

# Form 175 and Overview of Radio Service and Auction Rules

# 1.4 GHz Band Licenses Auction

(Auction No. 69)

Lisa Stover, Auctions Marketing Specialist, ASAD Howard Davenport, Attorney, ASAD



# Disclaimer

Nothing herein is intended to supersede any provision of the Commission's rules or public notices. These slides should not be used as a substitute for a prospective applicant's review of the Commission's relevant orders, rules, and public notices. Prospective applicants must familiarize themselves thoroughly and remain current with the Commission's rules relating to the 1.4 GHz Bands, rules relating to application and auction procedures, and the procedures, terms and conditions contained in the Auction No. 69 public notices.





# **Questions?**

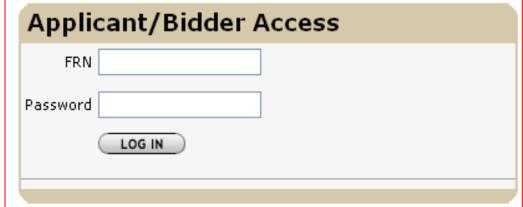
If you are viewing this presentation live over the web, please feel free to email your questions to...

# auction69@fcc.gov





# Integrated Spectrum Auction System



Public Access	
▶ <u>Auction Applications</u>	
Auction Information (select an auction)	
▶ <u>ULS License Search</u>	
FCC Auctions Home	

# **Log In Information**

The Applicant/Bidder Access section is password protected. Please type your FCC Registration Number (FRN) and its associated password to access the Auction Application or Bidding system. An FRN/password is not required for the Public Access section of the system.

- Forgot your Password?
  FRN hotline information
- Need an FRN?
  Register with the FCC
- Not sure if you have an FRN?

  Search the FCC Registration System

  database to determine if you have been assigned an FRN.



# Integrated Spectrum Auction System



GO

# **Public Access**

- Auction Applications
- Auction Information (select an auction)
- ▶ <u>ULS License Search</u>
- ▶ FCC Auctions Home

# Log In Information

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  Search the FCC Registration System

  database to determine if you have been assigned an FRN.

# My Auctions Page

Logged In: FRN 0010845105 (Log Out)

GO

# **Pre-Auction**

- File new or modify an existing auction application Enter Auction Application Manager
- How do I request a refund of my upfront payment? See Wire Transfer for Refund Purposes

# **Online Bidding**

There are no LIVE auctions available.

There are no MOCK auctions available.

## **Post-Auction**

- How do I make my downpayment or final payment? Making a downpayment or final payment See FCC Form 159
- Where do I file my license application? See Universal Licensing System
- How do I request a refund of my upfront payment? See Wire Transfer for Refund Purposes

# **Public Access**

- Auction Applications
- Auction Information (select an auction)
- <u>ULS License Search</u>
- ▶ FCC Auctions Home



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)



# **Auction Application Manager**

**Create or Review Auction Applications** 

Approved by OMB 3060-0600 (Notice to Applicants)

# Create New Application

Auction 101 Sample SMR Auction -- Initial Filing Window closes 12/11/2006, 6:00 PM

# **Review or Modify Existing Applications**

Auction	Applicant Name	Application Status	Last Modified	Last Submitted	
65 Initial Filing Windo	Bidder One Corporation ow Closed 4/3/2006, 6:00 PM	Submitted	3/8/2006, 3:40 PM	3/7/2006, 4:00 PM	\$ 2 2
185 Initial Filing Windo	Bidder One Corporation ow Closed 4/10/2006, 4:31 PM	Not Submitted	4/3/2006, 11:46 AM		
\$ - Upfront Payr	ment Calculator and Form 159	🔽 - Refund Form 📝 - Ed	it Application 🌘 - Withdraw App	olication 🝳 - Search	

# Documentation

Auction:

3 - PCS Narrowband Region





FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

## Auction 101

Sample SMR Auction

Applicant:

Initial Filing Closes: 12/11/20066:00 PM (ET)

Status: Not Submitted

Summary

Attachments

🖴 Print Preview 🛮 🛭 Help

🗷 Quit

# **Applicant Information**

Applicant information identifies the applicant, its responsible parties (where applicable), its auction contact party, and its bidding eligibility. The contact person will receive all auction correspondence. The applicant name will be used as the bidder name during the auction.

## **Applicant Legal Classification**

To determine the applicant information required by the FCC please provide your Legal Classification.

- Consortium
- Corporation
- General Partnership
- Government Entity
- Individual
- Limited Liability Company
- Limited Liability Partnership
- Limited Partnership
- Trust
- Unincorporated Association
- Other:

escription)	of	Legal	Classification	

A i	nnlicant	Status	( not	required)	ì
-	ppncanc	Julia	(moc	required,	ı

What is your status? Check all that apply.

- Minority Owned Business
- Rural Telephone Company
- Woman Owned Business

## Steps

## Applicant Information

License Selection

**Aareements** 

Ownership

Summary

Certify & Submit

## Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What if my Legal

Classification is not listed?

Why is the FCC requesting an Applicant Status?

What does the Quit link do?

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FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

# Auction 101

Sample SMR Auction

Applicant:

Summary

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

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# **Applicant Information**

Applicant information identifies the applicant, its responsible parties (where applicable), its auction contact party, and its bidding eligibility. The contact person will receive all auction correspondence. The applicant name will be used as the bidder name during the auction.

## **Applicant Legal Classification**

o determine the appli	icant information re	quired by the ECC r	olease provide vour L	egal Classification

- Consortium
- Corporation
- General Partnership
- Government Entity
- Individual
- Limited Liability Company
- Limited Liability Partnership
- Limited Partnership
- Trust
- Unincorporated Association
- Other:

A legal Classification must be selected in order to proceed

# Applicant Status (not required)

What is your status? Check all that apply.

Description of Legal Classification

- Minority Owned Business
- Rural Telephone Company
- Woman Owned Business

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## Common Questions

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Classification is not listed?

Why is the FCC requesting an Applicant Status?

What does the Quit link do?



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FCC > Wireless > Auctions > Auction Application

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# Auction 101

Sample SMR Auction

Applicant:

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

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# **Applicant Information**

# **Applicant Name**

Please provide the following information. The name entered will be used as the Bidder Name during the auction.

Entity Name:

Bidder One Corporation

Jurisdiction of Formation:

District of Columbia

# Applicant Address (PO Boxes are not permitted)

Click here if address is located outside the US.

Address Line 1:

123 Auction Street

Address Line 2:

City:

Washington

State:

District of Columbia



20554



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# Steps

# Applicant Information

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# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a Jurisdiction of Formation?

Why are P.O. boxes not permitted?

