriber eligibility for other FCB programs and may be used to periodically recertify the eligibility of such individuals as well. HUD administers a number of rental housing assistance programs, collectively described in this CMA as "Federal Public Housing Assistance," which is a qualifying eligibility program for all FCB programs.

#### **B. Expected Results - Cost-Benefit Analysis**

USAC anticipates that computer matching will verify the eligibility of FCB program subscribers and help prevent ineligible individuals from entering FCB programs. This Agreement and related processes will also reduce administrative costs for processing and provide increased protection for consumer personal information.

The Lifeline specific costs and benefits are as follows:

#### **NEW NATIONAL VERIFIER APPLICATIONS**

In the most recent Computer Matching Agreement that the Parties executed in August 2021, the Parties estimated that the benefits of this program would greatly outweigh its costs. This estimate was based partly on the fact that the cost of verifying an applicant or subscriber's eligibility through the automated process described in this Agreement would be significantly less expensive than the cost of manually reviewing evidence that an applicant or subscriber qualifies for Lifeline benefits. It was also based on the fact that an automated review conducted by USAC (rather than by the eligible telecommunications carrier that provides the service) would reduce waste, fraud and, abuse in the Lifeline program.

Since the most recent matching agreement was executed in August 2021, the actual cost-benefit results of the matching program were consistent with those the Parties estimated in the initial April 2017 Agreement. For example, over this period, the National Verifier used the automated connection between USAC and HUD to approve at least 324,154 Lifeline applicants and subscribers who qualified through their participation in a HUD program. USAC estimates that these successful automated checks saved the Lifeline program more than \$972,462 compared to the cost of manual eligibility verification. There will be additional cost savings from avoiding manual reviews for the ACP and any future FCB programs. For example, since May 2021, the National Verifier used the

automated connection between USAC and HUD to approve at least 235,347 ACP applicants and subscribers who qualified through their participation in a HUD program. USAC estimates that these successful automated checks saved the ACP more than \$706,041 compared to the cost of manual eligibility verification.

#### **IV. DESCRIPTION OF THE DATA TO BE EXCHANGED**

##### **A. Systems of Records and Estimated Number of Records Involved**

###### **FCC and USAC**

The USAC records shared as part of this CMA reside within the following:

- The FCC's Lifeline system of records that the FCC has designated as FCC/WCB-1, published in the Federal Register on February 25, 2021 (86 FR 11526). Routine Use No. 18 supports the FCC's disclosures under this Agreement.
- The FCC's ACP system of records that the FCC has designated as FCC/WCB-3, published in the Federal Register on December 16, 2021 (86 FR 71494). Routine Use No. 3 supports the FCC's disclosures under this Agreement.

The FCC will develop and publish, on its website, system of records notices for any additional FCB programs established by statute.

###### **HUD**

The HUD records shared as part of this CMA reside within the following:

- HUD's Tenant Rental Assistance Certification System (TRACS) system of records that HUD has designated as HUD/HOU-11, published in the Federal Register on July 14, 2023 (88 FR 45234). Routine Use No. 8 supports HUD's disclosure under this Agreement.
- HUD's Inventory Management System/Public Housing Information Center (IMS/PIC) system of records that HUD has designated as HUD/PIH.01, published in the Federal Register on March 21, 2023 (88 FR 17005) and modifying the notice published on March 25, 2019 (84 FR 11117). Routine Use No. 14 supports HUD's disclosures under this Agreement.

###### **Records Estimate**

The estimated number of records is subject to the number of individuals who apply for FCB program services, and, in the case of Lifeline and the ACP, who recertify eligibility for those services.

In the case of Lifeline, approximately 20,183,652 new consumers are vetted through

the eligibility process each year. Approximately 4,199,201 subscribers will be recertified annually. In the case of the ACP, approximately 17,917,408 new consumers are anticipated to be vetted through the eligibility process each year. It is anticipated that approximately 7,621,016 ACP subscribers will be recertified annually.

