

Integrated Spectrum Auction System

Applicant/Bidder Access
FRN 0007318140
Password •••••
LOG IN
Public Access
▶ <u>Auction Applications</u>
Auction Information (select an auction)
▶ <u>ULS License Search</u>

Log In Information

The pages you are trying to access are protected. Please type your FCC Registration Number (FRN) and its associated password.

- Forgot your Password?
 FRN hotline information
- Need an FRN?
 Register with the FCC
- Not sure if you have an FRN?
 Search the FCC Registration System database to determine if you have been assigned an FRN.

GO

Pre-Auction Apply to bid, or modify existing applications Enter Auction Application Manager How do I request a refund of my upfront payment? See Wire Transfer for Refund Purposes **Online Bidding** There are no auctions available. Post-Auction How do I make my downpayment or final payment? Making a downpayment or final payment See FCC Form 159 Where do I file my license application? See Universal Licensing System How do I request a refund of my upfront payment? See Wire Transfer for Refund Purposes

Public Access

- Auction Applications
- Auction Information (select an auction)
- ULS License Search



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)



Auction Application Manager

Create or Review Auction Applications

Approved by OMB 3060-0600 (Notice to Applicants)

Create New Application

Auction 164 Sample SMR Auction -- Initial Filing Window closes 5/17/2005, 3:30 PM

Review or Modify Existing Applications

Auction Applicant Name	Application Status	Last Modified L	ast Submitted
No existing applications found			
\$ - Upfront Payment Calculator and Form 159	🔁 - Refund Form 📝 - Ed	dit Application 🏿 📳 - Withdraw Application	n 🍳 - Search

Documentation

Auction: 3 - PCS Narrowband Region 🔽 📀



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant:

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

Attachments

Print Preview

w 😢 Help



Applicant Information

Applicant information identifies the applicant, its responsible parties (where applicable), its auction contact party, and its bidding eligibility. The contact person will receive all auction correspondence. The applicant name will be used as the bidder name during the auction.

Applicant Legal Classification

To determine the applicant information required by the FCC please provide your Legal Classification.

- Consortium
- Corporation
- General Partnership
- Individual.
- C Limited Liability Company
- C Limited Liability Partnership
- C Limited Partnership
- O Trust
- Unincorporated Association

~	
n i	Other:
*	Outer.

Description of Legal Classification

Applicant	Status	(not i	ren	nired
xppncani	. Status I	ιπουπ	ı ey	uneu

What is your status? Check all that apply.

- Minority Owned Business
- Rural Telephone Company
- ☐ Woman Owned Business

A legal Classification must be selected in order to proceed

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What if my Legal Classification is not listed?

Why is the FCC requesting an Applicant Status?

What does the Ouit link do?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant:

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

Attachments

A Print Preview

2 Help

🗷 Quit

Applicant Information

Applicant Name

Please provide the following information. The name entered will be used as the Bidder Name during the auction.

Entity Name:

Bidder One Corporation

Jurisdiction of Formation:

Di

istrict of	Columbia	

Applicant Address (PO Boxes are not permitted)

Address Line 1:

123 Auction Street

Address Line 2:

Anywhere City:

State: District of Columbia

20554 Zip Code:

◆ BACK

CONTINUE >

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a Jurisdiction of Formation?

Why are P.O. boxes not permitted?

What is a suffix?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted



Summary



Attachments



? Help Print Preview



Applicant Information

Responsible Party - Corporation

Identify an individual as the responsible party for the Corporation First Last

Name:

limma Officer or Director Bidder

Suffix

Title:

Telephone Number:

Email Address:

◆ BACK

Area 202 418 - 9999

President

Ext. 2080

bidderone@fcc.gov

CONTINUE

Steps

Applicant Information

License Selection Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an

error?

Telephone Number and Email Address for our responsible

Who is considered to be a Responsible Party?

What is a suffix? Do I have to fill in the

party?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

■ Summary

Suffix





🖴 Print Preview 🛭 Help





Applicant Information

Contact Information

Identify an official contact to receive all auction correspondence.