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Bidder

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# Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

# Summary

Suffix







🗵 Quit

# **Applicant Information**

# **Responsible Party - Corporation**

Identify an individual as the responsible party for the Corporation

Name: Imma

Officer or Director

Title: President

Area

First

Ext.

Telephone Number: 418 - 9999 202 0660

Email Address: Imma.Bidder@fcc.gov

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# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Do I have to fill in the Telephone Number and Email Address for our responsible party?

Who is considered to be a Responsible Party?



FCC > Wireless > Auctions > Auction Application

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Sample SMR Auction

Applicant: Bidder One Corporation

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Status: Not Submitted

Summary

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<b>Applicant</b>	Information	

Contact Information					
Identify an official contac	t to receive all auction correspondence.				
Name:	First         MI         Last         Suffix           Imma         Bidder				
Telephone Number:	Area Ext. 202 418 - 9999 D660				
Fax Number:	Area 202 418 - 8888				
Email Address:	Imma.Bidder@fcc.gov				
Contact Address (PO Boxes are not permitted)  Copy Address is located outside the US  Copy Applicant  Copy Applicant					
Address Line 1:					
Address Line 2:	Address Line 2:				
City:					
State:	~				
Zip Code:					

## Steps

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## Applicant Information

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## **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is the contact information used for?

Our official contact would rather communicate via phone or fax. Do I need to enter their email address?

Why are P.O. boxes not permitted?



FCC > Wireless > Auctions > Auction Application

Last

Bidder

Logged In: FRN 0010845105 (Log Out)

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Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

# Summary

Suffix



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# **Applicant Information**

## **Contact Information**

Identify an official contact to receive all auction correspondence.

Telephone Number:

Name:

Area

0660

202 418 - 9999

Area

First

Imma

418 - 8888 Fax Number: 202

Email Address: lmma.Bidder@fcc.gov

## Contact Address (PO Boxes are not permitted)

▶ Click here if address is located outside the US.

## COPY APPLICANT ADDRESS

Address Line 1:

123 Auction Street

Address Line 2:

**◆** BACK

City: Washington

State: District of Columbia

Zip Code: 20554



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## Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is the contact information used for?

Our official contact would rather communicate via phone or fax. Do I need to enter their email address?

Why are P.O. boxes not permitted?



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Logged In: FRN 0010845105 (Log Out)

# Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12/11/20066:00 PM (ET)

Status: Not Submitted

Summary







🗵 Ouit

# **Applicant Information**

## **Authorized Bidders**

Identify at least one but no more than three authorized bidders. Only individuals listed here will be authorized to bid on behalf of the applicant. The authorized bidders may be changed during the auction.

	First	MI	Last	Suffix
1.	lmma		Bidder	
2.	Shesa		Bidder	
3.	Hesa		Bidder	

# Telephonic or Electronic Bidding Options

Qualified bidders are permitted to bid electronically or telephonically. Select the option you anticipate using as your primary means of placing bids in the auction.

Electronic

Telephonic

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What is the difference between a warning and an error?



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Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

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# **Applicant Information**

## **Bidding Credit Eligibility**

This is the sole opportunity to apply for a bidding credit. There will be no opportunity to apply or modify this information after the Initial Filing Window closes.

Applicant does not seek a bidding credit.

Applicant hereby applies for a bidding credit and states that aggregate average gross revenues attributable to the applicant:

- Do not exceed \$15,000,000
- Exceed \$15,000,000 and do not exceed \$40,000,000

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## Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a bidding credit?

What are aggregate average aross revenues?

What makes aggregate average gross revenues attributable to the applicant?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?

When do I apply for the tribal land bidding credit?



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## Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

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Status: Not Submitted

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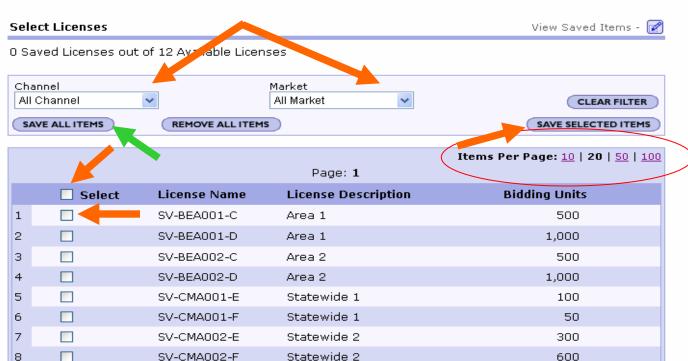
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# License Selection



Region 1

Region 1

Region 2

Region 2

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## Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Can I later change my license selections?

Do I have to bid on all the licenses I select?

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SV-REAG001-A

SV-REAG001-B

SV-REAG002-A

SV-REAG002-B

9

10

11

12

CONTINUE

1,000

2,000

1,000

2,000



FCC > Wireless > Auctions > Auction Application

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## **Auction 101**

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

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## License Selection



Items Per Page: <u>10</u> | **20** | <u>50</u> | <u>100</u>

			Page: 1	
	☐ Select	License Name	License Description	Bidding Units
1	✓ saved	SV-BEA001-C	Area 1	500
2	✓ saved	SV-BEA001-D	Area 1	1,000
3	✓ saved	SV-BEA002-C	Area 2	500
4	✓ saved	SV-BEA002-D	Area 2	1,000
5	✓ saved	SV-CMA001-E	Statewide 1	100
6	✓ saved	SV-CMA001-F	Statewide 1	50
7	✓ saved	SV-CMA002-E	Statewide 2	300
8	✓ saved	SV-CMA002-F	Statewide 2	600
9	✓ saved	SV-REAG001-A	Region 1	1,000
10	✓ saved	SV-REAG001-B	Region 1	2,000
11	✓ saved	SV-REAG002-A	Region 2	1,000
12	✓ saved	SV-REAG002-B	Region 2	2,000
			Page: 1	

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## **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Can I later change my license selections?

Do I have to bid on all the licenses I select?

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FCC > Wireless > Auctions > Auction Application

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# Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

Summary

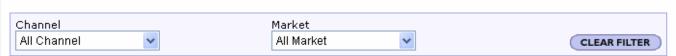
Change Item Selection - 📝

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# License Selection

# View/Edit Saved Licenses

12 Saved Licenses out of 12 Available Licenses



Items Per Page: 10 | 20 | 50 | 100

## Page: 1

	License Name	License Description	Bidding Units
1	SV-BEA001-C	Area 1	500
2	SV-BEA001-D	Area 1	1,000
3	SV-BEA002-C	Area 2	500
4	SV-BEA002-D	Area 2	1,000
5	SV-CMA001-E	Statewide 1	100
6	SV-CMA001-F	Statewide 1	50
7	SV-CMA002-E	Statewide 2	300
8	SV-CMA002-F	Statewide 2	600
9	SV-REAG001-A	Region 1	1,000
10	SV-REAG001-B	Region 1	2,000
11	SV-REAG002-A	Region 2	1,000
12	SV-REAG002-B	Region 2	2,000
		Page: 1	

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## Steps

Applicant Information

## License Selection

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## **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?



