

Form 175 and Overview of Radio Service and Auction Rules

Broadband PCS Auction

(Auction No. 71)

Lisa Stover, Auctions Marketing Specialist, ASAD Stephen Johnson, Attorney, ASAD



Disclaimer

Nothing herein is intended to supersede any provision of the Commission's rules or public notices. These slides should not be used as a substitute for a prospective applicant's review of the Commission's relevant orders, rules, and public notices. Prospective applicants must familiarize themselves thoroughly and remain current with the Commission's rules relating to the Broadband Personal Communications Service, rules relating to application and auction procedures, and the procedures, terms and conditions contained in the Auction No. 71 public notices.





Questions?

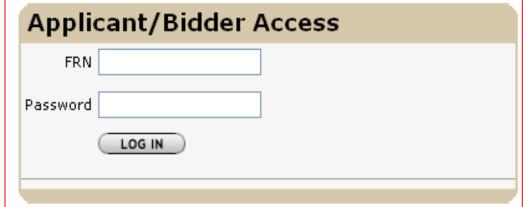
If you are viewing this presentation live over the web, please feel free to email your questions to...

auction71@fcc.gov





Integrated Spectrum Auction System



Public Access	
▶ <u>Auction Applications</u>	
Auction Information (select an auction)	
▶ <u>ULS License Search</u>	
FCC Auctions Home	

Log In Information

The Applicant/Bidder Access section is password protected. Please type your FCC Registration Number (FRN) and its associated password to access the Auction Application or Bidding system. An FRN/password is not required for the Public Access section of the system.

- Forgot your Password?
 FRN hotline information
- Need an FRN?
 Register with the FCC
- Not sure if you have an FRN?

 Search the FCC Registration System

 database to determine if you have been assigned an FRN.



Integrated Spectrum Auction System



GO

Public Access

- Auction Applications
- Auction Information (select an auction)
- ▶ <u>ULS License Search</u>
- ▶ FCC Auctions Home

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 database to determine if you have been assigned an FRN.

My Auctions Page

Logged In: FRN 0010845105 (Log Out)

GO

Pre-Auction

- File new or modify an existing auction application Enter Auction Application Manager
- How do I request a refund of my upfront payment? See Wire Transfer for Refund Purposes

Online Bidding

There are no LIVE auctions available.

There are no MOCK auctions available.

Post-Auction

- How do I make my downpayment or final payment? Making a downpayment or final payment See FCC Form 159
- Where do I file my license application? See Universal Licensing System
- How do I request a refund of my upfront payment? See Wire Transfer for Refund Purposes

Public Access

- Auction Applications
- Auction Information (select an auction)
- <u>ULS License Search</u>
- ▶ FCC Auctions Home



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)



Auction Application Manager

Create or Review Auction Applications

Approved by OMB 3060-0600 (Notice to Applicants)

Create New Application

Auction 101 Sample SMR Auction -- Initial Filing Window closes 3/16/2007, 6:00 PM

Review or Modify Existing Applications

Auction	Applicant Name	Application Status	Last Modified	Last Submitted	
65 Initial Filing Wind	Bidder One Corporation ow Closed 4/3/2006, 6:00 PM	Submitted	3/8/2006, 3:40 PM	3/7/2006, 4:00 PM	\$ 2 2
185 Initial Filing Windo	Bidder One Corporation ow Closed 4/10/2006, 4:31 PM	Not Submitted	4/3/2006, 11:46 AM		
\$ - Upfront Pay	ment Calculator and Form 159	🔁 - Refund Form 📝	- Edit Application 🌘 - Withdraw Ap	plication 🝳 - Search	

Documentation

Auction:

3 - PCS Narrowband Region





FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant:

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary

Attachments

Print Preview 2 Help X Quit

Applicant Information

Applicant information identifies the applicant, its responsible parties (where applicable), its auction contact party, and its bidding eligibility. The contact person will receive all auction correspondence. The applicant name will be used as the bidder name during the auction.

Applicant Legal Classification

ΓO	datarmina the	annlicant information	required by the FCC please	provide vour L	anal Classification
ıu	uecemme me	: applicant information	reuulreu ov trie rcc blease.	Drovide vour Li	suai Ciassilicacioni

- Consortium
- Corporation
- General Partnership
- Government Entity
- Individual
- Limited Liability Company
- Limited Liability Partnership
- Limited Partnership
- Trust
- Unincorporated Association
- Other:

	escription)	of	Legal	Classification
--	-------------	----	-------	----------------

A	nnlicant	Status	(not	required)	ì
-	ppncanc	Julia	(mor	required,	ı

What is your status? Check all that apply.

- Minority Owned Business
- Rural Telephone Company
- Woman Owned Business

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What if my Legal

Classification is not listed?

Why is the FCC requesting an Applicant Status?

What does the Quit link do?

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FCC > Wireless > Auctions > Auction Application

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Auction 101

Sample SMR Auction

Applicant:

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Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

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Applicant Information

Applicant information identifies the applicant, its responsible parties (where applicable), its auction contact party, and its bidding eligibility. The contact person will receive all auction correspondence. The applicant name will be used as the bidder name during the auction.

Applicant Legal Classification

To determine the	applicant information	required by the ECC	· nleace provide vour	Logal Classification

- Consortium
- Corporation
- General Partnership
- Government Entity
- Individual
- Limited Liability Company
- Limited Liability Partnership
- Limited Partnership
- Trust
- Unincorporated Association

Other	

Description of Legal Classification

α,	pplicant	Status	(not	rec	mired	١
	ppnicani	Status	ιποι	164	լաու Ես	J

What is your status? Check all that apply.

- Minority Owned Business
- Rural Telephone Company
- Woman Owned Business

A legal Classification must be selected in order to proceed

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What if my Legal

Classification is not listed?

Why is the FCC requesting an Applicant Status?

What does the Quit link do?



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Auction 101

Sample SMR Auction

Applicant:

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary

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Applicant Information

Applicant Name

Please provide the following information. The name entered will be used as the Bidder Name during the auction.

Entity Name:

Bidder One Corporation

Jurisdiction of Formation:

District of Columbia

Applicant Address (PO Boxes are not permitted)

Click here if address is located outside the US.

Address Line 1:

123 Auction Street

Address Line 2:

City:

Washington

State:

District of Columbia



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When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a Jurisdiction of Formation?

Why are P.O. boxes not permitted?

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Bidder

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Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary

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Applicant Information

Responsible Party - Corporation

Identify an individual as the responsible party for the Corporation

Name: Imma

Officer or Director

Title: President

Area

First

Ext.

Telephone Number: 418 - 9999 202 0660

Email Address: Imma.Bidder@fcc.gov

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Do I have to fill in the Telephone Number and Email Address for our responsible party?

Who is considered to be a Responsible Party?