## **B. Description of the Match**

The match will be based on the following list of data elements: State of residence, first name or last name, date of birth, and last four digits of Social Security Number. HUD will match the USAC Data elements to its TRACS and IMS/PIC data. There are two (2) scenarios for the HUD match process, which will be returned to USAC as a binary yes/no response. HUD will not release any other information from the HUD recipient's records. The scenarios are:

1. Positive HUD match – HUD finds a match in its respective system(s) for the USAC Data provided.
2. No HUD match – HUD finds no match in its respective system(s) for the USAC Data provided.

## **C. Projected Starting and Completion Dates**

As described in greater detail in section XVI, unless provided for otherwise by statute, the effective date of this Agreement will be thirty (30) days after the FCC has published notice of the matching program in the Federal Register (FR), provided that the FCC has first provided the proposed matching program report to the Congressional committees of jurisdiction and OMB in accordance with 5 U.S.C. § 552a(o)(2)(A) and (r) and OMB Circular A-108 (Commencement Date). As the federal agency that directs USAC, the FCC shall:

1. Transmit this Agreement to Congress;
2. Provide advance notice to OMB and the appropriate Congressional committees;
3. Publish the Computer Matching Notice in the Federal Register; and
4. Address public comments that may result from publication in the Federal Register.

The Completion Date of this Agreement is projected to occur eighteen (18) months from the effective date of the Agreement.

## **V. NOTICE PROCEDURES**

The Privacy Act requires matching agreements to specify procedures for notifying applicants/recipients at the time of registration and other periodic notice as directed by the Data Integrity Board (DIB) of such Party (subject to guidance provided by the Director of OMB) to applicants for and recipients of financial assistance or payments

under federal benefit programs.

At the time individuals apply for FCB benefits or recertify their benefits, the FCC and USAC provide them direct notice (through a Privacy Act notice and other notices provided during the process) that their personal information may be used in a matching program. *See, e.g.*, FCC Form 5629, Lifeline Program Application Form, OMB Control No. 3060-0819; FCC Form 5630, Lifeline Program Annual Recertification Form, OMB Control No. 3060-0819; FCC Form 5645, Affordable Connectivity Program Application Form; and FCC Form 5648, Affordable Connectivity Program Recertification Form. In addition, the system of records notices pertaining to the Lifeline program and the ACP and described above in section IV.A provide constructive notice that personal information in the Lifeline and ACP systems may be shared for matching purposes.

As described in section IV.C. of this Agreement, the FCC will also publish a Matching Notice in the Federal Register to publicly disclose the establishment of this program. HUD, USAC and the FCC will make a copy of this Agreement available to the public upon request and will provide a link to this Agreement on the Privacy Program Page of their respective websites.

## **VI. VERIFICATION PROCEDURES AND OPPORTUNITY TO CONTEST**

### **A. General**

The Parties acknowledge and agree that this matching program is not the sole basis through which a beneficiary's eligibility for an FCB program may be determined. The Privacy Act requires that each agreement specify procedures for verifying information produced in the matching program as required by 5 U.S.C. § 552a(p). This subsection requires agencies to independently verify the information produced by a matching program and to provide the individual an opportunity to contest the agency's findings before an adverse action is taken against the individual because of the information. Subsequent amendments and regulations allow for an agency to authorize a waiver of independent verification procedures when it finds high confidence in the accuracy of the data. *See* OMB, Final Guidance Interpreting the Provisions of P.L.100-503, the Computer Matching and Privacy Protection Act, 54 Fed. Reg. 25818, 25827-7 (June 19, 1989) (OMB CMPPA Guidance).

### **B. Verification Procedures**

1. USAC will be responsible for ensuring that USAC data is current and accurate when it is provided to HUD.
2. HUD will be responsible for ensuring that HUD data is current and accurate when it is provided to USAC.
3. Authorized users may not deny, terminate, or make a final decision of any

benefit to an individual or take other adverse action against such individual solely as the result of the information produced by this matching program until an officer or employee of such Party has independently verified such information.