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Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

Summary



Print Preview 🛭 Help

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# Agreements

# Agreements with Other Parties and Joint Bidding Arrangements

Has the applicant entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure?

O Yes O No

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# Steps

Applicant Information License Selection

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Certify & Submit

# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is the specific rule governing agreements, arrangements, and the like?



# Agreements with Other Parties and Joint Bidding Arrangements

- Anti-Collusion Rule: Unless properly disclosed, communications concerning bids, bidding strategies, or settlement agreements (including post-auction market structures) are prohibited among applicants that bid for licenses in any of the same or overlapping geographic areas (Section 1.2105(c)).
- Because this Auction will begin under limited information disclosure procedures, we will not be publicly disclosing upfront the license selections of applicants. Applicants will receive a letter after the initial application review identifying each of the other applicants that applied for any of the same or overlapping geographic areas.
- To be in compliance, applicants must certify under penalty of perjury that they have not entered and will not enter into any explicit or implicit agreements, arrangements or understandings of any kind with any parties other than those they have identified regarding the amount of their bids, bidding strategies or particular licenses on which they will or will not bid. 11/29/2006



# Agreements with Other Parties and Joint Bidding Arrangements Continued

- Prohibition period is between the short-form deadline December 11, 2006 at 6:00 p.m. - and the down payment deadline which will be specified in a future public notice. (Section 1.2105(a)(2)(iv)).
- The rule prohibits an auction applicant from discussing a competing applicant's bids or bidding strategies even if the first applicant does not discuss its own bids or bidding strategies.
- The rule requires auction applicants that make or receive a prohibited communication of bids or bidding strategies to report the communication immediately to the Commission in writing.



# Agreements with Other Parties and Joint Bidding Arrangements Continued

- Applicants must identify all parties with whom they have entered into agreements of any kind relating to the licenses being auctioned, including post-auction market structure. (Section 1.2105(a)(2)(viii)). Any applicant that submits an auction application to participate in Auction No. 69 regardless of whether an upfront payment is submitted or a bid is made, remains subject to the Commission's anticollusion rule until the post-auction down payment deadline.
- For the anti-collusion rule, "applicant" includes controlling interests, ownership interests, and officers and directors. (Section 1.2110)



FCC > Wireless > Auctions > Auction Application

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# Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

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# Agreements

# Agreements with Other Parties and Joint Bidding Arrangements

Has the applicant entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure?

Yes No

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## Steps

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# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is the specific rule governing agreements, arrangements, and the like?



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## **Auction 101**

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

🗉 <u>Summary</u> 📤 <u>Attachments</u> 🖰 <u>Print Preview</u> 😢 <u>Help</u> 🗵 <u>Quit</u>

# Steps

Applicant Information License Selection

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## **Common Questions**

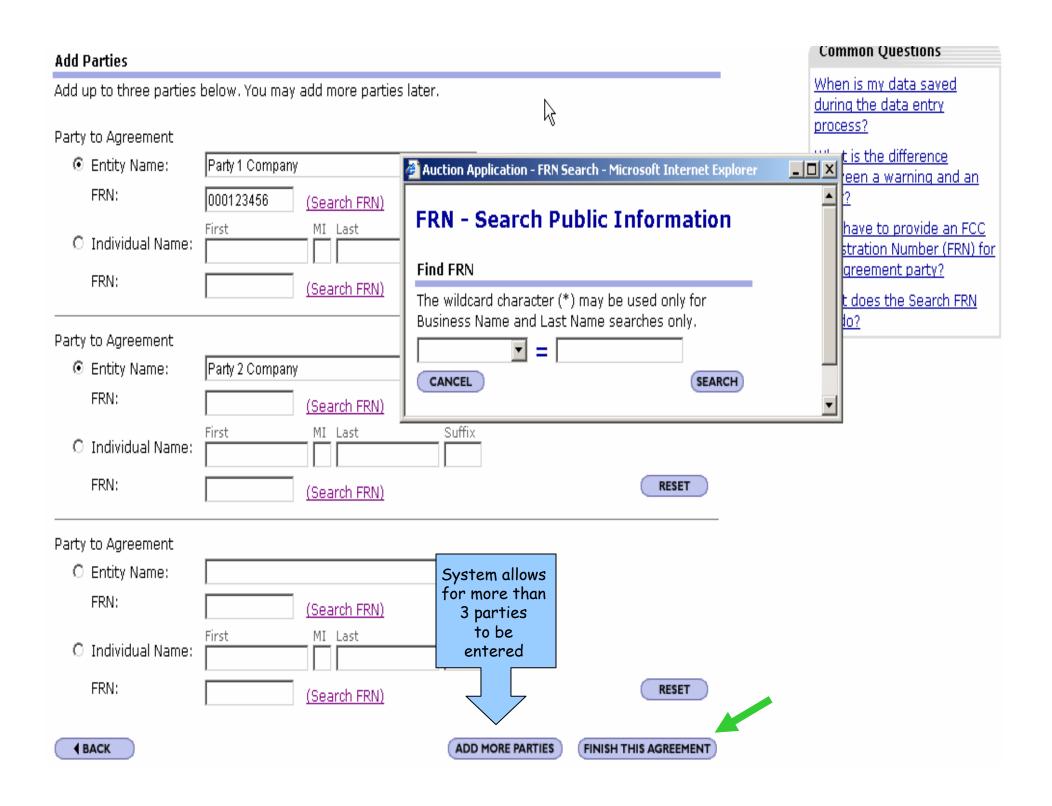
When is my data saved during the data entry process?

What is the difference between a warning and an error?

Do I have to provide an FCC Registration Number (FRN) for my agreement party?

What does the Search FRN link do?