Name:

First limma Area

418 - 9999

Ext. 2080 Last

Bidder

Telephone Number:

202

418 - 8888 202

Email Address:

Fax Number:

|bidderone@fcc.gov

123 Auction Street

District of Columbia

Contact Address (PO Boxes are not permitted)

COPY APPLICANT ADDRESS

Address Line 1:

Address Line 2:

State:

Zip Code:

City:

20554

Anywhere

CONTINUE

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a suffix?

What is the contact information used for?

Our official contact would rather communicate via phone or fax. Do I need to enter their email address?

Why are P.O. boxes not permitted?

◆ BACK



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary



Print Preview 2 Help

🗵 Quit

Applicant Information

Authorized Bidders

Identify at least one but no more than three authorized bidders. Only individuals listed here will be authorized to bid on behalf of the applicant. The authorized bidders may be changed during the auction.

	First	MI	Last	Suffix
1.	lmma		Bidder	
2.	Shesa		Bidder	
3.	Hesa		Bidder	

Telephonic or Electronic Bidding Options

Qualified bidders are permitted to bid electronically or telephonically. Select the option you anticipate using as your primary means of placing bids in the auction.

Electronic	C Telephonic
------------------------------	--------------

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

◆ BACK

CONTINUE



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

Attachments

🖺 Print Preview

2 Help

🗵 Quit

Applicant Information

Bidding Credit Eligibility

This is the sole opportunity to apply for a bidding credit. There will be no opportunity to apply or modify this information after the Initial Filing Window closes.

Applicant does not seek a bidding credit

Applicant hereby applies for a bidding credit and states that aggregate average gross revenues attributable to the applicant:

- Do not exceed \$3,000,000
- Exceed \$3,000,000 and do not exceed \$15,000,000
- Exceed \$15,000,000 and do not exceed \$40,000,000

◆ BACK

CONTINUE

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a bidding credit?

What are aggregate average gross revenues?

What makes aggregate average gross revenues attributable to the applicant?

When do I apply for the tribal land bidding credit?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

Attachments

🖺 Print Preview

2 Help

🗷 Quit

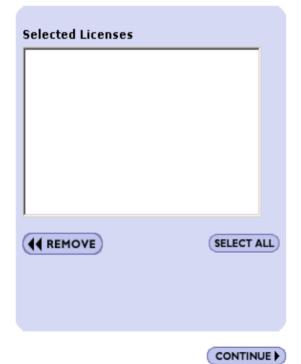
License Selection

Select Licenses

◆ BACK

License selection identifies the licenses on which the applicant wishes to be eliqible to bid.





Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How can I select more than one item?

Can I later change my license selections?

Do I have to bid on all the licenses I select?

What does Apply Filters mean?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

Attachments

A Print Preview

2 Help

🗵 Quit

License Selection

View/Edit License Selections

These are your selected licenses. Select the Change Selection icon immediately below to change your selected licenses.

Selected Licenses

Licenses 1-3 (of 3)

3 AU-SMR005-A

Change Selection -

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

3 Selected Licenses out of 6 Available Licenses

Description Name 1 AU-SMR003-A Region 3

2 AU-SMR004-A Region 4

Region 5

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Page: 1

Page: 1

CONTINUE >

◆ BACK



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary



🖺 Print Preview





Agreements

Agreements with Other Parties and Joint Bidding Arrangements

Has the applicant entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure?

Yes O No.

◆ BACK

CONTINUE

Steps

Applicant Information License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is the specific rule governing agreements, arrangements, and the like?