FCC > Wireless > Auctions > Auction Application

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summarγ







Applicant Information

Contact Information					
Identify an official contact	t to receive all auction co	orrespondenc	œ.		
Name:	First MI	I Last Bidder		Suffix	
Telephone Number:	Area Ex 202 418 - 9999 06	kt. 360			
Fax Number:	Area 202 418 - 8888				
Email Address:	lmma.Bidder@fcc.gov				
Contact Address (PO Box Click here if address is COPY APPLICANT ADDRESS	located outside the US.	Copy A	ddress If s Applican	t	
Address Line 1:					
Address Line 2:					
City:					
State:		~			
Zip Code:					
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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is the contact information used for?

Our official contact would rather communicate via phone or fax. Do I need to enter their email address?

Why are P.O. boxes not permitted?



FCC > Wireless > Auctions > Auction Application

Last

Bidder

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary

Suffix







Applicant Information

Contact Information

Identify an official contact to receive all auction correspondence.

Telephone Number:

Area

202 418 - 9999 0660

Area

First

Imma

Fax Number:

Email Address:

Name:

202 418 - 8888

lmma.Bidder@fcc.gov

Contact Address (PO Boxes are not permitted)

Click here if address is located outside the US.

COPY APPLICANT ADDRESS

Address Line 1:

123 Auction Street

Washington

Address Line 2:

City:

State:

District of Columbia

Zip Code:

20554



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When is my data saved during the data entry process?

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Our official contact would rather communicate via phone or fax. Do I need to enter their email address?

Why are P.O. boxes not permitted?





FCC > Wireless > Auctions > Auction Application

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

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Applicant Information

Authorized Bidders

Identify at least one but no more than three authorized bidders. Only individuals listed here will be authorized to bid on behalf of the applicant. The authorized bidders may be changed during the auction.

	First	MI	Last	Suffix
1.	lmma		Bidder	
2.	Shesa		Bidder	
3.	Hesa		Bidder	

Telephonic or Electronic Bidding Options

Qualified bidders are permitted to bid electronically or telephonically. Select the option you anticipate using as your primary means of placing bids in the auction.

Electronic

Telephonic

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Sample SMR Auction

Applicant: Bidder One Corporation Initial Filing Closes: 03/16/2007 6:00 PM (ET) Status: Not Submitted

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Applicant Information

Bidding Credit Eligibility

This is the sole opportunity to apply for a bidding credit. There will be no opportunity to apply or modify this information after the Initial Filing Window closes.

Applicant does not seek a bidding credit

Applicant hereby applies for a bidding credit and states that aggregate average gross revenues attributable to the applicant:

Do not exceed \$15,000,000

Exceed \$15,000,000 and do not exceed \$40,000,000

Closed Bidding Entrepreneur Eligibility

This is the sole opportunity to apply for closed bidding entrepreneur eligibility. There will be no opportunity to apply or modify this information after the Initial Filing Window closes.

Not applying to participate in closed bidding entrepreneur eligibility

Applying to participate in closed bidding entrepreneur eligibility

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a bidding credit?

What are aggregate average gross revenues?

What makes aggregate average gross revenues attributable to the applicant?

How are addredate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by <u>vear?</u>

When do I apply for the tribal land bidding credit?

What does it mean that bidding on certain C Block licenses is "closed?"

Which applicants may bid on the C Block licenses that are "closed?"

How do you define "total assets?"

How do you define gross revenues?

What must an applicant show to support a claim of eligibility for closed bidding?

Does the term "aggregate" gross revenue of an applicant bidding for a closed license differ from "aggregate" gross revenues in determining eligibility for bidding credits on "open" licenses?

Are small business bidding credits available for C Block licenses won in "closed" bidding?

Are small business bidding credits available for licenses in the A, D, or E Blocks?



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Sample SMR Auction

Applicant: Bidder One Corporation

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🗷 Quit

License Selection



Р.	ъ.	т.	~	1	

	Select	License Name	License Description	Bidding Units
1		SV-BEA001-C	Area 1	500
2		SV-BEA001-D	Area 1	1,000
3		SV-BEA002-C	Area 2	500
4		SV-BEA002-D	Area 2	1,000
5		SV-CMA001-E	Statewide 1	100
6		SV-CMA001-F	Statewide 1	50
7		SV-CMA002-E	Statewide 2	300
8		SV-CMA002-F	Statewide 2	600
9		SV-REAG001-A	Region 1	1,000
10		SV-REAG001-B	Region 1	2,000
11		SV-REAG002-A	Region 2	1,000
12		SV-REAG002-B	Region 2	2,000
			Page: 1	

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What is the difference between a warning and an error?

Can I later change my license selections?

Do I have to bid on all the licenses I select?

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Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

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License Selection



Items Per Page: <u>10</u> | **20** | <u>50</u> | <u>100</u>

Page: 1							
	☐ Select	License Name	License Description	Bidding Units			
1	✓ saved	SV-BEA001-C	Area 1	500			
2	✓ saved	SV-BEA001-D	Area 1	1,000			
3	✓ saved	SV-BEA002-C	Area 2	500			
4	✓ saved	SV-BEA002-D	Area 2	1,000			
5	✓ saved	SV-CMA001-E	Statewide 1	100			
6	✓ saved	SV-CMA001-F	Statewide 1	50			
7	✓ saved	SV-CMA002-E	Statewide 2	300			
8	✓ saved	SV-CMA002-F	Statewide 2	600			
9	✓ saved	SV-REAG001-A	Region 1	1,000			
10	✓ saved	SV-REAG001-B	Region 1	2,000			
11	✓ saved	SV-REAG002-A	Region 2	1,000			
12	✓ saved	SV-REAG002-B	Region 2	2,000			
			Page: 1				

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Sample SMR Auction

Applicant: Bidder One Corporation

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Status: Not Submitted

Summary

Change Item Selection - 📝

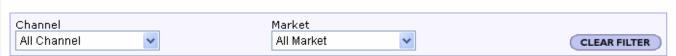
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License Selection

View/Edit Saved Licenses

12 Saved Licenses out of 12 Available Licenses



Items Per Page: 10 | 20 | 50 | 100

Page: 1

	License Name	License Description	Bidding Units
1	SV-BEA001-C	Area 1	500
2	SV-BEA001-D	Area 1	1,000
3	SV-BEA002-C	Area 2	500
4	SV-BEA002-D	Area 2	1,000
5	SV-CMA001-E	Statewide 1	100
6	SV-CMA001-F	Statewide 1	50
7	SV-CMA002-E	Statewide 2	300
8	SV-CMA002-F	Statewide 2	600
9	SV-REAG001-A	Region 1	1,000
10	SV-REAG001-B	Region 1	2,000
11	SV-REAG002-A	Region 2	1,000
12	SV-REAG002-B	Region 2	2,000
		Page: 1	

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary







🗵 Quit

Agreements

Agreements with Other Parties and Joint Bidding Arrangements

Has the applicant entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure?