Agreements		
Create New Agreeme	nt	
agreements, arrangen	n whom Applicant has entered into partnerships, joint nents or understandings of any kind relating to the lic relating to the post-auction market structure.	ventures, consortia or other enses being auctioned, including
Provide an identifiying	name for your agreement.	
Agreement Identifier:	Agreement 1	
Add Parties		
	s below. You may add more parties later.	
	·	
Party to Agreement	Doubt 4 Commons	
Entity Name: FRN:	Party 1 Company	
FRIN.	000123456 (Search FRN)	
Individual Name:	First MI Last Suffix	
FRN:	(Search FRN)	RESET
arty to Agreement	_	
Entity Name:	Party 2 Company	
FRN:	(Search FRN)	
	First MI Last Suffix	
Individual Name:		
FRN:	(Search FRN)	RESET
arty to Agreement		
Entity Name:		
FRN:	(Search FRN)	
Individual Name:	First MI Last Suffix	
FRN:	(Search FRN)	RESET
Anack	ADD MORE R	ADTIES CINICIPALITIES ACCUSATION





FCC > Wireless > Auctions > Auction Application

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# Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

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▶ Delete This Agreement

**CREATE NEW AGREEMENT** 

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# Agreements

View/Edit Agreements

# Agreements with Other Parties and Joint Bidding Arrangements

Agreements? Yes

You currently have 1 agreement

# Agreement: Agreement 1 2 parties in this agreement

Party: Party 1 Company Party: Party 2 Company

▶ Add Party To This Agreement

Remove - 🟢

**CONTINUE** 

# Steps

Applicant Information License Selection

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Certify & Submit

# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Logged In: FRN 0002652741 (Log Out)

## Auction 101

Sample SMR Auction

Summary

Applicant: Bidder One Corporation Initial Filing Closes: 12/11/2006 6:00 PM (ET) Status: Not Submitted

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## Ownership

View/Edit Ownership Disclosure Information

#### Revenue Summary

Aggregate Average Gross Revenue:

Aggregate Gross Revenue:

Most recent reportable year:

One year prior to most recent reportable year:

Two years prior to most recent reportable year:

- Revenue Information for Applicant
- Add Disclosable Interest Holder to Applicant
- Add FCC Regulated Business to Applicant
- Add Affiliate to Applicant
- Upload Applicant Ownership Disclosure Information
- ▶ View all FCC Regulated Businesses of the Applicant ▶ View all Affiliates of the Applicant

#### Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

## Disclosable Interest Holder: DIH Company

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

- ▶ Add Revenue Information to this Disclosable Interest Holder
- ▶ Add FCC Regulated Business to this Disclosable Interest Holder

## DELETE SELECTED

▶ Top

#### FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

■ FCC Regulated Business: FRB Corporation

## DELETE SELECTED

▶ <u>Top</u>

#### **Affiliates of this Applicant**

You currently have specified 1 Affiliate(s)

- ☐ Affiliate: Affiliate One LLC
- ▶ Add Revenue Information to this Affiliate

#### DELETE SELECTED

▶ Top

CONTINUE >

#### Steps

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#### **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by <u>vear?</u>



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

## Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12/11/2006 6:00 PM (ET)

Status: Not Submitted

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Edit - 🔀

🖴 Print Preview 🛮 🛭 Help

**⊠** Quit

## Ownership

Detail for Disclosable Interest Holder: DIH Company

You have submitted the following information for Disclosable Interest Holder DIH Company, Please review and edit the information as necessary. Once you are confident that the information is correct, click the View/Edit button below to view a complete summary of your Ownership Disclosure information.

## Disclosable Interest Holder Name and Type

Disclosable Interest Holder Name: DIH Company Disclosable Interest Holder Type: Entity Corporation Entity Type:

FRN:

# Disclosable Interest Holder Address

Address Line 1: 321 Somewhere Ave

Address Line 2:

City: Washington State: DC

Zip Code: 20554

## Type of Interest in Applicant

**Direct Ownership Interest in Applicant** 

Type of Ownership Interest in Applicant

Common Stock: Voting

## Disclosable Interest Held in Applicant

Percent of Interest Held in Applicant: 30.00 %

Jurisdiction of Formation: **District of Columbia** 

## Gross Revenues Attributable to Applicant

Most Recent Reportable Year:

One Year Prior to Most Recent Reportable Year:

Two Years Prior to Most Recent Reportable Year:

Average Gross Revenues:

**Financial Statements** 

Gross Revenues for

Applicant Information License Selection Agreements

Ownership

Summary

Certify & Submit

#### **Common Ouestions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?













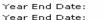


















VIEW/EDIT OWNERSHIP INFORMATION



Logged In: FRN 0002652741 (Log Out)

### Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET) Status: Not Submitted

■ Summary

Attachments

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### Ownership View/Edit Ownership Disclosure Information

Revenue Summary

Aggregate Average Gross Revenue:

Aggregate Gross Revenue:

Most recent reportable year:

One year prior to most recent reportable year:

<del>Two years prior to most recent reportable year</del>

Revenue Information for Applicant

Add Disclosable Interest Holder to Applicant

Add FCC Regulated Business to Applicant

Add Affiliate to Applicant

Upload Applicant Ownership Disclosure Information

Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

Disclosable Interest Holder: DIH Company

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add Revenue Information to this Disclosable Interest Holder

Add FCC Regulated Business to this Disclosable Interest Holder

DELETE SELECTED

▶ Top

FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

■ FCC Regulated Business: FRB Corporation

DELETE SELECTED

▶ <u>Top</u>

**Affiliates of this Applicant** 

You currently have specified 1 Affiliate(s)

- Affiliate: Affiliate One LLC
- ▶ Add Revenue Information to this Affiliate

DELETE SELECTED

▶ Top

CONTINUE

Steps

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Ownershin Summary

Certify & Submit

**Common Questions** 

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by <u>vear?</u>



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

## Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12/11/2006 6:00 PM (ET)

Status: Not Submitted

Summary

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🗷 Quit

## Ownership

Add Revenue Information to Applicant

## **Gross Revenue Disclosure**

Declare the three previous years' gross revenues below.

If the Applicant was in existence and had no gross revenues for a year of the relevant period, disclose Gross Revenues as \$0 for that year. If the Applicant was not in existence for a year of the relevant period, disclose gross revenues for the Applicant's predecessor-in-interest, if any.

If Applicant is not disclosing gross revenues for the most recent three years, and/or is disclosing gross revenues for fewer than three years, please explain why in an attachment.

## Most Recent Reportable Year

The Applicant and any	y predecessors-in-interest	: were not in exister	nce for a full ye	ear of the relevant
period.				

Year End Date: 12/31/2005 (Date Format: MM/DD/YYYY)

Gross Revenues: \$ 6000000 (Format: 99999.99)

## One Year Prior to Most Recent Reportable Year

The Applicant and any predecessors-in-interest were not in existence for a full year of the relevant period.

Year End Date: 12/31/2004 (Date Format: MM/DD/YYYY)

Gross Revenues: \$ 3000000 (Format: 99999.99)

## Two Years Prior to Most Recent Reportable Year

The Applicant and any predecessors-in-interest were not in existence for a full year of the relevant period.

Year End Date: 12/31/2003 (Date Format: MM/DD/YYYY)

Gross Revenues: \$ 0 (Format: 99999.99)



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CONTINUE

## Steps

Applicant Information License Selection Agreements

### Ownership

Summary Certify & Submit

## Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Can I enter a negative number for gross revenues?