4. This independent verification includes: (1) comparing automated data with manual files to verify applicant or subscriber identification, and (2) analyzing the confirmed information.
5. Denial of benefits will not be predicated on the result of an initial match between systems. Denial of benefits will be made upon a secondary validation made by a federal employee or designated contractor validating the benefit information in HUD systems.

### **C. Notice and Opportunity to Contest**

USAC will not terminate, suspend, reduce, deny, or take other adverse action against an applicant for or subscriber to FCB benefits solely based on data disclosed from HUD records until the individual is notified in writing of the potential adverse action and provided an opportunity to contest the planned action. "Adverse action" means any action resulting in a termination, suspension, reduction, or final denial of eligibility, payment, or benefit.

USAC agrees to provide such notices in writing and that such notices will:

1. Inform the individual of the match findings and the opportunity to contest these findings;
2. Give the individual an opportunity to submit, within thirty (30) days, to USAC, documentation to contest the findings and proposed actions prior to USAC making a final determination. The time to contest begins on the date on which notice is mailed or otherwise provided to the individual to respond; and
3. Clearly state that, unless the individual responds to the notice in the required time period, USAC will conclude that the matched data is correct and will effectuate the threatened action or otherwise make the necessary adjustment to the individual's benefit or entitlement.

To enable rapid response and resolution, authorized USAC and HUD database users will be provided USAC and HUD telephone numbers to call if a dispute occurs. USAC will respond to these calls when reasonably possible, and when requested, in writing.

## **VII. DISPOSITION AND RECORDS RETENTION OF MATCHED ITEMS**



- A. USAC will retain data it receives from HUD under this Agreement only to the extent required for FCC auditing requirements and will then destroy all such data according to the proper records retention schedule.
- B. HUD will retain data it receives from USAC under this Agreement only for the processing times required for the applicable federally funded benefit programs to verify data and will then destroy all such data.
- C. An exception will apply if the information is required for evidentiary reasons, in which case, the information will be destroyed upon completion of the criminal, civil, or administrative actions and cases.
- D. Any paper-based documentation used to determine whether a record was matched in the other Party's system and any documentation prepared for, provided to, or used to determine final benefit status will be destroyed by shredding, burning or electronic erasure of the information according to the proper records retention schedule. Other identifiable records that may be created by each Party during the investigation will be destroyed as soon as they have served the matching program's purpose under records retention requirements established with the National Archives and Records Administration (NARA). Destruction will be by shredding, burning or electronic erasure.

## **VIII. SECURITY PROCEDURES**

USAC and HUD agree to these information security procedures:

### **A. Administrative Safeguards**

USAC and HUD will comply with the existing and future requirements set forth by the Privacy Act (5 U.S.C. § 552a(o)), FISMA, 44 U.S.C. §§ 3551-3559, related OMB circulars and memoranda such as Circular A-130, Managing Federal Information as a Strategic Resource (July 28, 2016), and NIST directives, including any amendments published after the effective date of this Agreement. These laws, directives, and regulations include requirements for safeguarding federal information systems and personally identifiable information used in business processes, and related reporting requirements. Specifically, FISMA requirements apply to all federal contractors, organizations, or entities that possess or use federal information, or that operate, use, or have access to federal information systems on behalf of an agency.

USAC and HUD will restrict access to the data matched and to any data created by the match to only those authorized employees, contractors and officials who need it to perform their official duties for the uses of the data authorized in this Agreement. USAC and HUD will also notify such authorized users of the civil and criminal sanctions for noncompliance contained in the applicable federal laws.

### **B. Technical Safeguards**

1. USAC and HUD will process the data matched and any data created by the match under the immediate supervision and control of authorized personnel to protect the confidentiality of the data, so unauthorized persons cannot retrieve any data by computer, remote terminal, or other means.
2. USAC and HUD will strictly limit authorization to these electronic data areas necessary for the authorized user to perform their official duties. All data in transit will be encrypted using algorithms that meet the requirements of the Federal Information Processing Standard (FIPS) Publication 140-2 or 140-3 (when applicable).
3. Authorized system users will be identified by User ID and password, and individually tracked to safeguard against the unauthorized access and use of the system. System logs of all user actions will be saved, tracked and monitored periodically.
4. USAC will transmit data to HUD via encrypted secure file delivery system. For each request, a response will be sent back to USAC to indicate success or failure of transmission.