Logged Auc Samp Agr Creat Ident being Provid Agree Add P Add u Party

◆ BACK

FCC	Communications /	Auctions	
	Commission	FCC > Wireless > Auctions > Auction Application	
Logged In:	FRN 0007318140 (<u>Loq</u>	<u>Out</u>)	A
Auctio	n 164		Ir St
Sample S	SMR Auction	■ <u>Summ</u>	iary 🏝
Agreer	ments		
Create Ne	ew Agreement		
being auct	l parties with whom tioned, including any n identifiying name fo	Applicant has entered into partnerships, joint ventures, consortia or other ag ^T eements, arrangements or understandings of any kind relating to the licenses such agreements relating to the post-auction market structure.	
Agreement	t Identifier:	Agreement 1	
Add Partie	es		
Add up to	three parties below.	. You may add more parties later.	_
Party to Ag	aroomont		
raity to ∧	Entity Name:	Party 1 Company	
	FRN:	000123456 (Search FRN)	
		First MI Last Suffix	
0	Individual Name:		
	FRN:	(Search FRN)	
Party to Ag	greement		_
•	Entity Name:	Party 2 Company	
	FRN:	(Search FRN)	
0	Individual Name:	First MI Last Suffix	
~			
	FRN:	(Search FRN)	
Party to A	greement		
0	Entity Name:		
	FRN:	(Search FRN)	
0	Individual Name:	First MI Last Suffix	
V			
	FRN:	(Search FRN)	

ADD MORE PARTIES

FINISH THIS AGREEMENT

Applic	ant:	Bidder	One	Corporation	

nitial Filing Closes: 05/17/2005 3:30 PM (ET)

tatus: Not Submitted

Attachments Print Preview Plelp Quit

Steps

Applicant Information License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Do I have to provide an FCC Registration Number (FRN) for my agreement party?

What does the Search FRN link do?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary









🗵 Quit

Agreements

View/Edit Agreements

Agreements with Other Parties and Joint Bidding Arrangements

Edit - 🌠



Agreements? Yes

You currently have 1 agreement

Agreement: Agreement 1

2 parties in this agreement

Party: Party 1 Company

Party: Party 2 Company

Add Party To This Agreement

▶ Delete This Agreement

Remove - 📊

CREATE NEW AGREEMENT

CONTINUE

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Attachments

Summary

2 Help

🗷 Quit

Ownership Add Revenue Information to Applicant

Gross Revenue Disclosure

Declare the three previous years' gross revenues below.

If the Applicant was in existence and had no gross revenues for a year of the relevant period, disclose Gross Revenues as \$0 for that year. If the Applicant was not in existence for a year of the relevant period, disclose gross revenues for the Applicant's predecessor-in-interest, if any.

If Applicant is not disclosing gross revenues for the most recent three years, and/or is disclosing gross revenues for fewer than three years, please explain why in an attachment.

Most Recent Reportable Year

Gross Revenues: \$ 6000000

period.	and any predeces	sors-in-interest	were not in	existence fo	or a tuli yea	r of the rei
Year End Date:	12/31/2004	(Date Format:	MM/DD/YYY	0		

(Format: 99999.99)

One Year Prior to Most Recent Reportable Year

The Applicant and any	predecessors-in-interest	were not in existe	ence for a ful	ll year of th	ne relevant
period.					

Year End Date:	12/31/2003	(Date Format: MM/DD/YYYY)
Gross Revenues: \$	3000000	(Format: 99999.99)

Two Years Prior to Most Recent Reportable Year

The Applicant and any predecessors-in-inter	rest were not in exist	ence for a full year:	of the relevant
period.			

Year End Date:	12/31/2002	(Date Format: MM/DD/YYYY)
Gross Revenues: \$	0	(Format: 99999.99)

Steps

A Print Preview

Applicant Information
License Selection
Agreements

Ownership

Summary Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Can I enter a negative number for gross revenues?

Why must the Year End Date for each year be exactly one year (12 months) prior to the Year End Date for the following year?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318124 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Company

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

Attachments

🖺 Print Preview

2 Help

🗵 Ouit

Ownership

Edit Revenue Information for Applicant

Financial Statements

You **must** select one.

- Applicant used audited financial statements.
- Applicant used unaudited financial statements prepared in accordance with Generally Accepted Accounting Principles and certified by Applicant's chief financial officer or the equivalent.

◆ BACK

CONTINUE

RETURN TO DETAIL

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Why must I choose one of these options?

What if neither choice applies?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted







Print Preview



🗵 Quit

Ownership

Add Disclosable Interest Holder

Disclosable Interest Holder Name and Type

Select either Entity OR Individual.