Why must the Year End Date for each year be exactly one year (12 months) prior to the Year End Date for the following year?



FCC > Wireless > Auctions > Auction Application

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

Summary









# Ownership

Add Revenue Information to Applicant

## Financial Statements

You **must** select one.

- Applicant used audited financial statements.
- Applicant used unaudited financial statements prepared in accordance with Generally Accepted Accounting Principles and certified by Applicant's chief financial officer or the equivalent.

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## Steps

Applicant Information

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Certify & Submit

# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Why must I choose one of these options?

What if neither choice applies?

FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0002652741 (Log Out)

## Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET) Status: Not Submitted

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### Ownership View/Edit Ownership Disclosure Information

Revenue Summary

Aggregate Average Gross Revenue:

\$10,166,666.67

Aggregate Gross Revenue:

Most recent reportable year:

\$14,000,000.00

One year prior to most recent reportable year: \$10,000,000.00

Two years prior to most recent reportable year: \$6,500,000.00

Revenue Information for Applicant

Add Disclosable Interest Holder to Applicant

Add FCC Regulated Business to Applicant

**Add Affiliate to Applicant** 

**Upload Applicant Ownership Disclosure Information** 

#### Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

## ■ Disclosable Interest Holder: <u>DIH Company</u>

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add Revenue Information to this Disclosable Interest Holder

▶ Add FCC Regulated Business to this Disclosable Interest Holder

## DELETE SELECTED

▶ Top

## FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

■ FCC Regulated Business: FRB Corporation

## DELETE SELECTED

► Top

#### **Affiliates of this Applicant**

You currently have specified 1 Affiliate(s)

■ Affiliate: <u>Affiliate One LLC</u>

▶ Add Revenue Information to this Affiliate



▶ Top

CONTINUE

Stens Applicant Information License Selection Agreements Ownershin Summary

# Certify & Submit **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by <u>vear?</u>



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

# Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

# Summary









# Ownership

Add Disclosable Interest Holder

# Disclosable Interest Holder Name and Type

Select either Entity OR Individual.

$\odot$	Entity Name:	DIH Company		
	Entity Type:	Other Description		ther Description
		Corporation	~	
	FRN:	123456789	(Search FRN)	

MI Last

Suffix

Individual Name:

FRN: (Search FRN)

First

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# Steps

Applicant Information

License Selection

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# Ownership

Summary

Certify & Submit

# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a Disclosable Interest Holder (DIH)?

Is the FRN required?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out) Applicant: Bidder One Corporation Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET) Auction 101 Status: Not Submitted Sample SMR Auction Print Preview 2 Help X Quit Summary Attachments Link for Address outside the U.S. Ownership Steps Add Disclosable Interest Holder: DIH Company Applicant Information License Selection Disclosable Interest Holder Address Agreements ▶ Click here if address is located outside the US. Ownership Summary 321 Somewhere Ave Address: Certify & Submit **Common Questions** City: Washington When is my data saved State: District of Columbia during the data entry Zip Code: 20554 process? What is the difference between a warning and an error? **◆** BACK CONTINUE



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

# Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

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🗵 Quit

# Ownership

Add Disclosable Interest Holder: DIH Company

## Type of Interest in Applicant

Check all that apply.

- ✓ Direct Ownership Interest in Applicant
- Indirect Ownership Interest in Applicant
- Officer
- Director
- Key Management Personnel
- Management Contract
- Other (specify)





Applicant Information

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Summary

Certify & Submit

# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How do I describe disclosable interest holders who have an indirect ownership in my company?









FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

## Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

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Status: Not Submitted

Summary

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# Ownership

Add Disclosable Interest Holder: DIH Company

Check all that apply.

~	Common	Stock	(specify)

General Partnership Shares (specify)

Limited Partnership Shares (specify)

- Membership Shares
- Option to Acquire Equity
- Proprietorship
- Preferred Stock (specify both voting and convertible types)

- Warrant
- Other (specify)



## Steps

Applicant Information License Selection

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## Ownership

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Certify & Submit

## **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

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FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

# Auction 101

Applicant: Bidder One Corporation

Initial Filing Closes: 12/11/2006 6:00 PM (E

Status: Not Submitted

# Sample SMR Auction









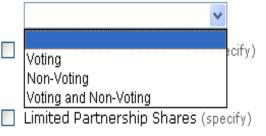
# Ownership

Add Disclosable Interest Holder: DIH Company

# Type of Ownership Interest in Applicant

Check all that apply.

Common Stock (specify)



- Membership Shares
- Option to Acquire Equity

# Steps

Applicant Information

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Certify & Submit

# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and error?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

## **Auction 101**

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

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# **Ownership**

Check all that apply.

Add Disclosable Interest Holder: DIH Company

	Type of	Ownership	Interest in	Applicant
--	---------	-----------	-------------	-----------

<b>~</b>	Common Stock (specify)	
	Voting	-

General Partnership Shares	(specify)

~
---

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	)

М	lem	bers	hip	Sh	ıar	es

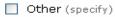
	Option	to	Acquire	Equity
--	--------	----	---------	--------

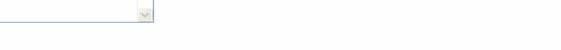
# Proprietorship

P	referred Stock	(specify	both	voting	and	convertible	types)
---	----------------	----------	------	--------	-----	-------------	--------

<b>~</b>
Y

Warrant





#### Steps

Applicant Information License Selection

Agreements

## Ownership

Summary

Certify & Submit

## **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?



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Logged In: FRN 0010845105 (Log Out)

# Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

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Link if jurisdiction outside U.S.

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# Ownership

Add Disclosable Interest Holder: DIH Company

Percent of Interest Held in Applicant

30

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%

## **Jurisdiction of Formation**

Click here if DIH was formed in a jurisdiction outside the US.

Jurisdiction of Formation: District of Columbia

CONTINUE >

## Steps

Applicant Information License Selection Agreements

## Ownership

Summary

Certify & Submit

## **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a Jurisdiction of Formation?

What certification should I provide for foreign ownership?

Must an indirect ownership interest percentage be included in "Percent of Interest Held in Applicant"?

What should the "Percent of Interest Held in Applicant" reflect?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

## Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

Summary

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# Ownership

View/Edit Ownership Disclosure Information

## Revenue Summary

Aggregate Average Gross Revenue: \$10,166,666.67

Aggregate Gross Revenue:

Most recent reportable year: \$14,000,000.00 One year prior to most recent reportable year: \$10,000,000.00 Two years prior to most recent reportable year: \$6,500,000.00

- Revenue Information for Applicant
- Add Disclosable Interest Holder to Applicant
- ▶ Add FCC Regulated Business to Applicant
- Add Affiliate to Applicant
- Upload Applicant Ownership Disclosure Information

#### Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

Disclosable Interest Holder: DIH Company

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add FCC Regulated Business to this Disclosable Interest Holder



## Steps

Applicant Information License Selection Aareements:

Ownership

Summary

Certify & Submit

## Common Questions

When is my data saved. during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

# **Auction 101**

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

Summary

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# Ownership

Add FCC Regulated Business to Applicant

## **FCC Regulated Business**

Identify entities in which the Applicant has an interest. You may select entities already submitted or begin entering the information below.