### **C. Physical Safeguards**

USAC and HUD agree to maintain all automated matching records in a secured computer environment that includes the use of authorized access codes to restrict access. Those records will be maintained under conditions that restrict access to persons who need them for official duties related to the matching process. The user's supervisor will ensure that USAC or HUD are notified when a user has departed or duties have changed so the user no longer needs access to the system, to ensure timely deletion of the user's account and password.

## **IX. INCIDENT REPORTING AND NOTIFICATION RESPONSIBILITIES**

- A.** FISMA defines "incident" as "an occurrence that (A) actually or imminently jeopardizes, without lawful authority, the integrity, confidentiality, or availability of information or an information system; or (B) constitutes a violation or imminent threat of violation of law, security policies, security procedures, or acceptable use policies."
- B.** USAC and HUD agree to report and track incidents in accordance with the most current version of Department of Homeland Security and the United States Computer Emergency Readiness Team (US-CERT) guidelines. Upon detection of an incident related to this interconnection, the Party experiencing the incident will promptly notify the other Party's System Security Contact(s) named below:

- USAC will promptly notify this contact at HUD:
    - HUD Computer Incident Response Team (CIRT), [cirt@hud.gov](mailto:cirt@hud.gov)
    - Chief Information Systems Security Officer, Gregg Kendrick, [Gregg.R.Kendrick@hud.gov](mailto:Gregg.R.Kendrick@hud.gov), (202) 655-1437
    - ADAS REAC Operations, Juan Garcia Rolon, [Juan.GarciaRolon@hud.gov](mailto:Juan.GarciaRolon@hud.gov), 202-527-3582
    - Office of Housing, Multifamily Housing Program Systems Management Office, Lanier M. Hylton, [Lanier.M.Hylton@hud.gov](mailto:Lanier.M.Hylton@hud.gov), (202) 402-2510
    - For a potential privacy breach, HUD's National Help Desk, 1-888-297-8689, followed by an email to [privacy@hud.gov](mailto:privacy@hud.gov).
  - HUD will promptly notify [incident@usac.org](mailto:incident@usac.org) and the following contacts at USAC simultaneously:
    - USAC Privacy Officer, Larry Schecker, (202) 263-1646
    - USAC Chief Information Security Officer, Jeremy Hayes, (202) 916-3584
  - As soon as possible after notifying HUD of an incident, or receiving notification of an incident from HUD, USAC will report the incident to the FCC's Network Security Operations Center (NSOC) at [NSOC@fcc.gov](mailto:NSOC@fcc.gov) or (202) 418-4011 within one (1) hour of notification.
- C.** If the Party experiencing the incident cannot speak with the other Party's System Security Contacts within one (1) hour, or if contacting the System Security Contact is not practical (e.g., outside of normal business hours), then this contact information shall be used:
- USAC Chief Information Security Officer, Jeremy Hayes, (202) 916-3584
  - HUD CIRT, [CIRT@hud.gov](mailto:CIRT@hud.gov)
- D.** If either USAC or HUD experiences a loss of PII provided under this Agreement, the Party that experienced the loss incident will also comply with the PII breach reporting and security requirements set forth by OMB M-17-12, "Preparing and Responding to a Breach of Personally Identifiable Information" (January 3, 2017).
- E.** USAC and HUD agree to notify all the Security Contact(s) named in this Agreement as soon as possible, but no later than one (1) hour, after the discovery of a breach (or suspected breach) involving PII. The Party that experienced the incident will also be responsible for following its internal established procedures effective at the time of the incident.
- F.** In the event of any incident arising from or in connection with this Agreement, each Party will be responsible only for costs and/or litigation arising from a breach of the Party's own systems; USAC is responsible only for costs and

litigation associated with breaches to USAC systems, and HUD is responsible only for breaches associated with HUD systems.