O Yes O No



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Applicant Information License Selection

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Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is the specific rule governing agreements, arrangements, and the like?



Agreements with Other Parties and Joint Bidding Arrangements

- Anti-Collusion Rule: Unless properly disclosed, communications concerning bids, bidding strategies, or settlement agreements (including post-auction market structures) are prohibited among applicants that bid for licenses in any of the same or overlapping geographic areas (Section 1.2105(c)).
- Information on applicants' license selections will not be made public at least until the April 20, 2007 upfront payment deadline has passed and the Commission determines the information procedures that will be used for the auction. The Commission will inform applicants by letter of the other applicants that have applied for licenses in any of the same geographic areas.
- To be in compliance, applicants must certify under penalty of perjury that they have not entered and will not enter into any explicit or implicit agreements, arrangements or understandings of any kind with any parties other than those they have identified regarding the amount of their bids, bidding strategies or particular licenses on which they will or will not bid.



Agreements with Other Parties and Joint Bidding Arrangements Continued

- Prohibition period is between the short-form deadline March 16, 2007 at 6:00 p.m. and the down payment deadline which will be specified in a future public notice. (Section 1.2105(c)(1)).
- The rule prohibits an auction applicant from discussing a competing applicant's bids or bidding strategies even if the first applicant does not discuss its own bids or bidding strategies.
- The rule requires auction applicants that make or receive a prohibited communication of bids or bidding strategies to report the communication immediately to the Commission in writing. (Section 1.2105(c)(6)).



Agreements with Other Parties and Joint Bidding Arrangements Continued

- Applicants must identify all parties with whom they have entered into agreements, arrangements, or understandings of any kind relating to the licenses being auctioned, including post-auction market structure. (Section 1.2105(a)(2)(viii)). Any applicant that submits an auction application to participate in Auction No. 71 regardless of whether an upfront payment is submitted or a bid is made, remains subject to the Commission's anti-collusion rule until the post-auction down payment deadline.
- For the anti-collusion rule, "applicant" includes controlling interests, ownership interests, and officers and directors. (Section 1.2105(c)(7)(i)).



FCC > Wireless > Auctions > Auction Application

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

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Agreements

Agreements with Other Parties and Joint Bidding Arrangements

Has the applicant entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure?

Yes No

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FCC > Wireless > Auctions > Auction Application

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Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary

FINISH THIS AGREEMENT

ADD MORE PARTIES

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Agreements

Create New Agreement

Identify all parties with whom Applicant has entered into partnerships, joint ventures, consortia or other agreements, arrangements or understandings of any kind relating to the licenses being auctioned, including any such agreements relating to the post-auction market structure.

Provide an identifying name for your agreement.

Aareement Identifier:	Agreement 1

Add Parties

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Add up to three parties below. You may add more parties later.

Darby to Agreement								
Party to Agreement								
Entity Name:	Party 1 Company							
FRN:	000123456 (Search FRN)							
Individual Name:	First MI Last Suffix							
FRN:	(Search FRN)	RESET						
Party to Agreement								
Entity Name:	Party 2 Company							
FRN:	(Search FRN)							
Individual Name:	First MI Last Suffix							
FRN:	(Search FRN)	RESET						
Party to Agreement								
Entity Name:								
FRN:	(Search FRN)							
Individual Name:	First MI Last Suffix							
FRN:	(Search FRN)	RESET						

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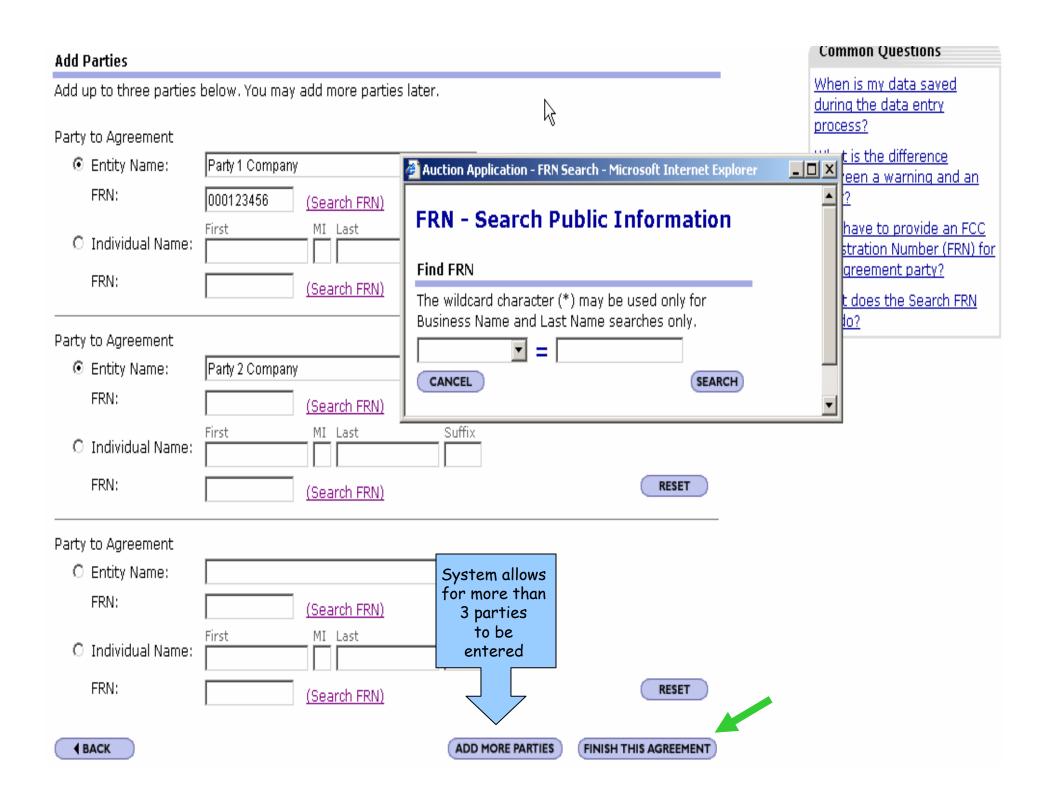
Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

<u>Do I have to provide an FCC</u> <u>Registration Number (FRN) for</u> <u>my agreement party?</u>

What does the Search FRN link do?





FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary

▶ Delete This Agreement

CREATE NEW AGREEMENT

Remove - 🕅

CONTINUE

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Agreements

View/Edit Agreements

Agreements with Other Parties and Joint Bidding Arrangements

Agreements? Yes

You currently have 1 agreement

Agreement: Agreement 1 2 parties in this agreement

Party: Party 1 Company

Party: Party 2 Company

▶ Add Party To This Agreement

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Logged In: FRN 0010845105 (<u>Log Out</u>)

Auction 101

Sample SMR Auction

Summary

Status: Not Submitted

Initial Filing Closes: 03/16/2007 6:00 PM (ET) 📤 Attachments 🕒 Print Preview 🔹 Help 🗵 Quit

Applicant: Bidder One Corporation

Ownership View/Edit Ownership Disclosure Information

Revenue and Asset Summary

Aggregate Average Gross Revenue:

Addredate Gross Revenue:

Most recent reportable year:

One year prior to most recent reportable year:

Two years prior to most recent reportable year:

Aggregate Total Assets:

Revenue Information for Applicant

Add Disclosable Interest Holder to Applicant

Add FCC Regulated Business to Applicant

Add Affiliate to Applicant

▶ View all FCC Regulated Businesses of the Applicant View all Affiliates of the Applicant

Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

■ Disclosable Interest Holder: <u>DIH Company</u>

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add Revenue Information to this Disclosable Interest Holder

▶ Add FCC Regulated Business to this Disclosable Interest Holder

DELETE SELECTED

▶ Top

FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: FRB Corporation

DELETE SELECTED

▶ Top

Affiliates of this Applicant

You currently have specified 1 Affiliate(s)

- Affiliate: Affiliate One LLC
- ▶ Add Revenue Information to this Affiliate

DELETE SELECTED

▶ Top

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Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?

Which applicants may bid on the C Block licenses that are "closed?"

How do you define "total assets?'

How do you define gross revenues?

What must an applicant show to support a claim of eligibility for closed bidding?

Does the term "aggregate" gross revenue of an applicant bidding for a closed license differ from "aggregate" gross revenues in determining eligibility for bidding credits on "open" licenses?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Attachments

Summary

Ownership Detail for Disclosable Interest Holder: DIH Company

You have submitted the following information for Disclosable Interest Holder DIH Company, Please review and edit the information as necessary. Once you are confident that the information is correct, click the View/Edit button below to view a complete summary of your Ownership Disclosure information.

Disclosable Interest Holder Name and Type

Disclosable Interest Holder Name: DIH Company Disclosable Interest Holder Type: Entity Entity Type: Corporation FRN:

Disclosable Interest Holder Address

Address Line 1: 321 Somewhere Ave Address Line 2:

City: Washington State: DC Zip Code: 20554

Type of Interest in Applicant

Direct Ownership Interest in Applicant

Type of Ownership Interest in Applicant

Common Stock: Voting

Disclosable Interest Held in Applicant

Percent of Interest Held in Applicant: 30.00 % Jurisdiction of Formation: **District of Columbia**

Gross Revenues Attributable to Applicant

Most Recent Reportable Year: One Year Prior to Most Recent Reportable Year:

Two Years Prior to Most Recent Reportable Year:

Average Gross Revenues:

Total assets as of application filing deadline:

Financial Statements

Option not selected.

Gross Revenues for

🖴 <u>Print Preview</u> Steps

Applicant Information License Selection Agreements

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Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

















Year End Date:



Logged In: FRN 0010845105 (<u>Log Out</u>)

Auction 101

Sample SMR Auction

Summary

Status: Not Submitted

Initial Filing Closes: 03/16/2007 6:00 PM (ET) 📤 Attachments 🕒 Print Preview 🔹 Help 🗵 Quit

Applicant: Bidder One Corporation

Ownership View/Edit Ownership Disclosure Information

Revenue and Asset Summary

Aggregate Average Gross Revenue:

Addredate Gross Revenue:

Most recent reportable year:

One year prior to most recent reportable year:

Two years prior to most recent reportable year:

Aggregate Total Assets:

Revenue Information for Applicant

Add Disclosable Interest Holder to Applican

▶ Add FCC Regulated Business to Applicant

Add Affiliate to Applicant

▶ View all FCC Regulated Businesses of the Applicant ▶ View all Affiliates of the Applicant

Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

Disclosable Interest Holder: DIH Company

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add Revenue Information to this Disclosable Interest Holder

Add FCC Regulated Business to this Disclosable Interest Holder

DELETE SELECTED

▶ Top

FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: FRB Corporation

DELETE SELECTED

▶ Top

Affiliates of this Applicant

You currently have specified 1 Affiliate(s)

☐ Affiliate: <u>Affiliate One LLC</u>

▶ Add Revenue Information to this Affiliate

DELETE SELECTED

▶ Top

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Applicant Information License Selection

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Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?

Which applicants may bid on the C Block licenses that are "closed?"

How do you define "total assets?'

How do you define gross revenues?

What must an applicant show to support a claim of eligibility for closed bidding?

Does the term "aggregate" gross revenue of an applicant bidding for a closed license differ from "aggregate" gross revenues in determining eligibility for bidding credits on "open" licenses?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

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Ownership

Edit Revenue Information for Applicant

Gross Revenue Disclosure

Declare the three previous years' gross revenues below.

If the Applicant was in existence and had no gross revenues for a year of the relevant period, disclose Gross Revenues as \$0 for that year. If the Applicant was not in existence for a year of the relevant period. disclose gross revenues for the Applicant's predecessor-in-interest, if any.

If Applicant is not disclosing gross revenues for the most recent three years, and/or is disclosing gross revenues for fewer than three years, please explain why in an attachment.

Most Recent Reportable Year

The Applicant and an	y predecessors-in-	interest were	not in exist	tence for a f	full year o	f the	relevant
period.							

Year End Date:	12/31/2005	(Date Format	:: MM/DD/\\\\
----------------	------------	--------------	---------------

Gross Revenues: \$ 6000000.00 (Format: 99999.99)

One Year Prior to Most Recent Reportable Year

The Applicant -	and any i	oredecessor	s-in-interest	were not	in existence	for a ful	ll year of	the	relevant
period.									

Year End Date: 12/31/2004 (Date Format: MM/DD/YYYY)

Gross Revenues: \$ |3000000.00 (Format: 99999.99)

Two Years Prior to Most Recent Reportable Year

The Applicant and any predecessors-in-interest were not in existence for a full year of the relevant period.

Year End Date: 12/31/2003 (Date Format: MM/DD/YYYY)

Gross Revenues: \$ 0.00 (Format: 99999.99)

Asset Disclosure

Total assets as of application filing deadline: \$ 8500000.00 (Format: 99999.99)







RETURN TO DETAIL

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Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an

Can I enter a negative number for gross revenues?

Why must the Year End Date for each year be exactly one year (12 months) prior to the Year End Date for the following year?

How do you define "total assets?"

How do you define gross revenues?

What must an applicant show to support a claim of eligibility for closed bidding?

Does the term "aggregate" gross revenue of an applicant bidding for a closed license differ from "aggregate" gross revenues in determining eligibility for bidding credits on "open" licenses?



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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary









Ownership

Add Revenue Information to Applicant

Financial Statements

You **must** select one.