FCC Regulated Business	Principal Business	FRN	Percent of Interest Held by Applicant
FRB Corporation	Radio Equipment Manufacturer	234567890	20 %
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	
			%
		(Search FRN)	

#### Steps

Applicant Information License Selection

Agreements Ownership

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Certify & Submit

## Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What does the Search FRN link do?

What is an FCC Regulated Business (FRB)?

Is the FRN required?

What does "Percent of Interest Held" refer to?

Must an indirect ownership interest percentage be included in "Percent of Interest Held"?



ADD MORE BUSINESSES

CONTINUE



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

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# Ownership

View/Edit Ownership Disclosure Information

## **Revenue Summary**

Aggregate Average Gross Revenue: \$10,166,666.67

Aggregate Gross Revenue:

Most recent reportable year: \$14,000,000.00 One year prior to most recent reportable year: \$10,000,000.00 Two years prior to most recent reportable year: \$6,500,000.00

- ▶ Revenue Information for Applicant
- ▶ Add Disclosable Interest Holder to Applicant
- ▶ Add FCC Regulated Business to Applicant
- ▶ Add Affiliate to Applicant
- Upload Applicant Ownership Disclosure Information

## Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

Disclosable Interest Holder: DIH Company

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add FCC Regulated Business to this Disclosable Interest Holder

## Steps

Applicant Information License Selection Agreements

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Summary

Certify & Submit

## **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?



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Auction 101

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

Sample SMR Auction

Summary

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# Ownership

Add Affiliate

## **Affiliate**



Identify entities or individuals which are affiliated in any way to the Applicant. You may select entities or individuals already submitted or begin entering the information below.

Last

Suffix

## Affiliate Name

Select either Entity OR Individual.

Entity Name: Affiliate LLC

Principal Business: Construction

FRN: 543210000 (Search FRN)

First Individual Name:

FRN: (Search FRN)

## Steps

Applicant Information

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## Ownership

Summary

Certify & Submit

# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What does the Search FRN link do?

What is an Affiliate?

Is the FRN required?

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CONTINUE >

Logged In: FRN 0010845105 (Log Out)

#### Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET) Status: Not Submitted

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#### Ownership View/Edit Ownership Disclosure Information

Revenue Summary

Aggregate Average Gross Revenue: \$12,041,666.67

Aggregate Gross Revenue:

\$16,000,000.00 Most recent reportable year:

One year prior to most recent reportable year: \$11,750,000.00 Two years prior to most recent reportable year: \$6,500,000.00

▶ Revenue Information for Applicant

▶ Add Disclosable Interest Holder to Applicant

Add FCC Regulated Business to Applicant

Add Affiliate to Applicant

▶ <u>Upload Applicant Ownership Disclosure Information</u>

View all FCC Regulated Businesses of the Applicant
 View all Affiliates of the Applicant

Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

☐ Disclosable Interest Holder: <u>DIH Company</u>

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add FCC Regulated Business to this Disclosable Interest Holder

DELETE SELECTED

► Top

FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: FRB Corporation

DELETE SELECTED

► Top

**Affiliates of this Applicant** 

You currently have specified 1 Affiliate(s)

☐ Affiliate: Affiliate LLC

DELETE SELECTED

► Top

Steps

Applicant Information License Selection Agreements

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Certify & Submit

#### **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by vear?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

# Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted











# Ownership

**Gross Revenues Confirmation** 

## Confirmation

Has Applicant provided separate gross revenue information for itself, for each of Applicant's officers and directors; for each of Applicant's other controlling interests; for each of Applicant's affiliates; and for each affiliate of each of Applicant's officers, directors, and other controlling interests?





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# Steps

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Certify & Submit

# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

# Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

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# Summary

# **Summary Overview**

Choose a step listed below to review your provided information.

**Applicant Information** 

License Selection

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VIEW/EDIT

VIEW/EDIT

VIEW/EDIT

VIEW/EDIT

Once you are confident that the application is recertify button below.

Certify button below.

Check for enission, clipped before errors and submitting 🛰ાંડ્ડાંon, click on the "Continue To

NTINUE TO CERTIFY >

# Steps

Applicant Information

License Selection

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Summary

Certify & Submit

# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What does the Check Errors button do?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

Summary







# Certify & Submit

## Former Default, Delinguency Statement

By indicating which statement applies and by submitting the application, applicants make the applicable statement under penalty of perjury. An applicant making the second statement must submit an upfront payment equal to 50 percent more than otherwise would be required.

- The applicant, its affiliates, its controlling interests, and the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have never been in default on any Commission license and have never been delinquent on any non-tax debt owed to any Federal agency.
- One or more of the applicant, its affiliates, its controlling interests, or the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have been in default on a Commission license or have been delinquent on a non-tax debt owed to a Federal agency.

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## Steps

Applicant Information

License Selection

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Certify & Submit

## Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Why must one of the options be selected?

What if I don't know which of the two options is correct?

What if there is no former default or delinquency, but there is a current default or delinquency?

What is an Affiliate?



# Defaults – Delinquencies Red Lights

- Applicants must certify whether they are currently in default on any Commission license and currently delinquent on any non-tax debt owed to any Federal agency in order to be eligible to participate in the auction. For these purposes, "applicant" includes the applicant's controlling interests and affiliates (of both the applicant and controlling interests).
- Applicants are well advised to resolve any concerns regarding outstanding "red lights" for any relevant party prior to filing the Form 175. An applicant will be able to submit Form 175 to the Commission, notwithstanding a "red light" but the application may not be "accepted" at a later stage.
- Finally, applicants should remember that the "red light" database concerns only current delinquencies with the Commission. Auction rules are concerned with both current and former delinquencies on non-tax debt owed to any Federal agency. See 47 C.F.R. Section 1.2105(a)(2)(x) and (xi). Applicants should not rely on a "green light" as a guarantee that they have no concerns regarding delinquencies, current or former, on non-tax debt owed to any Federal agency.



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

Summary









# Certify & Submit

## Former Default, Delinguency Statement

By indicating which statement applies and by submitting the application, applicants make the applicable statement under penalty of perjury. An applicant making the second statement must submit an upfront payment equal to 50 percent more than otherwise would be required.