⊙	Entity Name:	DIH Company			
			Othe	er Description	
	Entity Type:	Corporation	▼		
	FRN:	123456789	(Search FRN)		
0	Individual Name:	First	MI Last	Suffix	

(Search FRN)

◆ BACK

FRN:

CONTINUE

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a Disclosable Interest Holder (DIH)?

Is the FRN required?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted





Attachments



A Print Preview



🗵 Quit

Ownership

Add Disclosable Interest Holder: DIH Company

Disclosable Interest Holder Address

▶ Click here if address is located outside the US.

321 Somewhere Ave Address:

Washington

District of Columbia State:

Zip Code: 20554

City:

CONTINUE

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What certification should I provide for foreign ownership?





FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted













Ownership

Add Disclosable Interest Holder: DIH Company

Type of Interest in Applicant

Check all that apply.

- Direct Ownership Interest in Applicant
- Indirect Ownership Interest in Applicant
- Officer
- Director
- Key Management Personnel
- Management Contract
- Other (specify)



Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How do I describe disclosable interest holders who have an indirect ownership in my company?



CONTINUE



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

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991		wi Y









Ownership

Add Disclosable Interest Holder: DIH Company

Type of Ownership Interest in Applicant

Che	ck all that apply.
~	Common Stock (specify)
	Voting
	General Partnership Shares (specify)
	▼
	Limited Partnership Shares (specify)
	V
	Membership Shares
	Option to Acquire Equity
	Proprietorship
	Preferred Stock (specify both voting and convertible types)
	▼
	Warrant
	Other (specify)
	_

Steps

Applicant Information License Selection Agreements

Ownership

Summary Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?







FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

Attachments

🖺 Print Preview

2 Help

🗵 Quit

Ownership

Add Disclosable Interest Holder: DIH Company

Percent of Interest Held in Applicant

130

Jurisdiction of Formation

Click here if DIH was formed in a jursidiction outside the US.

Jurisdiction of Formation: District of Columbia

◆ BACK

CONTINUE

Steps

Applicant Information

Agreements

License Selection

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a Jurisdiction of Formation?

What should the "Percent of Interest Held in Applicant" reflect?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

2 Help

■ Summary



Attachments

🖴 Print Preview

🗷 Quit

Ownership

Add FCC Regulated Business

FCC Regulated Business

Identify business(es) in which the Applicant has an interest. You may select business(es) already submitted or begin entering the information below.

FCC Regulated Business	Principal Business	FRN	Percent of Interest Held
FRB Corporation	Telecommunications	987654321	20 %
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	
			%
	'	(Search FRN)	
			%
	'	(Search FRN)	
			%
	'	(Search FRN)	~
			%
		(Search FRN)	, ,

Steps

Applicant Information License Selection Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What does the Search FRN link do?

What is an FCC Regulated Business (FRB)?

Is the FRN required?

What does "Percent of Interest Held" refer to?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

Attachments

Print Preview

2 Help

🗵 Quit

Ownership

Add Affiliate

Affiliate

Identify business(es) which are affiliated in any way to the Applicant. You may select business(es) already submitted or begin entering the information below.

Affiliate Name

Select either Business Name OR Individual.

⊙	Business Name:	Affiliate LLC	

Construction Principal Business:

543210000 FRN: (Search FRN)

First ΜI Suffix Last Individual Name:

FRN: (Search FRN)

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What does the Search FRN link do?

What is an Affiliate?

Is the FRN required?

◆ BACK

CONTINUE)

FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation Initial Filing Closes: 05/17/2005 3:30 PM (ET) Status: Submitted

Attachments

■ Summary

🖴 Print Preview 2 Help

Agreements

⊠ Quit

Ownership View/Edit Ownership Disclosure Information

Revenue Summary

Aggregate Average Gross Revenue: \$5,425,000.00

Aggregate Gross Revenue:

Most recent reportable year: \$10,000,000.00 One year prior to most recent reportable year: \$3.850.000.00

Two years prior to most recent reportable year: \$0.00

▶ Revenue Information for Applicant

Add Disclosable Interest Holder to Applicant

- Add FCC Regulated Business to Applicant
- Add Affiliate to Applicant
- Upload Applicant Ownership Disclosure Information