USAC and the FCC shall not be liable to HUD or to any third person for any cause of action arising from the possession, control, or use by HUD of applicant or subscriber PII, or for any loss, claim, damage or liability, of whatever kind or nature, which may arise from or in connection with this Agreement or using applicant or subscriber PII.

HUD shall not be liable to USAC or to any third person for any cause of action arising from the possession, control, or use by USAC of applicant or subscriber PII, or for any loss, claim, damage or liability, of whatever kind or nature, which may arise from or in connection with this Agreement or using applicant or subscriber PII.

Nothing in this section shall be construed as a waiver of sovereign immunity against suits by third persons.

## **X. RECORDS USAGE, DUPLICATION AND REDISCLOSURE RESTRICTIONS**

USAC and HUD agree to these restrictions on use, duplication, and disclosure of information furnished by the other Party:

- A.** Absent additional statutory authorization, the records obtained for the administration of this matching program, and under this Agreement, will be used to match for the purposes stated under this Agreement, which includes determining eligibility for FCB programs. Unless compelled to do so by law or regulation, USAC and HUD will not use the data derivatively, or disclose the data internally or externally, without the written consent of all Parties to this Agreement. Information about “non-matching” individuals will not be used or disclosed by either Party for any purpose outside of this Agreement and shall be destroyed or returned to the respective Party.
- B.** Records obtained for this matching program or created by the match will not be disclosed outside the Party except as may be essential to conduct the matching program, or as may be permissible or required by law. Each Party will obtain the permission of the other Party before making such disclosure.
- C.** Data or information exchanged will not be duplicated unless essential to the conduct of the matching program (e.g., should the original file become damaged or for back-up contingency purposes) or compelled by law or regulation. All stipulations in this Agreement will apply to any duplication.
- D.** If required to disclose these records to Congress, to a federal, state or local agency, or to a government contractor to accomplish the matching program’s purpose, each Party will notify the other Party regarding the disclosure request.

- E.** USAC and HUD will not duplicate or create a separate file comprising information regarding those individuals involved in the specific matching programs except as necessary to monitor the results of the matching program.
- F.** Each Party will keep an accounting of disclosure from an individual's record as required by 5 U.S.C. § 552a(c) and will make the accounting available upon request by the individual or other Party.
- G.** The restrictions listed above in this section do not apply to data, information and reports that USAC is required to submit to the FCC to allow the FCC to carry out its oversight duties, including but not limited to, the National Verifier Annual Report required by the Lifeline Reform Order, 31 FCC Rcd at 4021, para. 166.

#### **XI. RECORDS ACCURACY ASSESSMENTS**

USAC and HUD attest that the quality of the specific records to be used in this matching program is assessed to be at least 99 percent accurate.

#### **XII. COMPTROLLER GENERAL ACCESS**

The Parties authorize the Comptroller General of the United States (the Government Accountability Office), upon request, to have access to all USAC and FCC records necessary to monitor or verify compliance with this matching Agreement, in accordance with 5 U.S.C. § 552a(o)(1)(K). This Agreement also authorizes the Comptroller General to inspect any records used in the matching process covered by this matching Agreement under 31 U.S.C. § 717 and 5 U.S.C. § 552a(b)(10).

#### **XIII. INSPECTOR GENERAL ACCESS**

By agreeing to this Agreement, HUD and USAC authorize the FCC Office of Inspector General to use results from the data match conducted under this matching program for investigation, audits or evaluation matters under the Inspector General Act of 1978, as amended.

#### **XIV. REPORT TO CONGRESS**

When the FCC's DIB has approved this Agreement, the FCC will submit copies of it to the appropriate Committees of Congress for review, as required by 5 U.S.C. § 552a(o)(2)(A)(i).