- The applicant, its affiliates, its controlling interests, and the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have never been in default on any Commission license and have never been delinquent on any non-tax debt owed to any Federal agency.
- One or more of the applicant, its affiliates, its controlling interests, or the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have been in default on a Commission license or have been delinquent on a non-tax debt owed to a Federal agency.

◆ BACK



## Steps

Applicant Information

License Selection

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Certify & Submit

## Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Why must one of the options be selected?

What if I don't know which of the two options is correct?

What if there is no former default or delinquency, but there is a current default or delinquency?

What is an Affiliate?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

## Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Not Submitted

Summary

🃤 Attachments 🕒 Print Preview 🔹 Help 🗵 Quit

## Certify & Submit

#### **Certify Auction Application**

By clicking the "Submit" button below, I certify the following:

(1) that the applicant is legally, technically, financially, and otherwise qualified pursuant to 308(b) of the Communications Act and the Commission's Rules and is in compliance with the foreign ownership provisions contained in Section 310 of the Communications Act.

(2) that the applicant is the real party in interest in this application and that there are no agreements or understandings other than those specified in this application, which provide that someone other than the applicant shall have an interest in the license.

(3) that the applicant is aware that, if upon Commission inspection, this application is shown to be defective, the application may be dismissed without further consideration, and certain fees forfeited. Other penalties may also apply.

(4) except as explicitly provided in the Commission's Rules, that the applicant has not entered into and will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in this application regarding the amount to be bid, bidding strategies or the particular license on which the applicant or other parties will or will not bid.

(5) that the applicant, or any party to this application, is not subject to a denial of federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988.

(6) that if a bidding credit eligibility is claimed, the applicant is eligible for any special provisions set forth in the Commission's Rules applicable to this auction and consents to audits, as set forth in the Commission's Rules, to verify such status.

(7) that the applicant is and will, during the pendency of its application(s), remain in compliance with any service specific qualifications applicable to the licenses on which the applicant intends to bid including, but not limited to, financial qualifications.

(8) that the applicant is not in default on any payment for Commission licenses and that it is not delinquent on any non-tax debt owed to any federal agency.

I declare, under penalties of perjury, that I am an authorized representative of the above named applicant for the license and/or licenses specified above, that I have read the instructions and the foregoing certification and all matters and things stated in this application, its schedules and attachments, including exhibits, are true and correct.

#### Signature

**◆** BACK

Person Certifying:	First Imma	MI	Last Bidder	Suffix
Signature:	Imma Bidder			
Title:	President			

SUBMIT

#### Steps

Applicant Information License Selection Agreements Ownership Summary

#### Certify & Submit

#### **Common Questions**

What if I certify and any of the certifications are false?

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Is it necessary to read all of these certifications?

Who is authorized to certify?

After I fill out my application electronically, is it automatically submitted to the FCC?

Can I change information after I submit Form 175?

After I submit my Form 175 once, are any later changes automatically submitted to the FCC?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Submitted

Summary

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Pelp Quit

# **Certify & Submit**

## Auction Application Submit Confirmation

Your Auction Application has been received on 4/13/2006 at 12:33 PM. Your file number is 0001685182. Please print your application for your records.

AUCTION APPLICATION MANAGER

- ▶ Upfront Payment Calculator/Form 159
- Wire Transfer for Refund Purposes

## Steps

Applicant Mormation

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Certify & Submit

## Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is Form 159 and how do I fill it out?

How can I get a copy of the information I submitted?

Must I go to the Upfront Calculator and Form 159 immediately?

Do I have to submit an upfront payment to cover all the licenses I selected on my Form 175?

How will I know the status of my Form 175?

When can I see the Form 175 of other applicants for the auction?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Description

# **Auction 101**

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Submitted

# Summary







# **Attachments**

Type

Add Attachment			
Type:	Other		
File:	C:\Desktop\test attachment.doc	Browse	
Description:	Revenue Justification		
* An Attachment may n	ot be greater than 10MB.		
RETURN TO APPLICATION	ON		ADD ATTACHMENT
<b>Current Attachments</b>	;		

**Upload Date** 

## Steps

Applicant Information

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Certify & Submit

# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What attachments are required?

What are the "Types" of Attachments?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (<u>Log Out</u>)

# **Auction 101**

Applicant: Bidder One Corporation
Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)
Status: Submitted

1 Your changes have been saved but NOT
SUBMITTED. In order for your changes to take
effect, you must Certify & Submit your application.

# Sample SMR Auction

# **Attachments**

✓ The attachment was uploaded successfully.

## Add Attachment

Type:

Description:

File:

\* An Attachment may not be greater than 10MB.

## RETURN TO APPLICATION

ADD ATTACHMENT

Summary

Attachments

# Current Attachments Type Description Upload Date Other Revenue Justification (Not Yet Converted) 4/13/2006, 12:36 PM Remove -

Browse...

## Steps

🖺 Print Preview

Applicant Information

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# **Common Questions**

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What attachments are required?

What are the "Types" of Attachments?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Applicant: Bidder One Corporation Initial Filing Closes: 12 / 11 / 2006 6:00 PM (ET)

Status: Submitted

Auction 101

Summary









# **Print Preview**

Sample SMR Auction

# **Print Application**

Print the data you have added to the Auction Application by selecting from the list below. Click "Continue" to open a printable page.

- Applicant Information
- License Selection
- Agreements
- Ownership
- Certify & Submit
- Attachment List

SELECT ALL

**◆** BACK



# **Print Attachments**

Click on a link below to open a PDF to print.

Other - Revenue Justification

# Steps

Applicant Information

License Selection

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Summary

Certify & Submit

File Number: 0001685182
Status: Submitted
Date Received: 4/13/2006, 12:38 PM

# **Applicant Information**

## **Applicant Name**

Entity Name: Bidder One Corporation

Jurisdiction of Formation: DC

#### **Applicant Legal Classification and Status**

Legal Classification: Corporation
Applicant Status:

## **Applicant Address**

 Address Line 1:
 123 Auction Street

 Address Line 2:
 Washington

 City:
 DC

#### Responsible Party

Zip Code:

Name: Imma Bidder
Title: President
Telephone Number: (202) 418-9999 Ext. 0660
Email Address: Imma.Bidder@fcc.gov

20554

#### **Contact Information**

Name:
Telephone Number:
(202) 418-9999 Ext. 0660
Fax Number:
(202) 418-8888
Email Address:
Imma.Bidder@fcc.gov
Address Line 1:
Address Line 2:

 City:
 Washington

 State:
 DC

 Zip Code:
 20554

#### **Authorized Bidders**

Bidder 1: Imma Bidder
Bidder 2: Shesa Bidder
Bidder 3: Hesa Bidder
Bidding Option: Electronic