▶ View all FCC Regulated Businesses of the Applicant → View all Affiliates of the Applicant

Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

☐ Disclosable Interest Holder: DIH Company

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add FCC Regulated Business to this Disclosable Interest Holder

DELETE SELECTED

▶ Top

FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: FRB Corporation

DELETE SELECTED

▶ Top

Affiliates of this Applicant

You currently have specified 1 Affiliate(s)

☐ Affiliate: Affiliate LLC

DELETE SELECTED

▶ <u>Top</u>

Ownership Summary

Steps

Certify & Submit

Applicant Information License Selection

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

■ Summary

Attachments

🖺 Print Preview

? Help

🗷 Quit

Ownership

Gross Revenues Confirmation

Confirmation

Has Applicant provided separate gross revenue information for itself, for each of Applicant's officers and directors; for each of Applicant's other controlling interests; for each of Applicant's affiliates; and for each affiliate of each of Applicant's officers, directors, and other controlling interests?

Yes ○ No

■ BACK

CONTINUE

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

■ Summary

Attachments

its 🖺

📤 <u>Print Preview</u>

2 Help

🗵 Quit

Summary

Summary Overview

Choose a step listed below to review your provided information.

Applicant Information

VIEW/EDIT

License Selection

VIEW/EDIT

Agreements

VIEW/EDIT

Ownership

VIEW/EDIT

CHECK ERRORS

Once you are confident that the application is ready for certification and submission, click on the "Continue To Certify" button below.



CONTINUE TO CERTIFY

Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What does the Check Errors button do?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

■ Summary

Attachments

Print Preview

2 Help



Certify & Submit

Former Default, Delinquency Statement

By indicating which statement applies and by submitting the application, applicants make the applicable statement under penalty and perjury. An applicant making the second statement must submit an upfront payment equal to 50 percent more than otherwise would be required.

- The applicant, its affiliates, its controlling interests, and the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have never been in default on any Commission license and have never been delinquent on any non-tax debt owed to any Federal agency.
- One or more of the applicant, its affiliates, its controlling interests, or the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have been in default on a Commission license or have been delinquent on a non-tax debt owed to a Federal agency.





Steps

Applicant Information

License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Why must one of the options be selected?

What if I don't know which of the two options is correct?

Federal Communications Commission

Auctions

FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Not Submitted

Summary

♣ Attachments ♣ Print Preview ② H

2 Help 🗷 Quit

Certify & Submit

Certify Auction Application

By clicking the "Submit" button below, I certify the following:

(1) that the applicant is legally, technically, financially, and otherwise qualified pursuant to 308(b) of the Communications Act and the Commission's Rules and is in compliance with the foreign ownership provisions contained in Section 310 of the Communications Act.

(2) that the applicant is the real party in interest in this application and that there are no agreements or understandings other than those specified in this application, which provide that someone other than the applicant shall have an interest in the license.

(3) that the applicant is aware that, if upon Commission inspection, this application is shown to be defective, the application may be dismissed without further consideration, and certain fees forfeited. Other penalties may also apply.

(4) except as explicitly provided in the Commission's Rules, that the applicant has not entered into and will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in this application regarding the amount to be bid, bidding strategies or the particular license on which the applicant or other parties will or will not bid.

(5) that the applicant, or any party to this application, is not subject to a denial of federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988.

(6) that if a bidding credit eligibility is claimed, the applicant is eligible for any special provisions set forth in the Commission's Rules applicable to this auction and consents to audits, as set forth in the Commission's Rules, to verify such status.

(7) that the applicant is and will, during the pendency of its application(s), remain in compliance with any service specific qualifications applicable to the licenses on which the applicant intends to bid including, but not limited to, financial qualifications.

(8) that the applicant is not in default on any payment for Commission licenses and that it is not delinquent on any non-tax debt owed to any federal agency.

I declare, under penalties of perjury, that I am an authorized representative of the above named applicant for the license and/or licenses specified above, that I have read the instructions and the foregoing certification and all matters and things stated in this application, its schedules and attachments, including exhibits, are true and correct.