#### **XV. EXPENSES OF EACH PARTY**

FCC and HUD will enter into an Interagency Agreement (IAA) to reimburse HUD for the applicable expenses related to providing data matching services for the TRACs data, as described in this Agreement. HUD will not be reimbursed for providing data matching services for the IMS/PIC data.

Other than the expenses covered by such IAA for the TRACs data matching services, each Party will be responsible for all other expenses it may incur in connection with the preparation, negotiation, and execution of this Agreement and performance of the activities described in this Agreement, and no party shall be liable to any other party for such expenses.

This Agreement is not a basis for the transfer of funds. To the extent that future activities conducted under this Agreement would require the obligation of funds and/or reimbursement of one or more of the Parties beyond that specified in the IAA for the TRACS data matching services, a separate agreement would be executed as appropriate.

## **XVI. DURATION OF AGREEMENT**

### **A. Effective Date of the Agreement**

Unless otherwise provided for by statute, the effective date of this Agreement will be thirty (30) days after the FCC has published notice of the matching program in the FR, provided that the FCC has first provided the proposed matching program report to the Congressional committees of jurisdiction and OMB in accordance with 5 U.S.C. § 552a(o)(2)(A) and (r) and OMB Circular A-108, and upon completion of their advance review period, the FCC has published notice of the matching program in the FR for a thirty (30) day public comment period as required by 5 U.S.C. § 552a(e)(12).

On such effective date, this Agreement will supersede and replace all previously executed Computer Matching Agreements, including all renewals and modifications thereof, between the Parties.

### **B. Renewal of the Agreement**

This Agreement may be extended with respect to any or all of the FCB programs for one twelve (12) month period upon mutual agreement by both Parties, if the renewal occurs within three (3) months of the expiration date of this Agreement. Renewals are subject to the requirements of the Privacy Act, 5 U.S.C. § 552a(o)(2)(D), that:

- the matching program to be renewed will be conducted without any change, and
- each party to the agreement certifies to the responsible DIB in writing that the matching program has been conducted in compliance with the original Agreement.

### **C. Termination of the Agreement**

This Agreement shall terminate when the purpose of the computer match has been accomplished, or after eighteen (18) months from the effective date of the Agreement without notice from either party (whichever comes first). This Agreement may also

be terminated, nullified, or voided by either USAC, the FCC or HUD, if:

- Any Party violates the terms of this Agreement; or
- USAC or HUD or their authorized users misuse or improperly handle the data provided by the other party; or
- The Parties mutually agree to terminate this Agreement prior to its expiration after eighteen (18) months; or
- Any Party provides the other with thirty (30) days written notice.

**XVII. DATA INTEGRITY BOARD REVIEW/APPROVAL**

The FCC’s DIB will review and approve this Agreement prior to implementing this matching program. Disapproval by the DIB may be appealed in accordance with the procedures set out in 5 U.S.C. § 552a(u)(5). Further, the FCC’s DIB will perform an annual review of this matching program. USAC agrees to notify the Chair of the FCC DIB of any changes to or termination of this Agreement.

This Agreement may be modified only by mutual consent of the Parties and approval of the FCC DIB. Any modifications must be in writing and satisfy the requirements of the Privacy Act and the requirements set forth in the OMB CMPPA Guidance.