#### **Bidding Credit Eligibility**

Seeking/Not Seeking:SeekingGross Revenues:Between \$ 0.00 and \$ 15.00

FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)



# **Auction Application Manager**

Create or Review Auction Applications

Approved by OMB 3060-0600 (Notice to Applicants)

# **Create New Application**

No new auctions

# **Review or Modify Existing Applications**

Auction	Applicant Name	April ación Status	Last Modified	Last Submitted	
65 Initial Filing Win	Bidder Ope Corporation Licosed 4/3/2006, 6:00 PM	Submitted	3/8/2006, 3:40 PM	3/7/2006, 4:00	627
101 Initial Filing Win	Bidder One Corporation dow Closes 12/11/2006 , 6:00 PM	Submitted	4/13/2006, 12:36 PM	4/13/2006, 12:38 PM	\$ <b>2 4</b>
185 Initial Filing Win	Bidder One Corporation dow Closed 4/10/2006, 4:31 PM	Not Submitted	4/3/2006, 11:46 AM		
\$ - Upfront Pa	yment Calculator and Form 159	🔁 - Refund Form 📝 - I	Edit Application 🏻 📳 - Withdraw Appl	lication 🍳 - Search	

## Documentation

Auction: 3 - PCS Narrowband Region





# Auction Application Manager Upfront Payment Calculator

#### **Calculating Your Upfront Payment**

Applicants are solely responsible for determining the amount of their upfront payment. In most FCC auctions, the amount of the upfront payment determines initial bidding eligibility. In calculating an upfront payment amount, an applicant should determine the maximum number of bidding units on which it may wish to be active (bid on or hold provisionally winning bids on) in any given round, and submit an upfront payment amount covering that number of bidding units. The upfront payment calculator may assist applicants in determining an amount. However, regardless of whether they use the upfront payment calculator, applicants should check their calculations carefully, as there is no provision for increasing a bidder's eligibility after the upfront navment deadline.

The following table lists all available licenses based on selections in your submitted FCC Form 175. The upfront payment calculator will help you to determine the appropriate upfront payment amount to submit with your Form 159. Use of the upfront payment calculator is always optional; you can type another amount in the Total Upfront Payment field or Total Bidding Units field, if desired. Please refer to the <u>Auction No. 101 Procedures</u> public notice for a complete explanation of upfront payments and bidding eligibility.

Eligibility is not license specific. You do not have to make an upfront payment to cover all licenses for which you have applied on FCC Form 175, but rather to cover the maximum number of bidding units that are associated with licenses on which you wish to place bids and hold provisionally winning bids in any single round. In order to calculate a total upfront payment, an applicant should add together the bidding units for all licenses on which it seeks to be active in any given round, and submit an upfront payment amount covering that number of bidding units. Please refer to the Auction No. 101 Procedures public notice for further explanation.

To use the Upfront Payment Calculator, do the following:

- 1. Select all licenses on which you seek to be active in any given round. The calculator will automatically calculate the total bidding units (maximum eligibility) and upfront payment amount for those licenses.
- 2. To save the total upfront payment amount and carry it through to Form 159, click the "Carry to 159" button.

Please note that if you selected 1,000 or more licenses, the calculator will not display the list or keep a running total of bidding units. If you have selected 1,000 or more licenses, simply type in the total number of bidding units for which you want to establish your maximum eligibility. The calculator will then automatically calculate the corresponding upfront payment amount.

#### About the Former Defaulter's Calculation

The upfront payment amount required for former defaulters may be higher than that for applicants who have never been in default. The upfront payment calculator will adjust the upfront payment amount accordingly. Refer to the Procedures PN for more information.

#### **Available Licenses**

Select ( <u>All</u>   <u>Clear</u> )	License Number	Location	Bidding Units	Upfront Payments
	SV-BEACC1-C	Area 1	500	\$500
	SV-BEACC1-D	Area 1	1,000	\$1,000
	SV-BEA002-C	Area 2	500	\$500
	SV-BEA002-D	Area 2	1,000	\$1,000
	SV-CMAOO1-E	Statewide 1	100	\$100
	SV-CMA001-F	Statewide 1	50	\$50
	SV-CMAOO2-E	Statewide 2	300	\$300
	SV-CMADD2-F	Statewide 2	600	\$600
	SV-REAGOO1-A	Region 1	1,000	\$1,000
	SV-REAGOO1-B	Region 1	2,000	\$2,000
	SV-REAGOO2-A	Region 2	1,000	\$1,000
	SV-REAGOO2-B	Region 2	2,000	\$2,000
			Total Bidding Units	Total Upfront Payment
				\$
				CARRY TO 159 CLOSE WINDO

## **Auction Application Manager** Upfront Payment Calculator

#### **Calculating Your Upfront Payment**

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#### **Available Licenses**

Select ( <u>All</u>   <u>Clear</u> )	License Number	Location	Bidding Units	Upfront Payments
	SV-BEADO1-C	Area 1	500	\$500
	SV-BEA001-D	Area 1	1,000	\$1,000
	SV-BEA002-C	Area 2	500	\$500
	SV-BEA002-D	Area 2	1,000	\$1,000
	SV-CMAOO1-E	Statewide 1	100	\$100
	SV-CMAOO1-F	Statewide 1	50	\$50
	SV-CMAOO2-E	Statewide 2	300	\$300
	SV-CMAOO2-F	Statewide 2	600	\$600
~	SV-REAGOO1-A	Region 1	1,000	\$1,000
~	SV-REAGOO1-B	Region 1	2,000	\$2,000
	SV-REAGOO2-A	Region 2	1,000	\$1,000
	SV-REAGOO2-B	Region 2	2,000	\$2,000
			Total Bidding Units	Total Upfront Payment
			3,000	\$ 3,000
				CARRY TO 159 CLOSE WINDOW



# **Electronic Form 159**

For many filings the Applicant is the Payer. However, if the Payer is a third party, the Payer's FRN will be different from that of the Applicant. Enter the Payer FRN and Password below. If the Payer does not have a valid FRN, you must register online in the FRN Registration System and return to Form 159 to complete payment process.

Please enter your FCC Registration Number (FRN) and Password to continue.

Login				
Payer's FRN:				
Password:				
CONTINUE				

Note: Payer information will be pre-filled from the FRN Registration System database.

To use this Form 159 you must have the Adobe Reader® installed (Version 4.0 or higher). You may <u>download</u> and install the reader now, or learn <u>more</u> from Adobe.



If you have forgotten your FRN or password, call the FCC help line at (877) 480-3201; TTY (202) 414-1255 for assistance.

Customer Service				
FCC Fees FCC Web Policies FCC Home Page				
If you have any questions or concerns please contact your licensing system help desk.				