- Applicant used audited financial statements.
- Applicant used unaudited financial statements prepared in accordance with Generally Accepted Accounting Principles and certified by Applicant's chief financial officer or the equivalent.

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Why must I choose one of these options?

What if neither choice applies?

FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (<u>Log Out</u>)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation
Initial Filing Closes: 03/16/2007 6:00 PM (ET)
Status: Not Submitted

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Ownership

View/Edit Ownership Disclosure Information

Reverue and Asset Summary

Aggregate Average Gross Revenue:

Aggregate Gross Revenue:

Most recent reportable year:

One year prior to most recent reportable year:

Two years prior to most recent reportable year:

\$6,000,000.00

\$3,000,000.00

\$3,000,000.00

\$3,000,000.00

\$3,000,000.00

Aggregate Total Assets: \$8,500,000.60

Revenue Information for Applicant

Add Disclosable Interest Holder to Applicant
Add FCC Regulated Business to Applicant
Add Affiliate to Applicant

▶ View all FCC Regulated Businesses of the Applicant ▶ View all Affiliates of the Applicant

Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

■ Disclosable Interest Holder: <u>DIH Company</u>

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

Add Revenue Information to this Disclosable Interest Holder
 Add FCC Regulated Business to this Disclosable Interest Holder

DELETE SELECTED

► Top

FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: FRB Corporation

DELETE SELECTED

▶ Top

Affiliates of this Applicant

You currently have specified 1 Affiliate(s)

Affiliate: Affiliate One LLC

▶ Add Revenue Information to this Affiliate

DELETE SELECTED

► Top

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?

Which applicants may bid on the C Block licenses that are "closed?"

How do you define "total assets?"

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What must an applicant show to support a claim of eligibility for closed bidding?

Does the term "aggregate" gross revenue of an applicant bidding for a closed license differ from "aggregate" gross revenues in determining eligibility for bidding credits on "open" licenses?



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Ownership

O

Add Disclosable Interest Holder

Disclosable Interest Holder Name and Type

Select either Entity OR Individual.

Individual Name:

Entity Name:	DIH Company		
		ot	her Description
Entity Type:	Corporation	~	
FRN:	123456789	(Search FRN)	
	First	MI Last	Suffix

(Search FRN)

FRN:

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a Disclosable Interest Holder (DIH)?

Is the FRN required?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out) Applicant: Bidder One Corporation Initial Filing Closes: 03/16/2007 6:00 PM (ET) Auction 101 Status: Not Submitted 🗷 Quit Sample SMR Auction Print Preview 🛭 Help Summary Attachments Link for Address outside the U.S. Ownership Steps Add Disclosable Interest Holder: DIH Company Applicant Information License Selection Disclosable Interest Holder Address Agreements ▶ Click here if address is located outside the US. Ownership Summary 321 Somewhere Ave Address: Certify & Submit **Common Questions** City: Washington When is my data saved State: District of Columbia during the data entry Zip Code: 20554 process? What is the difference between a warning and an error? **◆** BACK CONTINUE



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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

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Summary









Ownership

Add Disclosable Interest Holder: DIH Company

Type of Interest in Applicant

Check all that apply.

- ✓ Direct Ownership Interest in Applicant
- Indirect Ownership Interest in Applicant
- Officer
- Director
- Key Management Personnel
- Management Contract
- Other (specify)





Applicant Information

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How do I describe disclosable interest holders who have an indirect ownership in my company?









FCC > Wireless > Auctions > Auction Application

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Sample SMR Auction

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Status: Not Submitted

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Ownership

Add Disclosable Interest Holder: DIH Company

т	una	οf	Ownership	Intoract	in	Annlicant
ı	ype	UI	Ownership	Illiterest	111	Applicalit

Check all that apply.

_			

General Partnership	Shares	(specify



<u> </u>

- Membership Shares
- Option to Acquire Equity
- Proprietorship
- Preferred Stock (specify both voting and convertible types)

*
v

- Warrant
- Other (specify)



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When is my data saved during the data entry process?

What is the difference between a warning and an error?



FCC > Wireless > Auctions > Auction Application

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Auction 101

Applicant: Bidder One Corporation

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Status: Not Submitted

Sample SMR Auction









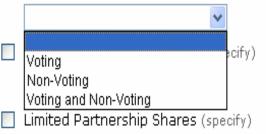
Ownership

Add Disclosable Interest Holder: DIH Company

Type of Ownership Interest in Applicant

Check all that apply.

Common Stock (specify)



- Membership Shares
- Option to Acquire Equity

Steps

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and error?



FCC > Wireless > Auctions > Auction Application

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary

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Ownership

Add Disclosable Interest Holder: DIH Company

Type of Ownership Interest in Applica	pe of Ownership	interest in	жррисат
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Check all that apply.

V	Common	Stock	(specify

Voting	•
--------	---

📗 General Partnership Shares (s	pecify
---------------------------------	--------

|--|

Limited Partnership Shares (specify)

|--|

Membership Shares

Ontion to Acquire Equit	
	ø

Proprietorship

		Preferred Stock	(snecify	hoth	votina	and	L convertible two	es)
--	--	-----------------	----------	------	--------	-----	-------------------	-----

*
v

Warrant

Other (specify)



Steps

Applicant Information License Selection

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?



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Summary

Link if jurisdiction outside U.S.

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Ownership

Add Disclosable Interest Holder: DIH Company

Percent of Interest Held in Applicant

30 %

Jurisdiction of Formation

Click here if DIH was formed in a jurisdiction outside the US.

Jurisdiction of Formation: District of Columbia

Steps

Applicant Information

License Selection

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Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What is a Jurisdiction of Formation?

What certification should I provide for foreign ownership?

Must an indirect ownership interest percentage be included in "Percent of Interest Held in Applicant"?

What should the "Percent of Interest Held in Applicant" reflect?

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

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Status: Not Submitted

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Ownership

View/Edit Ownership Disclosure Information

Revenue and Asset Summary

Aggregate Average Gross Revenue: \$4,875,000.00

Aggregate Gross Revenue:

Most recent reportable year: \$8,000,000.00 One year prior to most recent reportable year: \$4,750,000.00 Two years prior to most recent reportable year: \$0.00

Aggregate Total Assets: \$11,500,000.00

- Revenue Information for Applicant
- ▶ Add Disclosable Interest Holder to Applicant
- ▶ Add FCC Regulated Business to Applicant
- Add Affiliate to Applicant

Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

Disclosable Interest Holder: DIH Company

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add FCC Regulated Business to this Disclosable Interest Holder



DELETE SELECTED

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Steps

Applicant Information License Selection Aareements

Ownership

Summary

Certify & Submit

Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?

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How do you define gross revenues?

What must an applicant show to support a claim of eligibility for closed bidding?