**XVIII. POINTS OF CONTACT**

|                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>HUD Public &amp; Indian Housing (PIH)</b><br/>         Ashley L. Sheriff<br/>         Deputy Assistant Secretary for the Real Estate Assessment Center (REAC)<br/>         U.S. Department of Housing and Urban Development<br/>         451 Seventh St SW<br/>         Washington, DC 20410<br/>         Tel.: 202- 475-8906<br/>         Email:<br/> <a href="mailto:Ashley.L.Sheriff@hud.gov">Ashley.L.Sheriff@hud.gov</a></p> | <p><b>HUD Multifamily Housing</b><br/>         Donald A. Billingsley<br/>         Associate Deputy Assistant Secretary for Multifamily Housing (MFH)<br/>         Office of Housing<br/>         U.S. Department of Housing &amp; Urban Development<br/>         451 Seventh St SW, Room 6110<br/>         Washington, DC 20410<br/>         Tel.: 202-402-3362<br/>         Email:<br/> <a href="mailto:Donald.A.Billingsley@hud.gov">Donald.A.Billingsley@hud.gov</a></p> | <p><b>USAC</b><br/>         Tim O’Brien<br/>         Vice President,<br/>         Lifeline<br/>         USAC<br/>         700 12th Street, NW,<br/>         Suite 900<br/>         Washington, DC 20005<br/>         Tel.: 202-772-5205<br/>         Email:<br/> <a href="mailto:Tim.OBrien@usac.org">Tim.OBrien@usac.org</a></p> |
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**XIX. APPROVALS AND SIGNATURES**

**FEDERAL COMMUNICATIONS COMMISSION (FCC)**

The signatories below warrant and represent that they have the competent authority to approve the model of this Computer Matching Agreement and enter into the obligations set forth in this Agreement, on behalf of the FCC.

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**Mark Stephens**  
Managing Director  
FCC

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Date

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**FCC’S DATA INTEGRITY BOARD**

FCC’s Data Integrity Board has reviewed this Computer Matching Agreement and has found it to comply with the Privacy Act of 1974, as amended by the Computer Matching and Privacy Protection Act of 1988 (Pub. L. 100-503) and the Computer Matching and Privacy Protection Amendments of 1990 (Pub. L. 101-508), 5 U.S.C. § 552a (Privacy Act):

\_\_\_\_\_  
**Elliot S. Tarloff**  
Chairperson, Data Integrity Board  
FCC

\_\_\_\_\_  
Date

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**UNIVERSAL SERVICE ADMINISTRATIVE COMPANY (USAC)**

The signatories below warrant and represent that they have the competent authority to approve the model of this Computer Matching Agreement, and enter into the obligations set forth in this Agreement, on behalf of USAC.

Matthew Lougheed (electronic) on behalf of Tim O'Brien

08/21/2023

**Tim O'Brien**  
Vice President, Lifeline  
USAC

Date

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**U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD)**

The signatories below warrant and represent that they have the competent authority to approve the model of this Computer Matching Agreement, and enter into the obligations set forth in this Agreement, on behalf of HUD.

\_\_\_\_\_  
**Dominique Blom** \_\_\_\_\_ Date  
General Deputy Assistant Secretary for the  
Office of Public and Indian Housing  
U.S. Department of Housing and Urban Development

\_\_\_\_\_  
**Ashley L. Sheriff** \_\_\_\_\_ Date  
Deputy Assistant Secretary for the  
Real Estate Assessment Center (REAC)  
U.S. Department of Housing and Urban Development

\_\_\_\_\_  
**Jeffrey Little** \_\_\_\_\_ Date  
General Deputy Assistant Secretary (GDAS)  
Office of Housing  
U.S. Department of Housing and Urban Development

\_\_\_\_\_  
**Donald A. Billingsley** \_\_\_\_\_ Date  
Associate Deputy Assistant Secretary for Multifamily Housing (MFH)  
Office of Housing  
U.S. Department of Housing and Urban Development

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**Gregg Kendrick**  
Chief Information Security Officer  
U.S. Department of Housing and Urban Development

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Date

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**Beth Niblock**  
Chief Information Officer  
U.S. Department of Housing and Urban Development

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Date

HUD's Data Integrity Board has reviewed this Computer Matching Agreement and has found it to comply with the Privacy Act of 1974, as amended by the Computer Matching Privacy and Protection Act of 1988 (Pub. L. 100-503) and the Computer and Matching and Privacy Protections Amendments of 1990 (Pub. L. 101-508), 5 U.S.C. § 552a (Privacy Act):

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**Bradley S. Jewitt**  
Chairperson, Data Integrity Board  
U.S. Department of Housing and Urban Development

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Date

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