Signature

_				
	First	MI	Last	Suffix
Person Certifying:	Imma		Bidder	
Signature:	lmma Bidder			
Title:	President			

Steps

Applicant Information License Selection

Agreements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

<u>Is it necessary to read all of</u> <u>these certifications?</u>

Who is authorized to certify?

What if I certify and any of the certifications are false?

After I fill out my application electronically, is it automatically submitted to the FCC?

Can I change information after I submit Form 175?

After I submit my Form 175 once, are any later changes automatically submitted to the FCC?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0007318140 (Log Out)

Auction 164

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 05/17/2005 3:30 PM (ET)

Status: Submitted

Attachments

🖺 Print Preview





Certify & Submit

Auction Application Submit Confirmation

Your Auction Application has been received on 5/16/2005 at 3:58 PM. Your file number is 0001680049. Please print this page for your records.

AUCTION APPLICATION MANAGER

Summary

Steps

Applicant Information License Selection

Agreements

Ownership Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is Form 159 and how

How can I get a copy of the information I submitted?

do I fill it out?

Must I ao to the Upfront Calculator and Form 159 immediately?

Do I have to submit an upfront payment to cover all

Form 175? How will I know the status of my Form 175?

the licenses I selected on my

When can I see the Form 175 of other applicants for the auction?

Upfront Payment Calculator/Form 159

(1) LOCK BOX # 999999			П	SPECIAL USE ONLY
				FCC USE ONLY
	SECTION A – PA	YER INFORMATI	ON	
2) PAYER NAME (if paying by credit care Doe Buck Partnership				UNT PAID (U.S. Dollars and cents)
4) STREET ADDRESS LINE NO.1 3344 Independence Ave 5) STREET ADDRESS LINE NO. 2				
டு टाराप Washington			(7) STATE	(8) ZIP CODE 20009
9) DAYTIME TELEPHONE NUMBER (i 202 - 7852887	nclude area code)	(10) COUNTRY	CODE (if not in U.S	i.A.)
	FCC REGISTRATION !			
(11) PAYER (FRN) 0004979480		(12) FCC USE	ONLY	
13) APPLICANT NAME	F MORE THAN ONE APPLICANT, US ON BELOW FOR EACH SERVICE, IF	E CONTINUATIO! MORE BOXES AI	N SHEETS (FORM RE NEEDED, USE	I 159-C) CONTINUATION SHEET
Bidder One Company 14) STREET ADDRESS LINE NO.1 123 Auction Ave				
15) STREET ADDRESS LINE NO. 2				
(16) CTTY Washington			(17) STATE DC	(18) ZIP CODE 20554
(19) daytime telephone number ((202) 418-9999			CODE (if not in U.S	i.A.)
(21) APPLICANT (FRN)	FCC REGISTRATION !	(22) FCC USE	-	
0007318124		(22) Tee ose	ONLI	
	CTION C FOR EACH SERVICE, IF M			
(23A) CALL SIGN/OTHER ID	(24A) PAYMENT TYPE CODE A99U		(25A) Qi	UANTITY
(26A) FEE DUE FOR (PTC) \$4,200.00	(27A) TOTAL FEE	\$4,200.00	FCC U	SE ONLY
(28A) FCC CODE I 164	6	29 A) FCC CODE 2		
(23B) CALL SIGN/OTHER ID	(24B) PAYMENT TYPE CODE		(25B) Q1	UANTITY
(26B) FEE DUE FOR (PTC)	(27B) TOTAL FEE		FCC U	SE ONLY
(28B)FCC CODE I	6	29B) FCC CODE 2		
	SECTION D -	CERTIFICATION		
CERTIFICATION STATEMENT L the best of my knowledge, information and	certify under penalty of perjury that belief.	the foregoing and sup	porting information	is true and correct to
SIGNATURE			DATE	
	SECTION E - CREDIT CAR MASTERCARD VISA			
ACCOUNT NUMBER	MASIERCARDVIA4	EXPIRATIO		_
I hereby authorize the FCC to charge my cr	edit card for the service(s)/authorization he	rein described.		
SIGNATURE			DATE	