Does the term "aggregate" gross revenue of an applicant bidding for a closed license differ from "aggregate" gross revenues in determining eliaibility for bidding credits on "open" licenses?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

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Ownership

Add FCC Regulated Business to Applicant

FCC Regulated Business

Identify entities in which the Applicant has an interest. You may select entities already submitted or begin entering the information below.

FCC Regulated Business		Principal Business		FRN	Percent of Interest Held by Applicant
FRB Corporation		Radio Equipment Manufacturer		234567890	20 %
				(Search FRN)	
					%
		,		(Search FRN)	
	7		I		%
		,		(Search FRN)	
					%
				(Search FRN)	
					%
				(Search FRN)	
					%
		,		(Search FRN)	
	7		I		%
				(Search FRN)	
			T		%
				(Search FRN)	

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Applicant Information License Selection

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What does the Search FRN link do?

What is an FCC Regulated Business (FRB)?

Is the FRN required?

What does "Percent of Interest Held" refer to?

Must an indirect ownership interest percentage be included in "Percent of Interest Held"?



ADD MORE BUSINESSES

CONTINUE



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (<u>Log Out</u>)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

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Summary

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Ownership

View/Edit Ownership Disclosure Information

Revenue and Asset Summary

Aggregate Average Gross Revenue: \$4,875,000.00

Aggregate Gross Revenue:

Most recent reportable year: \$8,000,000.00

One year prior to most recent reportable year: \$4,750,000.00

Two years prior to most recent reportable year: \$0.00

Aggregate Total Assets: \$11,500,000.00

- Revenue Information for Applicant
- ▶ Add Disclosable Interest Holder to Applicant
- Add FCC Regulated Business to Applicant
- Add Affiliate to Applicant
- View all FCC Regulated Businesses of the Applicant

Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

Disclosable Interest Holder: DIH Company

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add FCC Regulated Business to this Disclosable Interest Holder

DELETE SELECTED

▶ <u>Top</u>

FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: FRB Corporation

DELETE SELECTED

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

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Does the term "aggregate" gross revenue of an applicant bidding for a closed license differ from "aggregate" gross revenues in determining eligibility for bidding credits on "open" licenses?

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Sample SMR Auction

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Ownership

Add Affiliate

Affiliate



Identify entities or individuals which are affiliated in any way to the Applicant. You may select entities or individuals already submitted or begin entering the information below.

Affiliate Name

Select either Entity OR Individual.

Entity Name: Affiliate LLC

Principal Business: Construction

FRN: 543210000 (Search FRN)

Suffix First Last Individual Name:

FRN: (Search FRN)

Steps

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What does the Search FRN link do?

What is an Affiliate?

Is the FRN required?

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation
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Status: Not Submitted

Status: Not Submitted

■ Summary

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Ownership

View/Edit Ownership Disclosure Information

Revenue and Asset Summary

Aggregate Average Gross Revenue: \$9,576,666.67

Aggregate Gross Revenue:

Most recent reportable year: \$13,550,000.00

One year prior to most recent reportable year: \$8,750,000.00

Two years prior to most recent reportable year: \$4,555,000.00

Aggregate Total Assets: \$18,800,000.00

Revenue Information for Applicant

Add Disclosable Interest Holder to Applicant

▶ Add FCC Regulated Business to Applicant

Add Affiliate to Applicant

▶ <u>View all FCC Regulated Businesses of the Applicant</u> ▶ <u>View all Affiliates of the Applicant</u>

Disclosable Interest Holders of this Applicant

You currently have specified 1 Disclosable Interest Holder(s)

■ Disclosable Interest Holder: <u>DIH Company</u>

You currently have specified 0 FCC Regulated Businesses held by this Disclosable Interest Holder

▶ Add FCC Regulated Business to this Disclosable Interest Holder

DELETE SELECTED

▶ <u>Top</u>

FCC Regulated Businesses of this Applicant

You currently have specified 1 FCC Regulated Business(es)

☐ FCC Regulated Business: FRB Corporation

DELETE SELECTED

► Top

Affiliates of this Applicant

You currently have specified 1 Affiliate(s)

■ Affiliate: Affiliate LLC

DELETE SELECTED

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

How are aggregate average gross revenues calculated?

Will the aggregate average gross revenues equal the average of the gross revenues aggregated by year?

Which applicants may bid on the C Block licenses that are "closed?"

<u>How do γου define "total</u> <u>assets?"</u>

How do you define gross revenues?

What must an applicant show to support a claim of eligibility for closed bidding?

Does the term "aggregate" gross revenue of an applicant bidding for a closed license differ from "aggregate" gross revenues in determining eligibility for bidding credits on "open" licenses?



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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

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Status: Not Submitted

Summary







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Ownership

Gross Revenues/Assets Confirmation

Confirmation

Has Applicant provided separate gross revenue and total asset information for itself, for each of Applicant's officers and directors; for each of Applicant's other controlling interests; for each of Applicant's affiliates; and for each affiliate of each of Applicant's officers, directors, and other controlling interests?

Yes
 No

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?



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Summary

Summary Overview

Choose a step listed below to review your provided information.

Applicant Information

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VIEW/EDIT

VIEW/EDIT

VIEW/EDIT

VIEW/EDIT

Once you are confident that the application is recertify button below.

Certify button below.

Check for enission, clipped before errors and submitting 🛰ાંડ્ડાંon, click on the "Continue To

NTINUE TO CERTIFY >



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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What does the Check Errors button do?



FCC > Wireless > Auctions > Auction Application

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

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Status: Not Submitted

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Certify & Submit

Former Default, Delinguency Statement

By indicating which statement applies and by submitting the application, applicants make the applicable statement under penalty of perjury. An applicant making the second statement must submit an upfront payment equal to 50 percent more than otherwise would be required.

- The applicant, its affiliates, its controlling interests, and the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have never been in default on any Commission license and have never been delinquent on any non-tax debt owed to any Federal agency.
- One or more of the applicant, its affiliates, its controlling interests, or the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have been in default on a Commission license or have been delinquent on a non-tax debt owed to a Federal agency.

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What is the difference between a warning and an error?

Why must one of the options be selected?

What if I don't know which of the two options is correct?

What if there is no former default or delinquency, but there is a current default or delinquency?

What is an Affiliate?

Defaults – Delinquencies Red Lights

- Applicants must certify that, as of the short-form filing deadline, they are not in default on any Commission license and not delinquent on any non-tax debt owed to any Federal agency in order to be eligible to participate in the auction. Applicants must also state whether or not they have ever been in default on any Commission license or any non-tax debt owed to any Federal agency. For these purposes, "applicant" includes the applicant's controlling interests and affiliates (of both the applicant and controlling interests).
- Under the Commission's "red light" rule, it will not process applications and other requests for benefits filed by parties with outstanding debts owed to the Commission. Applicants are well advised to resolve any concerns regarding outstanding "red lights" for any relevant party prior to filing the Form 175. An applicant will be able to submit Form 175 to the Commission, notwithstanding a "red light" but the application may not be "accepted" at a later stage.
- Finally, applicants should remember that the "red light" database concerns only current delinquencies with the Commission. Auction rules are concerned with both current and former delinquencies on non-tax debt owed to any Federal agency. See 47 C.F.R. Section 1.2105(a)(2)(x) and (xi). Applicants should not rely on a "green light" as a guarantee that they have no concerns regarding delinquencies, current or former, on non-tax debt owed to any Federal agency.



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary









Certify & Submit

Former Default, Delinguency Statement

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- The applicant, its affiliates, its controlling interests, and the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have never been in default on any Commission license and have never been delinquent on any non-tax debt owed to any Federal agency.
- One or more of the applicant, its affiliates, its controlling interests, or the affiliates of its controlling interests (as those parties are defined by the Commission's rules) have been in default on a Commission license or have been delinquent on a non-tax debt owed to a Federal agency.

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Not Submitted

Summary

📤 <u>Attachments</u> 🕒 <u>Print Preview</u> 😢 <u>Help</u>

🗷 Quit

Certify & Submit

Certify Auction Application

By clicking the "Submit" button below, I certify the following:

(1) that the applicant is legally, technically, financially, and otherwise qualified pursuant to 308(b) of the Communications Act and the Commission's Rules and is in compliance with the foreign ownership provisions contained in Section 310 of the Communications Act.

(2) that the applicant is the real party in interest in this application and that there are no agreements or understandings other than those specified in this application, which provide that someone other than the applicant shall have an interest in the license.

(3) that the applicant is aware that, if upon Commission inspection, this application is shown to be defective, the application may be dismissed without further consideration, and certain fees forfeited. Other penalties may also apply.

(4) except as explicitly provided in the Commission's Rules, that the applicant has not entered into and will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in this application regarding the amount to be bid, bidding strategies or the particular license on which the applicant or other parties will or will not bid.

(5) that the applicant, or any party to this application, is not subject to a denial of federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988.

(6) that if a bidding credit eligibility is claimed, the applicant is eligible for any special provisions set forth in the Commission's Rules applicable to this auction and consents to audits, as set forth in the Commission's Rules, to verify such status.

(7) that the applicant is and will, during the pendency of its application(s), remain in compliance with any service specific qualifications applicable to the licenses on which the applicant intends to bid including, but not limited to, financial qualifications.

(8) that the applicant is not in default on any payment for Commission licenses and that it is not delinquent on any non-tax debt owed to any federal agency.

I declare, under penalties of perjury, that I am an authorized representative of the above named applicant for the license and/or licenses specified above, that I have read the instructions and the foregoing certification and all matters and things stated in this application, its schedules and attachments, including exhibits, are true and correct.

Signature

Person Certifying:	First Imma	MI	Last Bidder	Suffix
Signature:	lmma Bidder			
Title:	President			

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SUBMIT

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Applicant Information License Selection Agreements Ownership Summary

Certify & Submit

Common Questions

What if I certify and any of the certifications are false?

When is my data saved during the data entry process?

What is the difference between a warning and an error?

Is it necessary to read all of these certifications?

Who is authorized to certify?

After I fill out my application electronically, is it automatically submitted to the FCC?

Can I change information after I submit Form 175?

After I submit my Form 175 once, are any later changes automatically submitted to the FCC?



FCC > Wireless > Auctions > Auction Application

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Submitted

Attachments

Print Preview

P Help X Quit

Certify & Submit

Auction Application Submit Confirmation

Your Auction Application has been received on 4/13/2006 at 12:33 PM. Your file number is 0001685182. Please print your application for your records.

AUCTION APPLICATION MANAGER

Summary

- ▶ Upfront Payment Calculator/Form 159
- Wire Transfer for Refund Purposes

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When is my data saved during the data entry process?

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What is Form 159 and how do I fill it out?

How can I get a copy of the information I submitted?

Must I go to the Upfront Calculator and Form 159 immediately?

Do I have to submit an upfront payment to cover all the licenses I selected on my Form 175?

How will I know the status of my Form 175?

When can I see the Form 175 of other applicants for the auction?



FCC > Wireless > Auctions > Auction Application

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Submitted

Summary

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Attachments

· · · · · · · · · · · · · · · · · · ·			
Add Attachment			
Type: File: Description:	Other C:\Desktop\test attachment.doc Revenue Justification tot be greater than 10MB.	Browse	
RETURN TO APPLICATION	_		ADD ATTACHMENT
Current Attachments	5		
Туре	Description	Upload Date	

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Common Questions

When is my data saved during the data entry process?

What is the difference between a warning and an error?

What attachments are required?

What are the "Types" of Attachments?



FCC > Wireless > Auctions > Auction Application

Logged In: FRN 0010845105 (Log Out)

Auction 101

Applicant: Bidder One Corporation Initial Filing Closes: 03/16/2007 6:00 PM (ET) Status: Submitted Your changes have been saved but NOT SUBMITTED. In order for your changes to take effect, you must Certify & Submit your application.

Sample SMR Auction











Attachments

The attachment was uploaded successfully.

Add Attachment

Type:

File:

Description:

* An Attachment may not be greater than 10MB.

RETURN TO APPLICATION

ADD ATTACHMENT

Current Attachments Description **Upload Date** Type Revenue Justification (Not Yet Remove - 📊 4/13/2006, 12:36 PM Other Converted)

Browse...

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FCC > Wireless > Auctions > Auction Application

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Auction 101

Sample SMR Auction

Applicant: Bidder One Corporation

Initial Filing Closes: 03/16/2007 6:00 PM (ET)

Status: Submitted

Summary









Print Preview

Print Application

Print the data you have added to the Auction Application by selecting from the list below. Click "Continue" to open a printable page.

- Applicant Information
- License Selection
- Agreements
- Ownership
- Certify & Submit
- Attachment List

SELECT ALL

◆ BACK



Print Attachments

Click on a link below to open a PDF to print.

Other - Revenue Justification

Steps

Applicant Information

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Summary

Certify & Submit

File Number: 0001685182
Status: Submitted
Date Received: 4/13/2006, 12:38 PM

Applicant Information

Applicant Name

Entity Name: Bidder One Corporation

Jurisdiction of Formation: DC

Applicant Legal Classification and Status

Legal Classification: Corporation
Applicant Status:

Applicant Address

 Address Line 1:
 123 Auction Street

 Address Line 2:
 Washington

 City:
 DC

Responsible Party

Zip Code:

Name: Imma Bidder
Title: President
Telephone Number: (202) 418-9999 Ext. 0660
Email Address: Imma.Bidder@fcc.gov

20554

Contact Information

Name:
Telephone Number:
(202) 418-9999 Ext. 0660
Fax Number:
(202) 418-8888
Email Address:
Imma.Bidder@fcc.gov
Address Line 1:
Address Line 2:

 City:
 Washington

 State:
 DC

 Zip Code:
 20554

Authorized Bidders

Bidder 1: Imma Bidder
Bidder 2: Shesa Bidder
Bidder 3: Hesa Bidder
Bidding Option: Electronic

Bidding Credit Eligibility

Seeking/Not Seeking:SeekingGross Revenues:Between \$ 0.00 and \$ 15.00

FCC > Wireless > Auctions > Auction Application

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Auction Application Manager

Create or Review Auction Applications

Approved by OMB 3060-0600 (Notice to Applicants)

Create New Application

No new auctions

Review or Modify Existing Applications

Auction	Applicant Name	April auon Status	Last Modified	Last Submitted	
65 Initial Filing Wi	Bidder Ope Corporation Liosed 4/3/2006, 6:00 PM	Submitted	3/8/2006, 3:40 PM	3/7/2006, 4:00 1.1	DZM
101 Initial Filing Wi	Bidder One Corporation ndow Closes 3/16/2007, 6:00 PM	Submitted	4/13/2006, 12:36 PM	4/13/2006, 12:38 PM	\$ Z P
185 Initial Filing Wi	Bidder One Corporation ndow Closed 4/10/2006, 4:31 PM	Not Submitted	4/3/2006, 11:46 AM		
\$ - Upfront P	ayment Calculator and Form 159	🔁 - Refund Form 📝	- Edit Application 🏻 📳 - Withdraw Appl	ication 🍳 - Search	

Documentation

Auction: 3 - PCS Narrowband Region





Auction Application ManagerUpfront Payment Calculator

Calculating Your Upfront Payment

Applicants are solely responsible for determining the amount of their upfront payment. In most FCC auctions, the amount of the upfront payment determines initial bidding eligibility. In calculating an upfront payment amount, an applicant should determine the maximum number of bidding units on which it may wish to be active (bid on or hold provisionally winning bids on) in any given round, and submit an upfront payment amount covering that number of bidding units. The upfront payment calculator may assist applicants in determining an amount. However, regardless of whether they use the upfront payment calculator, applicants should check their calculations carefully, as there is no provision for increasing a bidder's eligibility after the upfront payment deadline.

The following table lists all available licenses based on selections in your submitted FCC Form 175. The upfront payment calculator will help you to determine the appropriate upfront payment amount to submit with your Form 159. Use of the upfront payment calculator is always optional; you can type another amount in the Total Upfront Payment field or Total Bidding Units field, if desired. Please refer to the <u>Auction No. 101 Procedures</u> public notice for a complete explanation of upfront payments and bidding eligibility.

Eligibility is not license specific. You do not have to make an upfront payment to cover all licenses for which you have applied on FCC Form 175, but rather to cover the maximum number of bidding units that are associated with licenses on which you wish to place bids and hold provisionally winning bids in any single round. In order to calculate a total upfront payment, an applicant should add together the bidding units for all licenses on which it seeks to be active in any given round, and submit an upfront payment amount covering that number of bidding units. Please refer to the Auction No. 101 Procedures public notice for further explanation.

To use the Upfront Payment Calculator, do the following:

- 1. Select all licenses on which you seek to be active in any given round. The calculator will automatically calculate the total bidding units (maximum eligibility) and upfront payment amount for those licenses.
- 2. To save the total upfront payment amount and carry it through to Form 159, click the "Carry to 159" button.

Please note that if you selected 1,000 or more licenses, the calculator will not display the list or keep a running total of bidding units. If you have selected 1,000 or more licenses, simply type in the total number of bidding units for which you want to establish your maximum eligibility. The calculator will then automatically calculate the corresponding upfront payment amount.

About the Former Defaulter's Calculation

The upfront payment amount required for former defaulters may be higher than that for applicants who have never been in default. The upfront payment calculator will adjust the upfront payment amount accordingly. Refer to the Procedures PN for more information.

Available Licenses

Select (<u>All</u> <u>Clear</u>)	License Number	Location	Bidding Units	Upfront Payments
	SV-BEA001-C	Area 1	500	\$500
	SV-BEACC1-D	Area 1	1,000	\$1,000
	SV-BEA002-C	Area 2	500	\$500
	SV-BEA002-D	Area 2	1,000	\$1,000
	SV-CMA001-E	Statewide 1	100	\$100
	SV-CMA001-F	Statewide 1	50	\$50
	SV-CMA002-E	Statewide 2	300	\$300
	SV-CMA002-F	Statewide 2	600	\$600
	SV-REAGOO1-A	Region 1	1,000	\$1,000
	SV-REAGOO1-B	Region 1	2,000	\$2,000
	SV-REAGOO2-A	Region 2	1,000	\$1,000
	SV-REAG002-B	Region 2	2,000	\$2,000
			Total Bidding Units	Total Upfront Payment
				\$
				CARRY TO 159 CLOSE WINDOW

Auction Application ManagerUpfront Payment Calculator

Calculating Your Upfront Payment

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Select (<u>All</u> <u>Clear</u>)	License Number	Location	Bidding Units	Upfront Payments
	SV-BEA001-C	Area 1	500	\$500
	SV-BEA001-D	Area 1	1,000	\$1,000
	SV-BEA002-C	Area 2	500	\$500
	SV-BEA002-D	Area 2	1,000	\$1,000
	SV-CMA001-E	Statewide 1	100	\$100
	SV-CMA001-F	Statewide 1	50	\$50
	SV-CMA002-E	Statewide 2	300	\$300
	SV-CMA002-F	Statewide 2	600	\$600
✓	SV-REAGOO1-A	Region 1	1,000	\$1,000
✓	SV-REAGOO1-B	Region 1	2,000	\$2,000
	SV-REAGO02-A	Region 2	1,000	\$1,000
	SV-REAGO02-B	Region 2	2,000	\$2,000
			Total Bidding Units	Total Upfront Payment
			3,000	\$ 3,000
				CARRY TO 159 CLOSE WINDOW



Electronic Form 159

For many filings the Applicant is the Payer. However, if the Payer is a third party, the Payer's FRN will be different from that of the Applicant. Enter the Payer FRN and Password below. If the Payer does not have a valid FRN, you must register online in the FRN Registration System and return to Form 159 to complete payment process.

Please enter your FCC Registration Number (FRN) and Password to continue.

Login				
Payer's FRN:				
Password:				
CONTINUE				

Note: Payer information will be pre-filled from the FRN Registration System database.

To use this Form 159 you must have the Adobe Reader® installed (Version 4.0 or higher). You may <u>download</u> and install the reader now, or learn <u>more</u> from Adobe.



If you have forgotten your FRN or password, call the FCC help line at (877) 480-3201; TTY (202) 414-1255 for assistance.

Customer Service				
FCC Fees	FCC Web Policies	FCC Home Page		
If you have any questions or concerns please contact your licensing system help desk.				